CHFS
CAPI Questionnaire
(2015)

Survey and Research Center for China Household Finance

June 2015
Statement

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Survey and Research Center for China Household Finance
June 2015
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Interviewer Controlled Questionnaire

【S03】 What’s the respondent’s availability?
1. Available (skip to【J1001】)
2. By appointment (skip to【S12】)
3. Wrong address
4. Uninhabited house
5. Nobody answers the door
6. Refuse (skip to【S18】)
7. Unqualified (skip to【S19】)
8. Physically unable to be interviewed (skip to【S19】)
9. Can not be contacted
10. Refuse by phone (skip to【S18】)
11. Unavailable for other reasons (please specify) (skip to【S19】)

【S11】 What’s the result of the phone call to this respondent?
1. Not answered
2. Hang up
3. Powered off
4. Service suspended
5. Can not be connected
6. Non-existent number
7. Others (please specify) ______
   (skip to【S24】)

【S12】 Name of the booked person ______

【S13】 Contact number of the booked person ______

【S14】 What’s the relationship between the booked person and the resident?
1. Respondent
2. Relatives and friends
3. Neighbors or residents from the same community
4. Security guard, property management staff and doorman of the resident’s community
5. Member of village/community committee of where the resident lives
6. Others (please specify) ______

【S15】 Please tell us the proper time for next call ______ (skip to【S20】)

【S18】 To what extent does the approached refuse the interview?
1. Fiercely
2. Normally
3. By declining

【S19】 Who’s the approached?
1. Respondent/Respondent’s family
2. Relatives and friends
3. Neighbors/Residents of the same community
4. Security guard, property management staff or doorman of the community where the respondent lives
5. Village/Community committee
6. Others (please specify) ______

【S20】 Gender of the approached?
1. Male
2. Female

【S21】 What is the estimated age interval of the approached?
1. Under 16
2. 16-25
3. 26-35
4. 36-45
5. 46-55
6. 56-65
7. Above 65

【S22】 Language of the approached?
1. Dialect
2. Chinese mandarin

【S23】 Others (please specify) ______

【S24】 After answering all the questions, a prompt will appear—This contact is finished.
Interviewer’s Observations

Notice for interviewers: the following questions are to be finished under interviewer’s own on-site observation or by asking the local contact person, no need for door-to-door interview.

【J1001】 Where is the respondent’s house located?
1. Downtown in the city
2. Suburb of a city
3. Large town
4. Small town
5. Countryside/Village
6. Rural areas

【J1002a】 The house in which the respondent is living is in ______ county, ______ city, ______ province.

【J1004】 What is the architectural style of the building that the respondent lives in?
1. 1 storey farmhouse (detached/attached)
2. 1 storey non-farmhouse (detached/attached)
3. 2-3 storey farmhouse (detached/attached)
4. 2-3 storey non-farmhouse (detached/attached)
5. 4-6 multistorey building
6. Over 7-storey elevator building
7. Over 7-storey walk-up building

Notice for interviewers: the ground of garage is counted as one storey.

【J1002aa】 How many storeys does the building where the respondent lives have?

【J1002b】 In which floor is the respondent’s house?

【J1002c】 Is the respondent’s house equipped with elevator?
1. Yes
2. No

Notice for interviewers: “near the street” means “beside the driveway”.

【J1002d】 Is the respondent’s house near the street?

Ask 【J1008】 - 【J1010】 if rural=0.

【J1008】 Is there a property management company for the community?
1. Yes
2. No

【J1009】 What is the price range of cars parked in the community? (Unit:yuan)
1. Less than 30,000
2. 30,000-100,000
3. 100,000-200,000
4. 200,000-500,000
5. More than 500,000

【J1010】 What is the price range of parking lots in the community? (Unit: yuan)
1. Less than 50,000
2. 50,000-100,000
3. 100,000-150,000
4. 150,000-200,000
5. 200,000-250,000
6. More than 250,000
7. No parking lot

【J1011a】 What’s the type of this village? (asked only when rural=1)
1. Village in low mountains and hills
2. Village in high mountainous areas
3. Plain-type village
4. Plateau-type land
5. Village on the bank of rivers or lakes
6. Coastal village
7. Grassland-type village
8. Others (please specify) ______

【J1012a】 The road from village/resident committee is
1. Asphalt/Cement road
2. Bituminous concrete
3. Gravel road
4. Earthenroad
5. Others (please specify) ______

【J1012b】 Are all roads in the community/village equipped with light?
1. Yes
2. No

Notice for interviewers: please score on a 1-10 scale, i.e. “1” means “disorderly”; “10” means “tidy”
【J1013】 How is the cleanliness of the community/village’s road? (asked only when J1012=1)

Notice for interviewers: please score on a 1-10 scale, i.e. “1” means “disorderly”; “10” means “tidy”
【J1014】 How is the architectural structure of the community/village?

Notice for interviewers: please score on a 1-10 scale, i.e. “1” means “crowded”; “10” means “spacious”
【J1015】 How is the level of crowdedness of the community/village?

Notice for interviewers: please score on a 1-10 scale, i.e. “1” means “crowded”; “10” means “spacious”

【J1016】 What is the green level of the community/village?

Notice for interviewers: please score on a 1-10 scale, i.e. “1” means “poor”; “10” means “rich”

【J1017】 What is the economic condition of the community/village?

The following questions are only asked for newly mapped communities in 2015
Notice for interviewers: please score on a 1-10 scale, i.e. “1” means mistaken and “illegible”; “10” means “correct and clear”.

【J1018】 Degree of clarity and accuracy of community boundary mapping:

Notice for interviewers: please mark from 1 to 10, i.e. “1” means plenty of mistakes and omissions; “10” means detailed and accurate.

【J1019】 Detail and accuracy degree of information collection about building address of households:

Notice for interviewers: Please mark from 1 to 10, i.e. “1” means plenty of mistakes and omissions; “10” means detailed and accurate.

【J1020】 Detail and accuracy degree of information collection about number of sample households:

Notice for interviewers: please mark from 1 to 10, i.e. “1” means plenty of mistakes and omissions; “10” means detailed and accurate.

【J1021】 Detail and accuracy degree of information collection about address of sample households:

Notice for interviewers: please mark from 1 to 10, i.e. “1” means ineffective investigation of unregistered households; “10” means effective investigation.

【J1022】 Effectiency degree of unregistered households elimination:
Part One: Demographic Characteristics

1. Follow-up visit (only for the respondents of 2013)

   【A1100】 Does Sir./Madam 【CAPI loads respondent’s name】 live here?
   1. Yes. (skip to 【A1105】)
   2. No, he/she does not live here right now
   3. No, he/she has already passed away (skip to 【A1102】)
   4. No, he/she has never lived here (skip to 【A1103】)
   5. No, I don’t know him/her (skip to 【A1103】)

   【A1101】 【CAPI loads respondent’s name】 does not live here because he/she
   1. Has moved away (skip to 【A1104】)
   2. Has get married and are living somewhere else (skip to 【A1105】)
   3. Has divorced and moved away (skip to 【A1105】)
   4. Has lived apart (skip to 【A1105】)
   5. Has divided family property and lived apart (skip to 【A1105】)
   6. Is working or attending school somewhere else
   7. Others (please specify) ______

   【A1102】 Are you the family member of 【CAPI loads respondent’s name】
   1. Yes (skip to 【A1105】)
   2. No (skip to 【A1104】)

   【A1103】 Has your family been interviewed by CHFS in the summer of 2013?
   1. Yes (skip to 【A1105】)
   2. No (exit the questionnaire)

   【A1104】 Would you please tell me his/her family’s contact information or address? Or contact information of someone who may know about his/her family.
   1. Yes, I could provide contact information
   2. Yes, I could provide family address
   3. Yes, I could provide contact information and family address
   4. No, I know the family but haven’t related information (exit the questionnaire)
   5. No, I don’t know about the family (exit the questionnaire)

   【A1104a】 Contact information (asked only when A1104=1 or 3)

   【A1104b】 Family address (asked only when A1104=2 or 3)
Exit the questionnaire and follow up the interview with the respondent

Prompt: Thank you very much for your and your family’s support and help in the long term. We are conducting the 2015 household survey and might need your support to complete the questionnaire, which mainly aims at knowing about your family’s economic condition.

Notice for interviewers: please record as the respondent’s name the name of the one who knows well his/her family’s economic condition, and thus all questions below are asked to him/her while other family members are supportive.

【A1105】 Who knows best about the family’s economic condition?

【A1105a】 Is 【CAPI loads A1105’s name】 aged above 16?
   1. Yes
   2. No (return to 【A1105】 and change the respondent)

Confirm the previous family members:

<table>
<thead>
<tr>
<th>Family member code</th>
<th>01</th>
<th>02</th>
<th>......</th>
</tr>
</thead>
<tbody>
<tr>
<td>【A1106】</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Did you have a family member named 【CAPI loads name】 and age 【CAPI loads the age】 when we interviewed your family during the 2013 survey?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Yes, both name and age are correct (skip to 【A1108】)</td>
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<tr>
<td>2. Yes, but the name is wrong (skip to 【A1106b】)</td>
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<tr>
<td>3. Yes, but the age is wrong (skip to 【A1106c】)</td>
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<tr>
<td>4. No, there wasn’t such a family member (circulate to the next family member)</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>【A1106b】</th>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>His/Her name is (asked only when A1106=2)</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>【A1106c】</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>His/Her age is (asked only when A1106=3)</td>
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<td></td>
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</table>

<table>
<thead>
<tr>
<th>【A1108】</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Is 【CAPI loads family member’s name】 still one of your family?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Yes (skip to 【A1110】)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. No</td>
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</table>

<table>
<thead>
<tr>
<th>【A1109】</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Why is he/she no more your family member?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Has got married and are living somewhere else</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Has divorced/Living apart</td>
<td></td>
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<tr>
<td>3. Has passed away</td>
<td></td>
<td></td>
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<tr>
<td>4. Has become a monk/nun/Taoist priest</td>
<td></td>
<td></td>
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<tr>
<td>5. Are living apart</td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>
6. Others (please specify) ______

【A1110】 Apart from the people mentioned above, are there any other family members? “family member” refers to the one who shares income and expenses with you, excluding the chauffeur, nanny or landlord, etc.

1. Yes
2. No (skip to【A2000c】)

【A1111】 How many?

**New family member information:**

<table>
<thead>
<tr>
<th>Family member code</th>
<th>01</th>
<th>02</th>
<th>……</th>
</tr>
</thead>
<tbody>
<tr>
<td>【A1112】 Notice for interviewers: if he/she is too young to have a name, then name him/her as “XX’s son/daughter”.</td>
<td></td>
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<tr>
<td>The name of this family member?</td>
<td></td>
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<tr>
<td>【A1113】 Gender? 【CAPI loads A1112’s name】</td>
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<td></td>
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<tr>
<td>1. Male</td>
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<tr>
<td>2. Female</td>
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<td></td>
<td></td>
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<tr>
<td>【A1114】 【CAPI loads A1112’s name】’s birth year?</td>
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<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>__year</td>
<td>__year</td>
<td>__year</td>
</tr>
<tr>
<td>【A1115】 Is 【CAPI loads A1112’s name】 your new family member added in recent two years?</td>
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</tr>
<tr>
<td>1. Yes</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>2. No (skip to【A2000c】)</td>
<td></td>
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</tr>
<tr>
<td>【A1116】 【CAPI loads A1112’s name】 has become your family member because of</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>1. Marriage</td>
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<td></td>
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<tr>
<td>2. Living together</td>
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<td></td>
<td></td>
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<tr>
<td>3. New birth</td>
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<tr>
<td>4. Adoption</td>
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<tr>
<td>5. Others (please specify) ______</td>
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</tbody>
</table>
2. Filter Questionnaire (asked only to respondents of new households in 2015)

【A1001】 Is the address the “loaded address”?
1. Yes
2. No (exit the questionnaire and look for another household to interview)

【A1002】 Can this place be considered as a residence? (including business-residential buildings)
1. Yes
2. No (exit the questionnaire and replace the interview household)

Notice for interviewers: depending on whether the families are economically independent, determine whether the house has more than one family, (such as: co-tenants, living together after family split. For the family of more than one houses, start from the first household from right).

【A1003】 How many families are living in this house?

【A1003a】 What’s the name of the householder?

【A1007】 Are the family’s main economic activities are carried out in this city/county?
1. Yes
2. No (exit the questionnaire and replace the interview household)

【A1008】 Has anyone in the family lived in this city/county for more than six months last year?
1. Yes
2. No exit the questionnaire and replace the interview household)

【A1009】 Does anyone in the family holds citizenship of a foreign country, or a passport from Hong Kong, Macao, or Taiwan
1. All (exit the questionnaire and replace the interview household)
2. Some
3. None

【A1010】 Aside from this house, how many other houses does your family have in this village/community? This includes rented properties but does not include properties that are rented out.
   **If none, please mark “0”.**

【A1011】 Aside from the house(s) in this the village/community, how many other houses does your family have in China? This includes rented properties but does not include properties that are rented out.
   **If none, please mark “0”.**

Notice for interviewers: please name as the respondent the name of the one best understanding the family’s
financial situation and all questions below are asked to him/her. Other family members may serve as supportive roles. If the respondent is not at home, leave your contact information and make an appointment for a re-visit.

【A1013】 What is the name of the family member who has the best knowledge of the family’s financial situation?

Skip to 【A2000a】 if A1003a=A1013.

【A1014】 You are 【CAPI loads householder’s name】's?

1. Myself
2. Spouse or partner
3. Parents
4. Parents-in-law
5. Grandparents/Grandparents- in-law
6. Children
7. Daughter-in-law/Son-in-law
8. Grandson/Granddaughter
10. Brothers and sisters
11. Others (please specify) _______
3. Basic Family Member Information

Prompt: We would like to know the basic economic condition of the family members.

Notice for interviewers:
1. If the family has economic ties with any of the following seven categories of people, they should be included as family members.
   (1) studying away from home; (2) migrate to work; (3) Becoming a monk/nun/Taoist priest; (4) visiting friends and relatives afar; (5) serving a sentence; (6) serving the army; (7) abroad/territory (including travel, work, studying, visiting relatives, etc.); (8) has moved for marriage
2. This number does not include domestic help such as nannies, chauffeurs, and so on.

【A2000a】 Aside from the respondent, how many family members live with the respondent? (asked only to respondents of new households in 2015)
Notice: here, living together refers to residing for at least six months, and still living with the respondent. Those who go to work or school but are coming home in the weekend are counted. Those who are less than 6 months of age or recently married for less than six months are also taken into account.

【A2000b】 Aside from the respondent, how many family members do not live here because they are migrant workers, serving in the military, or away at school? (asked only to respondents of new households in 2015)
Notice: this excludes daughters who are married, sons who married with their own families, and elders who are financially independent on the respondent’s household.

Tip for respondents of new households in 2015: Next we will learn about the basic information of the family members living with you. We will start from you: cyclic query【A2001】~【A2028】.

Tip for respondents of new households in 2015: Next we will learn about the basic information of family members not living together with you: cyclic query【A2001】~【A2028】.

<table>
<thead>
<tr>
<th>Family member code</th>
<th>If A1106=4 or A1108=2, the questionnaire won’t ask questions related to family members.</th>
</tr>
</thead>
<tbody>
<tr>
<td>【A2000c】</td>
<td>Is 【CAPI loads name】 living together with you? short-term separation for less than three months is also regarded as “living together”.(asked only to the followed-up respondents)</td>
</tr>
<tr>
<td></td>
<td>1. Yes</td>
</tr>
<tr>
<td></td>
<td>2. No</td>
</tr>
</tbody>
</table>

|【A2002】 | What is the name of the family member? (asked only to respondents of new households in 2015) |
**【A2001】** **【CAPI loads name】** is your?
1. Myself
2. Spouse or partner
3. Parents
4. Parents-in-law
5. Grandparents/Grandparents-in-law
6. Children
7. Daughter-in-law/Son-in-law
8. Grandson/Granddaughter
9. Step-granddaughter/Step-granddaughter
10. Brothers and sisters
11. Others (please specify) ______

**【A2003】** **【CAPI loads name】** What is the gender of the family member? (asked only to respondents of new households in 2015)
1. Male
2. Female


**【A2005】** **【CAPI loads name】** ’s birth year (asked only to newly added respondent in 2015)
When A2001=1 and 2005≥1999, prompt “find another respondent”.

**【A2012】** **【CAPI loads name】** ’s educational level is? (asked only to all family members aged above 16)
1. No schooling at all (skip to【A2015】)
2. Primary school
3. Junior high
4. High school
5. Technical high school
6. College/Vocational school
7. Bachelor’s degree
8. Master’s degree
9. Doctorate degree

**【A2012a】** Has【CAPI loads A2012’s answer】acquired his/her qualification abroad? (asked only when A2012=7,8,9)
1. Yes (skip to 【A2015】)
2. No

【A2013】
【CAPI loads name】's educational level last semester was (asked only to family members aged between 6-23)
1. Not in school (skip to 【A2015】)
2. Primary school
3. Junior high school
4. Senior high school
5. Technical high school
6. Junior college/Vocational college
7. Undergraduate/Above

【A2013a】
【CAPI loads the name】's university is (asked only when A2013=7 and A2012a≠1)
1. 985 or 211 project universities (top key universities)
2. Ordinary key universities
3. Second-tier universities
4. Third-tier or independent universities
→skip to 【A2015】

【A2013c】
【CAPI loads name】last semester studied in a (asked only when A2013=2, 3 or 4)
1. Public school(not for children of migrant workers)
2. Public school for children of migrant workers
3. Private school(not for children of migrant workers)
4. Private school for children of migrant worker
5. International school
6. Others (please specify) ______

【A2013b】
【CAPI loads name】's school is (asked only when A2013c=1 or 2)
1. National key school
2. Provincial key school
3. Municipal key school
4. Regional/County key school
5. Ordinary school
<table>
<thead>
<tr>
<th>Question</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>A2013ba</td>
<td>The comprehensive quality of the school where CAPI loads name is studying is (asked only when A2013c=3 or 4)</td>
</tr>
</tbody>
</table>
|           | 1. Top  
|           | 2. Good  
|           | 3. General |
| A2013d    | Is CAPI loads the name studying in other city? (asked only when A2013=2 or 3 or 4) |
|           | 1. Yes  
|           | 2. No (skip to A2013f)  
| Notice: | “studying in other city” means “the school is in a different city from where the student’s family resides” (prefecture-level city). |
| A2013e    | Is CAPI loads the name studying abroad? (asked only when A2013=3 or 4) |
|           | 1. Yes  
|           | 2. No |
| A2013f    | The parents’ expectation for CAPI loads name’s final education degree is (asked only when A2013=2 or 3 or 4): |
|           | 1. Primary school  
|           | 2. Junior high school  
|           | 3. Senior high school (including technical high school and vocational high school)  
|           | 4. Junior College  
|           | 5. Bachelor’s degree  
|           | 6. Master’s degree  
|           | 7. Doctor’s degree  
|           | 8. Nothing |
| A2013g    | Did CAPI loads name live in school last semester? (asked only when A2013=2or 3or 4) |
|           | 1. Yes  
<p>|           | 2. No |
| A2013h    | How many kilometers is it from the school in which CAPI loads name studied last semester to your home? (asked only when A2013=2or 3or 4) |</p>
<table>
<thead>
<tr>
<th>【A2013i】</th>
<th>Last year, your family’s total expense (including tuition and fees) for 【CAPI loads name】’s schooling was? (asked only when A2013=2 or 3 or 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>【A2013j】</td>
<td>Last year, your family’s total expense for 【CAPI loads name】’s extra-curriculum courses or training was (asked only when A2013=2 or 3 or 4)</td>
</tr>
<tr>
<td>【A2013i】</td>
<td>Last year, your family’s total expense (including tuition and fees) for 【CAPI loads name】’s schooling was? (asked only when A2013=2 or 3 or 4)</td>
</tr>
<tr>
<td>【A2013j】</td>
<td>Last year, your family’s total expense for 【CAPI loads name】’s extra-curriculum courses or training was (asked only when A2013=2 or 3 or 4)</td>
</tr>
<tr>
<td>【A2013k】</td>
<td>The expense for Mathematics, English, Chemistry, Biology and Physics courses were (asked only when A2013j&gt;0)</td>
</tr>
<tr>
<td>【A2013l】</td>
<td>The expense for comprehensive training (art and sports) was (asked only when A2013j&gt;0)</td>
</tr>
</tbody>
</table>
| 【A2015】  | Is 【CAPI loads name】 a Party member? (asked only to the respondent and his/her spouse)  
1. Yes  
2. No |
| 【A2016】  | Is 【CAPI Loads name】 living in 【CAPI loads J1002a’s answer】? (asked only to family members who do not live together)  
1. Yes (skip to 【A2017b】)  
2. No |
| 【A2016b】 | In which province does 【CAPI loads name】 live? 【CAPI loads provinces】 (asked only to family members who do not live together) |
| 【A2017b】 | 【CAPI loads name】 is living in a house which is (asked only to family members who do not live together)  
1. Owned by the family member |
<table>
<thead>
<tr>
<th>Q2</th>
<th>Rented</th>
</tr>
</thead>
<tbody>
<tr>
<td>Q2</td>
<td>For free</td>
</tr>
</tbody>
</table>

**【A2019b】** Is 【CAPI loads name】’s Hukou registered in the villages/streets/towns where he/she currently lives?
1. Yes (skip to 【A2022】)
2. No

**【A2019a】** Is 【CAPI loads name】’s Hukou registered in the district/county where he/she currently lives?
1. Yes (skip to 【A2022】)
2. No

**【A2018b】** Is 【CAPI loads name】’s Hukou registered in 【CAPI loads provinces where the family members permanently live】?
1. Yes (skip to 【A2022】)
2. No

**【A2019】** Which province is the registered residence of 【CAPI loads name】? 【CAPI lists provinces】

**【A2022】** What’s the registered residence type of 【CAPI loads name】 at present?
1. Agricultural residence (skip to 【A2022h】)
2. Non-agricultural residence (skip to 【A2022a】)
3. Uniform registered residence
4. Others (skip to 【A2022h】)

**Definition:**

**Uniform registered residence:** After implementing the reform of household registration system, agricultural and non-agricultural residence are no more distinguished in some regions and given a unified name, i.e. “uniform registered residence”.

**【A2022-01】** What type of the Hukou did you have before receiving the unified Hukou?
1. Agricultural (skip to 【A2023e】)
2. Non-Agricultural
3. None (skip to 【A2023e】)
4. Others (skip to 【A2023e】)
<table>
<thead>
<tr>
<th>Question</th>
<th>Text</th>
</tr>
</thead>
</table>
| **A2022a** | Do you have an agricultural-to-non-agricultural Hukou?  
1. Yes  
2. No (skip to **A2023e**) |
| **A2022b** | When was **CAPI loads name**’s agricultural Hukou shifted to non-agricultural?  
Notice: year in four-digit as 2010. |
| **A2022c** | Agricultural Hukou changed to non-agricultural Hukou because  
1. Land expropriation and demolition  
2. House purchasing in urban areas  
3. Employment in urban areas  
4. Gaining collective Hukou after entering college  
5. Others (please specify)____  
6. Joining the army  
7. Family member gained urban Hukou together with the householder  
8. Village transformed into community  
→skip to **A2023e** |
| **A2022h** | Is **CAPI loads name** willing to have non-agricultural Hukou? (asked only to the respondent)  
1. Yes  
2. No |
| **A2023e** | Was **CAPI loads name**’s permanent address changed in the past two years? (asked only to family members aged between 16-60)  
1. Yes  
2. No (skip to **A2023g**) |
| **A2023f** | The province where **CAPI loads name** permanently lived in July, 2013 was **CAPI lists provinces** |
| **A2023g** | **CAPI loads name** has ever left **CAPI lists provinces of his/her registered Hukou** for somewhere else to work? (asked only to family members aged) |
1. Yes
2. No (skip to 【A2024】)

【A2023i】 In which year did 【CAPI loads name】 return to 【CAPI lists provinces】? (asked only to family members aged between 16-60)

【A2023j】 Which province did 【CAPI loads name】 live before returning to 【CAPI lists provinces】? 【CAPI loads provinces】 (asked only to family members aged between 16-60)

【A2023la】 What kind of work did 【CAPI loads name】 do before returning to 【CAPI lists the province of his/her registered Hukou】? (asked only to family members aged between 16-60)

1. Employed by someone or some units(with legal contract)
2. Temporary work(without legal contract)
3. Ran individual business, started up enterprises or ran an online shop
4. Others (volunteer or freelancer, etc.)

Freelancer: people not employed by anyone or any units working in art-related fields such as painter, free-lance journalist, free-lance actor/actress/singer

【A2024】 What’s the marital status of 【CAPI loads name】 at present? (asked to family members aged above 16 years old)

1. Unmarried (skip to 【A2025ba】)
2. Married
3. Cohabitation (skip to 【A2025ba】)
4. Separated (skip to 【A2025ba】)
5. Divorced (skip to 【A2025ba】)
6. Widowed (skip to 【A2025ba】)

【A2025】 In which year were you married? (asked only to the respondent)
Notice: year in four-digit, e.g. 2010.

【A2025ba】 Has 【CAPI loads name】 chronic disease?
1. Yes  
2. No (skip to 【A2025b】)

Chronic disease: hypertension, hyperlipidemia, low-level lipid, diabetes, cancer, chronic bronchitis or emphysema, pulmonary heart disease, hepatic disease, heart disease, stroke, nephropathy, gastropathy or digestive system disease, emotional and mental disease, Alzheimer’s disease, encephalatrophy, parkinsonian symptoms, arthritis or rheumatism, asthma, cervical and lumbar spondylosis, etc.

【A2025bb】 How is 【CAPI loads name】’s chronic disease?  
1. Very serious  
2. Serious  
3. Mild  
4. Not so serious  
5. Not serious

【A2025b】 Compared to peers, what’s the physical condition of 【CAPI loads name】 at present?  
1. Very good  
2. Good  
3. Ordinary  
4. Bad  
5. Very bad

【A2028】 How many brothers and sisters does 【CAPI loads name】 have, not including him/herself? (asked only to respondents and spouses)

The following questions 【A2032】-【A2042】 are asked only when respondents’ A2005 ≥1955 and they are 2015 new respondents.

Notice: the following questions (【A2032】  ,  【A2033】  ,  【A2034】  ,  【A2034a】  ,  【A2035】) are asked only to the respondents.  
Ask 【A2001】 respectively to Father and Mother if there is no option 3.  
Ask 【A2001】 to Mother if there is one option 3 and A2003=1.  
Ask 【A2001】 To Father if there is one option 3 and A2003=2.
<table>
<thead>
<tr>
<th>Question</th>
<th>Father</th>
<th>Mother</th>
</tr>
</thead>
<tbody>
<tr>
<td>What is your father/mother’s education level?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. No schooling at all</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Primary school</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Junior high</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. High school</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Technical secondary school</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Junior college</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Bachelor’s Degree</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Master's Degree</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Doctoral Degree</td>
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</tr>
<tr>
<td>What is your father/mothers’ political affiliation?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Communist Youth League Member</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Chinese Communist Party</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Democratic Parties or other parties</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. General public</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The parents’ Hukou is:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Agricultural</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Non-agricultural</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Uniform registered residence</td>
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</tr>
<tr>
<td>4. Others (please specify) _____</td>
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<td></td>
</tr>
<tr>
<td>What is the nature of your father/mother’s job?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Farming at home</td>
<td></td>
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</tr>
<tr>
<td>2. Employed by others or an organization (skip to A2025)</td>
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<td></td>
</tr>
<tr>
<td>3. Self-employment, start-up (skip to A2035a)</td>
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<td></td>
</tr>
<tr>
<td>4. Engaged in housework (skip to A2035a)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Others (please specify) _____ (skip to A2035a)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Has your father/mother worked as a village cadre before? (including</td>
<td></td>
<td></td>
</tr>
<tr>
<td>village head, village Party Secretary, village director, village</td>
<td></td>
<td></td>
</tr>
<tr>
<td>director for women’s congress, etc.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Yes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. No</td>
<td></td>
<td></td>
</tr>
<tr>
<td>→skip to A2035a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>What was your father/mother’s highest position?</td>
<td></td>
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<tr>
<td>---</td>
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<td></td>
</tr>
<tr>
<td>1.</td>
<td>Ordinary staff/worker</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>Division leader of the work unit, e.g. manager</td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>Top leader of the work unit, e.g. general manager</td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td>(sub) Team Leader</td>
<td></td>
</tr>
<tr>
<td>5.</td>
<td>(deputy) Section Chief</td>
<td></td>
</tr>
<tr>
<td>6.</td>
<td>(deputy) Director of a division</td>
<td></td>
</tr>
<tr>
<td>7.</td>
<td>(deputy) Director-General of a bureau and above</td>
<td></td>
</tr>
<tr>
<td>8.</td>
<td>Village Cadre</td>
<td></td>
</tr>
<tr>
<td>9.</td>
<td>Township Cadre</td>
<td></td>
</tr>
<tr>
<td>10.</td>
<td>Others (please specify) ______</td>
<td></td>
</tr>
</tbody>
</table>

If there are two A2000=3 (live with a father and mother) by family members living together, skip directly to 【A2042】.

If there is only one A2000a=3 & A2003=1 by family members living together & no A2001=3 by family members not living together, skip to 【A2035a】. 【A2035a】 automatic loads as Mother.

If there is one A2000a=3 & A2003=2 by family members living together & no A2001=3 by family members not living together, skip to 【A2035a】. 【A2035a】 automatically loads Father.

If there is no A2000a=3 by family members living together & one A2003=3 & A2003=1 by family members not living together, skip to 【A2035a】. 【A2035a】 automatically loads Mother.

If there is no A2000a=3 by family members living together & A2001=3 & A2003=2 by family members not living together, skip to 【A2035a】. 【A2035a】 automatically loads Father.

【A2035a】 Is 【CAPI loads the father/mother】 still alive?
1. Yes
2. No

If there is one A2001=3 & A2035=1 by family members living together, 【A2037, A2038】 automatically load 【A2035a】’s options.

If there is one A2001=3 & A2035a=2 by family members living together, skip to 【A2042】.

If there is no A2001=3 by family members living together & one A2001=3 & A2035a=1 by family members not living together, 【A2037, A2038】 respectively load the father/mother of the respondent.

If there is no A2001=3 by family members living together & one A2001=3 & A2003=1 & A2035a=2 by family members not living together, 【A2037, A2038】 respectively load the respondent’s father.

If there is no A2001=3 by family members living together & one A2001=3 & A2003=2 & A2035a=2 by family members not living together, 【A2037, A2038】 respectively load the respondent’s mother.

【A2035b】 Are the respondent’s parents both alive?
1. Yes, both alive
2. No, both are deceased (skip to 【A2043】)
3. No, father is deceased (skip to 【A2037】，【A2037】-【A2038】 load the respondent’s mother)
4. No, mother is deceased (skip to 【A2037】，【A2037】-【A2038】 load the respondent’s father)
【A2036】 Do your parents live together?
1. Yes (【A2037】 - 【A2038】 loads the respondents’ parents)
2. No (【A2037】 - 【A2038】 loads the father/mother of the respondent respectively)

<table>
<thead>
<tr>
<th>【A2037】</th>
<th>Respondent’s Mother</th>
<th>Respondent’s Father</th>
<th>Respondent’s Parents</th>
</tr>
</thead>
<tbody>
<tr>
<td>Where do you father/mother reside?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. The same village/community</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Different village/community in the same county/city</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. The same province</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Another province</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Abroad</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

【A2038】 How many times do you go back home to take care of/visit your father/mother last year?
1. At least once a week
2. 1-3 times per month
3. Once every two-three months
4. 1-2 times per year
5. Once every two years
6. Less than once every two years

Notice: 【A2041】 is asked only when A2037=1 or 2.
Notice: choose “Others” if job hunting has nothing to do with parents.

【A2041】 Why did you choose to work/live nearby your parents?
1. Parents’ strong demand
2. Job happened to be nearby
3. Volunteered to work nearby based on considerations for parents
4. To take over parent’s job when he or she retired
5. Others (please specify) ______

【A2042】 Are your parents are willing to help take care of your underage child (children)? (this question is asked only to respondents when A2005≥1960 and A2035b≠2)
1. Yes
2. No

Notice for interviewers: here “blood relationship” refers to lineal and collateral consanguinity within three generations. Linear relatives have a direct relationship through birth over several generations. Collateral blood relatives have an indirect kinship, while non-linear blood relatives may not have only one source.
means consanguinity within three generations is in the blood and the same for their own relatives within three
generations. Here three generations is calculated from the beginning of the generation of three generations of
the respondent.

【A2043】 How many people of blood relationship with you live in this city/village, including parents, children,
eetc.?

1. None
2. 1-3
3. 4-6
4. More than 6

【A2044】 What would you choose between a better job away from home and staying to take care of your parents?
(this question is asked only if A2037=1 or 2.)

1. Stay
2. Work away from home
3. It depends

【A2045a】 Do you have any other children besides the aforementioned? (this question is asked only if A2001=6,
and it is asked only to respondents.)

1. Yes (skip to 【A2046】)
2. No (skip to 【A2052】)

Notice: 【A2045】- 【2046a】 are asked only to the respondent if there is no option 6 for 【A2001】.

【A2045】 Do you have any children? (This question is asked only when A2001≠6 and A2024≠1 or 3 and only to
the respondents.)

1. Yes
2. No (skip to 【A3000】)

【A2046】 How many children do you have?

【A2046a】 How many daughters do you have?

【A2052】 is asked when A2001=1, A2003=2, A2005≥1961 and rural=0; or asked when A2001=2, A2003=2,
A2005≥1961 and rural=0.

【A2052】 How is the working situation after you/your spouse delivered last time?

1. Remains the same
2. Same working unit but different position
3. Got fired and found another job
4. Got fired because of unjustified treatment after delivering
5. Got fired because of delivering and are unemployed by far
6. Worked before delivering and stopped working after that
7. Lost or changed job because of reasons unrelated to delivering
8. Unemployed before delivering and started working after that
9. Unemployed before and after delivering
10. Hasn’t delivered yet
11. Others (please specify) ______
4. Work & Income Information of Household Member

Notice for interviewers: this section is mainly asked to respondents and their spouses, and questions need to be asked to all family members are underlined in questionnaire.

<table>
<thead>
<tr>
<th>Family Member Coding</th>
<th>01</th>
<th>02</th>
<th>03</th>
<th>04</th>
</tr>
</thead>
<tbody>
<tr>
<td>【A3000】</td>
<td></td>
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</tr>
<tr>
<td>【CAPI loads name】Do you have a job, including individual business, online-shop, farming, helping with the family business or agricultural production, freelancer, doing odd jobs? Notice: currently on vacation, sick leave, maternity/paternity leave and unable to work can be considered as having a job. (asked to all family members aged above 16.) 1. Yes (skip to【A3002】) 2. No</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>【A3001a】</td>
<td></td>
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</tr>
<tr>
<td>Why 【CAPI loads name】 isn’t working (asked to all family members aged above 16) 1. He/She is under education (including the would-be graduate who hasn’t yet got the diploma) (circulate to the next family member) 2. He/She is taking care of the houseworks (skip to【A3038】) 3. He/She is incapacitated (circulate to the next family member) 4. He/She works seasonally and it is out of working season (skip to【A3038】) 6. He/She is unemployed or has failed to find a job (skip to【A3038】) 7. He/She doesn’t want to or need to work (skip to【A3038】) 8. He/She has retired (skip to【A3042aa】) 10. He/She is temporarily dismissed and is waiting for resumption (skip to【A3038】) 11. Others (please specify) ______ (skip to【A3038】)</td>
<td></td>
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</tr>
</tbody>
</table>

Notice: in option 8, circulate to the next family member for the old respondent in 2013, and please skip to【A3042aa】 for the respondents newly added in 2015.
Definition: “temporarity dismissed” refers to the situation in which people temporarily stop working because of poor business or lockout and get no payment. But they are promised to resume the work in a certain time or expected to return in 6 months.

| **A3002** | **CAPI loads name** How many jobs do you presently have? (asked to all household members aged above 16)  
**Notice:** as for part-time workers and domestic workers, they are considered to have one job as though serving multiple employers. |

In case of A3002>1, Prompt “Let’s talk about the major job”.

| **A3003** | This work is (ask only all family members aged above 16)  
1. Employed by someone or some units (with legal contract)  
2. Temporary (without legal contract, i.e. part-time or odd jobs)  
3. Farming (skip to **A3027**)  
4. Running individual business; running private enterprise; starting up enterprise; running online shops (skip to **A3027**)  
5. Freelance (skip to **A3020**)  
6. Others (volunteer) (only **A3006a**, **A3011** - **A3013a**, **A3020** - **A3024a** are asked)  

**Freelance**: Not employed by any unit or individual, e.g. painter, free-lance journalist, free actor/actress or singer. |

| **A3005a** | From which year has **CAPI loads name** started this job? (asked only to family members aged above 16) |

| **A3006a** | This job belongs to industry of (asked only to the respondent and his/her spouse)  
1. Agriculture, forestry, husbandry, fishery  
2. Mining  
3. Manufacturing  
4. Electric power, thermal, gas, aquaculture and supply industry |
<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>5.</td>
<td>Construction</td>
</tr>
<tr>
<td>6.</td>
<td>Online wholesale and retail</td>
</tr>
<tr>
<td>7.</td>
<td>Wholesale and retail</td>
</tr>
<tr>
<td>8.</td>
<td>Transportation</td>
</tr>
<tr>
<td>9.</td>
<td>Storage, logistics and mail</td>
</tr>
<tr>
<td>10.</td>
<td>Hospitality and catering</td>
</tr>
<tr>
<td>11.</td>
<td>Transmission, software and information service</td>
</tr>
<tr>
<td>12.</td>
<td>Finance</td>
</tr>
<tr>
<td>13.</td>
<td>Real estate</td>
</tr>
<tr>
<td>14.</td>
<td>Rental and business service</td>
</tr>
<tr>
<td>15.</td>
<td>Scientific research and education</td>
</tr>
<tr>
<td>16.</td>
<td>Water conservancy, environmental and utility management</td>
</tr>
<tr>
<td>17.</td>
<td>Resident service, maintenance and other services</td>
</tr>
<tr>
<td>18.</td>
<td>Tourism</td>
</tr>
<tr>
<td>19.</td>
<td>Medical treatment and health</td>
</tr>
<tr>
<td>20.</td>
<td>Culture, media, sports and entertainment</td>
</tr>
<tr>
<td>21.</td>
<td>Public management and social security</td>
</tr>
<tr>
<td>22.</td>
<td>Social work and social organization</td>
</tr>
<tr>
<td>23.</td>
<td>International organization</td>
</tr>
<tr>
<td>24.</td>
<td>Others (please specify) ______</td>
</tr>
</tbody>
</table>

**【A3006aa】** 【CAPI loads name】 works as (asked only to the respondent and his/her spouse when A3006a=1 or 2 or 3 or 4 or 5)

- 1. Sales personnel
- 2. Managerial personnel
- 3. Administrative personnel
- 4. General worker
- 5. IT personnel
- 6. Non-IT personnel
- 7. Others (please specify) ______

**【A3006ab】** 【CAPI loads name】 works as (asked only to the respondent and his/her spouse when A3006a=6)

- 1. Sales personnel
- 2. Courier
- 3. Managerial personnel
- 4. Administrative personnel
- 5. General worker
- 6. IT personnel
- 7. Others (please specify) ______
<table>
<thead>
<tr>
<th>A3006ac</th>
<th>CAPI loads name</th>
<th>works as (asked only to the respondent and his/her spouse when A3006a=7)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Sales personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Managerial personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Administrative personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. General worker</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Others (please specify) ______</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>A3006ad</th>
<th>CAPI loads name</th>
<th>works as (asked only to the respondent and his/her spouse when A3006a=8)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Sales personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Managerial personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Driver</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. General worker</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. IT personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Others (please specify) ______</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>A3006ae</th>
<th>CAPI loads name</th>
<th>works as (asked only to the respondent and his/her spouse when A3006a=9)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Sales personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Managerial personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Driver</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Courier</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Administrative and logistic personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. IT personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. General staff</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Others (please specify) ______</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>A3006af</th>
<th>CAPI loads name</th>
<th>works as (asked only to the respondent and his/her spouse when A3006a=10)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Sales personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Waiter or waitress</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Cook</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Managerial or Administrative personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Others (please specify) ______</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>A3006ag</th>
<th>CAPI loads name</th>
<th>works as (asked only to the respondent and his/her spouse when A3006a=11)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Mobile terminal R&amp;D personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Non mobile terminal R&amp;D personnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Sales personnel</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
4. Managerial or Administrative personnel  
5. Service staff  
6. Others (please specify) ______  

**【A3006ah】** 【CAPI loads name】 works as (asked only to the respondent and his/her spouse when A3006a=12)  
1. Project supervisor/Fund manager, etc  
2. Sales and marketing personnel  
3. Administrative personnel  
4. Researcher  
5. IT personnel  
6. Managerial personnel  
7. General staff  
8. Others (please specify) ______  

**【A3006ai】** 【CAPI loads name】 works as (asked only to the respondent and his/her spouse when A3006a=13)  
1. Sales personnel  
2. Managerial personnel  
3. Administrative personnel  
4. General worker  
5. IT personnel  
6. Non-IT personnel  
7. Online operating personnel  
8. Others (please specify) ______  

**【A3006aj】** 【CAPI loads name】 works as (asked only to the respondent and his/her spouse when A3006a=14)  
1. Accounting/Auditing personnel  
2. Sales personnel  
3. Managerial personnel  
4. Administrative personnel  
5. IT personnel  
6. Technique personnel  
7. General staff  
8. Lawyer  
9. Others (please specify) ______  

**【A3006ak】** 【CAPI loads name】 works as (asked only to the respondent and his/her spouse when A3006a=15)  
1. Researcher  
2. Teachers
3. Administrative personnel
4. IT personnel
5. Managerial personnel
6. Others (please specify) ______

**【A3006al】**  【CAPI loads name 】 works as (asked only when A3006a=16 or 21 or 22 or 23)
1. Managerial personnel
2. Administrative personnel
3. IT personnel
4. Non-IT personnel
5. Others (please specify) ______

**【A3006am】**  【CAPI loads name 】 works as (asked only to the respondent and his/her spouse when A3006a=17)
1. Nanny
2. Property management personnel
3. Personal care personnel(e.g. hair care, massage, etc)
4. Service personnel in life recreation industry
5. Other service staff
6. Others (please specify) ______

**【A3006an】**  【CAPI loads name 】 works as (asked only to the respondent and his/her spouse when A3006a=18)
1. Managerial personnel
2. IT personnel
3. Online operating personnel
4. Tourist guide or team leader
5. Sales personnel
6. Service staff
7. Logistic/Administrative personnel
8. Others (please specify) ______

**【A3006ao】**  【CAPI loads name 】 works as (asked only to the respondent and his/her spouse when A3006a=19)
1. Doctor
2. Nurse
3. Pharmacist
4. Nursing worker
5. Sales personnel
6. Managerial personnel
7. General staff  
8. IT personnel  
9. Administrative personnel  
10. Others (please specify) ______

【A3006ap】【CAPI loads name】 works as (asked only to the respondent and his/her spouse when A3006a=20) 
1. Online operating personnel  
2. Athlete/Actor/Actress  
3. Journalist  
4. Sales personnel  
5. Managerial personnel  
6. IT personnel  
7. Administrative personnel  
8. Non-IT personnel  
9. Others (please specify) ______

【A3011】 How many months were you engaged in this work last year? (asked only when A3005a<2015.)

【A3012a】 In working months, how many days are you working on average in one month? (asked only to the respondent and his/her spouse)  
*Notice for interviewers: calculate as one day if the time is less than one day.*

【A3013a】 In working days, how many hours are you working on average every day? (asked only to the respondent and his/her spouse)

【A3014a】 【CAPI loads name】's working unit is (asked to all family members aged above 16) 
1. Governmental department  
2. Public institution  
3. SOE/SHE (skip to 【A3018a】)  
4. Collective owned holding enterprise (skip to 【A3018a】)  
5. Private enterprise (excluding foreign enterprise) (skip to 【A3018a】)  
6. Foreign enterprise/Joint venture (skip to 【A3018a】)  
7. Non-profit or non-governmental organization
<table>
<thead>
<tr>
<th>Question</th>
<th>Answer Options</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>8. Army</strong></td>
<td></td>
</tr>
<tr>
<td><strong>9. Individual business (skip to 【A3020】)</strong></td>
<td></td>
</tr>
<tr>
<td><strong>10. Others (please specify) ______ (skip to 【A3020】)</strong></td>
<td></td>
</tr>
</tbody>
</table>
| **【A3015】 What is the type of establishment of your work? (asked to all household members above 16 years and older)** | 1. No establishment;  
2. Administrative personnel (civil servants)  
3. Public institution establishment (in reference to civil servants)  
4. Public institution establishment (others)  
5. Military establishment |
| **【A3009】 ’s duty is (asked to all family members aged above 16 with A3014a=1 or 2)** | 1. General staff  
2. Person in charge of a department (e.g. manager)  
3. Person in charge of a unit (e.g. GM)  
4. (vice)Subsection chief  
5. (vice)Chief  
6. (vice)Division chief  
7. (vice)Director general and above  
8. Village cadre  
9. Township cadre  
10. Others (please specify) ______  
→skip to 【A3020】 |
| **【A3018a】 Has this enterprise been listed, including in foreign stock market? (asked to all family members aged above 16)** | 1. Listed  
2. Not listed |
| **【A3020】 What were ’s after-tax wages last year (deducted by insurances and housing fund, bonuses, subsidies, and subsidy in-kind, i.e. cash in hand)? (Unit:yuan) (asked only to all household members aged above 16 when A3005a≤2015)** | (ask 【A3020it】 if the respondent does not know or is |
【A3020it】【CAPI loads name】's at monetary wage last year was within (asked to all family members aged above 16)
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

【A3022】How much bonuses did 【CAPI loads name】 receive after taxes last year, including monthly bonus, quarterly bonus, half-year awards, year-end awards, festival awards, stock dividends and other awards? (Unit: yuan) (asked only to all household members aged above 16 when A3005a<2015) (ask【A3022it】if the respondent does not know or is unwilling to answer)

【A3022it】【CAPI loads name】 What was the range of your bonus after taxes last year? (asked only to all household members aged above 16.)
1. Less than 5,000
2. 5,000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-5,000,000
10. 500,000-1,000,000
11. More than 1,000,000

【A3023】What was the total amount of after-tax subsidies or subsidies in-kind that 【CAPI loads name】 receive
last year, including food, medical subsidy, transportation and communication subsidy and housing subsidy? (Unit:yuan) (asked only to all household members aged above 16 when A3005a<2015.)
(ask 【A3022it】 if the respondent does not know or is unwilling to answer)

【A3023it】 What was the range of the value of after-tax subsidies or subsidy in-kind 【CAPI loads name】 received last year? (asked only to all household members aged above 16.)
1. Less than 5,000
2. 5,000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-5,000,000
10. 500,000-1,000,000
11. More than 1,000,000

【A3024】 What was the amount of personal income tax 【CAPI loads name】 paid last year including monthly bonus, quarterly bonus, half-year awards, year-end awards, festival awards, stock dividends and other awards? (Unit:yuan)
(ask only to all household members aged above 16 when A3005a<2015)
(ask 【A3024it】 if the respondent does not know or is unwilling to answer)

【A3024it】 【CAPI loads name】's individual income tax last year was (asked only to all household members aged above 16.)
1. Less than 5000
2. 5000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
11. More than 1,000,000

<table>
<thead>
<tr>
<th>A3024a</th>
<th>What are your minimal salary expectation if you are to change your current job? (Unit:yuan/month) (asked only to respondents)</th>
</tr>
</thead>
</table>

**Notice:** in case of A3002 >1, ask conditions of the second job from A3027 to A3037.

<table>
<thead>
<tr>
<th>A3027</th>
<th>From which year has CAPI loads name started this work? (asked to all household members aged above 16.)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>A3028</th>
<th>CAPI loads name’s second job is (asked to all family members aged above 16)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Employed by someone or some units (with legal contract)</td>
</tr>
<tr>
<td>2.</td>
<td>Temporary work(without legal contract, e.g. part-time job)</td>
</tr>
<tr>
<td>3.</td>
<td>Farming (skip to A3037)</td>
</tr>
<tr>
<td>4.</td>
<td>Running individual business; running private enterprise; starting up enterprise; running online shops (skip to A3037)</td>
</tr>
<tr>
<td>5.</td>
<td>Freelance</td>
</tr>
<tr>
<td>6.</td>
<td>Others (volunteer) (skip to A3037)</td>
</tr>
</tbody>
</table>

**Freelance:** Not employed by any unit or individual, e.g. painter, free-lance journalist, free actor/actress or singer.

<table>
<thead>
<tr>
<th>A3029</th>
<th>How many months have you been engaged in this job last year? (asked only to the respondent and his/her wife when A3027&lt;2015)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>A3030a</th>
<th>Notice for interviewers: calculate as one day if the period is less than one day.</th>
</tr>
</thead>
</table>

In working months, how many days are you working on average in one month? (asked only to the respondent and his/her spouse)
<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>【A3031a】</strong> In working days, how many hours are you working on average every day? Notice: hours here=Total working hours of last week/working days) (asked only to the respondent and his/her wife.</td>
<td></td>
</tr>
<tr>
<td><strong>【A3036】</strong> What were 【CAPI loads name 】【s after-tax wages from your second job last year? Including bonus, subsidies and income in kind. (Unit:yuan/month) (asked only to all household members aged above 16 when A3027&lt;2015.) (ask【A3036it】 if the respondent does not know or is unwilling to answer)</td>
<td></td>
</tr>
<tr>
<td><strong>【A3036it】</strong> What was the range of after-tax wages from your second job last year? (asked only to all household members aged above 16.)</td>
<td></td>
</tr>
<tr>
<td>1. Less than 5,000</td>
<td></td>
</tr>
<tr>
<td>2. 5,000-10,000</td>
<td></td>
</tr>
<tr>
<td>3. 10,000-20,000</td>
<td></td>
</tr>
<tr>
<td>4. 20,000-50,000</td>
<td></td>
</tr>
<tr>
<td>5. 50,000-100,000</td>
<td></td>
</tr>
<tr>
<td>6. 100,000-150,000</td>
<td></td>
</tr>
<tr>
<td>7. 150,000-200,000</td>
<td></td>
</tr>
<tr>
<td>8. 200,000-300,000</td>
<td></td>
</tr>
<tr>
<td>9. 300,000-500,000</td>
<td></td>
</tr>
<tr>
<td>10. 500,000-1,000,000</td>
<td></td>
</tr>
<tr>
<td>11. More than 1,000,000</td>
<td></td>
</tr>
<tr>
<td><strong>【A3037】</strong> What was the amount of personal income tax 【CAPI loads name 】 paid for your second job last year? (Including monthly bonus, quarterly bonus, half-year awards, year-end awards, festival awards, stock dividends and other awards) (Unit:yuan)</td>
<td></td>
</tr>
<tr>
<td><strong>【A3037it】</strong> What was the range of personal income tax 【CAPI loads name 】 paid for your second job last year?</td>
<td></td>
</tr>
<tr>
<td>1. Less than 2,000</td>
<td></td>
</tr>
<tr>
<td>2. 2,000-5,000</td>
<td></td>
</tr>
<tr>
<td>3. 5,000-10,000</td>
<td></td>
</tr>
<tr>
<td>4. 10,000-20,000</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Salary Range</td>
</tr>
<tr>
<td>---</td>
<td>-----------------</td>
</tr>
<tr>
<td>5.</td>
<td>20,000-50,000</td>
</tr>
<tr>
<td>6.</td>
<td>50,000-100,000</td>
</tr>
<tr>
<td>7.</td>
<td>100,000-150,000</td>
</tr>
<tr>
<td>8.</td>
<td>150,000-200,000</td>
</tr>
<tr>
<td>9.</td>
<td>200,000-300,000</td>
</tr>
<tr>
<td>10.</td>
<td>300,000-500,000</td>
</tr>
<tr>
<td>11.</td>
<td>More than 500,000</td>
</tr>
</tbody>
</table>

Ask **A3037e** - **A3037n**, i.e. information of the job before starting up if A3003=4.

<table>
<thead>
<tr>
<th><strong>A3037e</strong></th>
<th>Did <strong>CAPI loads name</strong> have a job before starting up? (asked to all family members aged above 16)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1. Yes</td>
</tr>
<tr>
<td></td>
<td>2. No (skip to <strong>A4002a</strong>)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>A3037f</strong></th>
<th>Is this job <strong>CAPI loads name</strong> 's second job you mentioned just now? (asked only when A3002&gt;1)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1. Yes (skip to <strong>A4002a</strong>)</td>
</tr>
<tr>
<td></td>
<td>2. No</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>A3037g</strong></th>
<th>The job is: (asked to all family members aged above 16)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1. Employed by someone or some units (with legal contract)</td>
</tr>
<tr>
<td></td>
<td>2. Temporary work(without legal contract, e.g. part-time job)</td>
</tr>
<tr>
<td></td>
<td>3. Farming (skip to <strong>A4002a</strong>)</td>
</tr>
<tr>
<td></td>
<td>4. Running individual business; running private enterprise; starting up enterprise; running online shops (skip to <strong>A4002a</strong>)</td>
</tr>
<tr>
<td></td>
<td>5. Freelance</td>
</tr>
<tr>
<td></td>
<td>6. Others (volunteer) (skip to <strong>A4002a</strong>)</td>
</tr>
</tbody>
</table>

**Freelance:** Not employed by any unit or individual, e.g. painter, free-lance journalist, free actor/actress or singer.

<table>
<thead>
<tr>
<th><strong>A3037n</strong></th>
<th>The working unit is:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1. Governmental department</td>
</tr>
<tr>
<td></td>
<td>2. Public institutions</td>
</tr>
<tr>
<td></td>
<td>3. SOE/SHE</td>
</tr>
<tr>
<td></td>
<td>4. Collective owned holding enterprise</td>
</tr>
<tr>
<td></td>
<td>5. Private enterprise(excluding foreign enterprise)</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>6.</td>
<td>Foreign enterprise/Joint venture</td>
</tr>
<tr>
<td>7.</td>
<td>Non-profitable organization/NGO</td>
</tr>
<tr>
<td>8.</td>
<td>Army</td>
</tr>
<tr>
<td>9.</td>
<td>Individual businessa</td>
</tr>
<tr>
<td>10.</td>
<td>Others (please specify) ______</td>
</tr>
</tbody>
</table>

For the family members out of work, ask information of the latest job. (The following questions are asked only to the family members out of work and whose answers to A3001a=2, 4, 6, 7, 8, 10, 11.)

<table>
<thead>
<tr>
<th>【A3038】</th>
<th>Has 【CAPI loads name】 worked before? (asked to all family members aged above 16 when A3001a≠8)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Yes</td>
</tr>
<tr>
<td>2.</td>
<td>No (skip to【A3045】)</td>
</tr>
</tbody>
</table>

**Tip:** Here we will ask you about your recent job.

<table>
<thead>
<tr>
<th>【A3042aa】</th>
<th>This job belongs to industry of (asked only to the respondent and his/her spouse or the family members whose answers to A3001a=8)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Agriculture, forestry, husbandry, fishery</td>
</tr>
<tr>
<td>2.</td>
<td>Mining</td>
</tr>
<tr>
<td>3.</td>
<td>Manufacturing</td>
</tr>
<tr>
<td>4.</td>
<td>Electric power, thermal, gas, aquaculture and supply industry</td>
</tr>
<tr>
<td>5.</td>
<td>Construction</td>
</tr>
<tr>
<td>6.</td>
<td>Online wholesale and retail</td>
</tr>
<tr>
<td>7.</td>
<td>Wholesale and retail</td>
</tr>
<tr>
<td>8.</td>
<td>Transportation</td>
</tr>
<tr>
<td>9.</td>
<td>Storage, logistics and mail</td>
</tr>
<tr>
<td>10.</td>
<td>Hospitality and catering</td>
</tr>
<tr>
<td>11.</td>
<td>Transmission, software and information service</td>
</tr>
<tr>
<td>12.</td>
<td>Finance</td>
</tr>
<tr>
<td>13.</td>
<td>Real estate</td>
</tr>
<tr>
<td>14.</td>
<td>Rental and business service</td>
</tr>
<tr>
<td>15.</td>
<td>Scientific research and education</td>
</tr>
<tr>
<td>16.</td>
<td>Water conservancy, environmental and utility management</td>
</tr>
<tr>
<td>17.</td>
<td>Resident service, maintenance and other services</td>
</tr>
<tr>
<td>18.</td>
<td>Tourism</td>
</tr>
<tr>
<td>19.</td>
<td>Medical treatment and health</td>
</tr>
<tr>
<td>20.</td>
<td>Culture, media, sports and entertainment</td>
</tr>
<tr>
<td>21.</td>
<td>Public management and social security</td>
</tr>
<tr>
<td>22.</td>
<td>Social work and social organization</td>
</tr>
<tr>
<td>23.</td>
<td>International organization</td>
</tr>
</tbody>
</table>
24. Others (please specify) ______

**【A3042b】** The duty is (asked only to the 2015 new respondents whose answers to A3001a=8)
1. General staff
2. Person in charge of a department (e.g. manager)
3. Person in charge of a unit (e.g. GM)
4. (vice) Subsection chief
5. (vice) Chief
6. (vice) Division chief
7. (vice) Director general and above
8. Village cadre
9. Township cadre
10. Others (please specify) ______

**【A3042c】** The working unit is: (asked only to the new respondent in 2015 whose answers to A3001a=8)
1. Governmental department
2. Public institutions
3. SOE/SHE
4. Collective owned holding enterprise
5. Private enterprise (excluding foreign enterprise)
6. Foreign enterprise/Joint venture
7. Non-profit or non-governmental organization
8. Army
9. Individual businessa
10. Others (please specify) ______

**【A3043】** What were the after-tax wages of 【 CAPI loads name 】 ’s job last month before she/he retired? (Deducted by five insurance payments, bonuses, subsidies, in-kind income, excluding unemployment insurance compensation, unemployment compensation by working unit) (Unit: yuan)

Notice: asked only to respondent of new households in 2015 whose answers to A3001a=8.

**【A3043a】** What was the total amount of bonus subsidies or subsidy in-kind 【 CAPI loads name 】 got the year before he/she retired? (Unit: yuan)

Notice: in-kind should be converted into cash.

(asked only to respondents of new households in 2015)
whose answers to A3001a=8 hours)

<table>
<thead>
<tr>
<th>Question</th>
<th>Response</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A3044</strong> In which year did you lose your job? (asked to all household members aged above 16 when A3038=1) Notice: the date for last job-losing.</td>
<td></td>
</tr>
</tbody>
</table>
| **A3045** Did you register with the Civil Affairs Departments for unemployment? (asked to male family members aged between 16 and 59 and female family members aged between 16 and 49) | 1. Yes (skip to **A3046**)  
2. No |
| **A3045a** Why haven’t you had the unemployment registration? (asked to male family members aged between 16 and 59 and female family members aged between 16 and 49) | 1. Non-local-Hukou  
2. Incomplete material  
3. Have not heard of unemployment registration  
4. Don’t know how to do  
5. Have not done yet  
6. Think it as useless  
7. Others (please specify) ______ |
| **A3046** Have you received unemployment insurance or unemployment benefits? (asked to all family members aged between 16 and 65.) | 1. Yes  
2. No (skip to **A3049**) |
| **A3047** How many months has it been since you began to receive unemployment insurance or unemployment benefits? (Unit: months) (asked to all family members aged between 16 and 65.) |                                                                          |
| **A3048** What is the amount of unemployment insurance or unemployment benefits that you get every month? (Unit:yuan/month) (asked to all family members aged |                                                                          |
between 16 and 65.)

<table>
<thead>
<tr>
<th>【A3049】</th>
<th>Was 【CAPI loads name】 hunting for job over the last month? (asked to all household members aged above 16)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Yes</td>
</tr>
<tr>
<td>2.</td>
<td>No (skip to 【A3049b】)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>【A3049a】</th>
<th>To find a job, 【CAPI loads name】 has:(multiple choice)(asked to all family members aged above 16)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Read about recruitment news online or in newspaper</td>
</tr>
<tr>
<td>2.</td>
<td>Taken part in vocational training or courses</td>
</tr>
<tr>
<td>3.</td>
<td>Sent CV or filled in the job application</td>
</tr>
<tr>
<td>4.</td>
<td>Taken part in written test and interview</td>
</tr>
<tr>
<td>5.</td>
<td>Contacted job-hunting companies or job agencies</td>
</tr>
<tr>
<td>6.</td>
<td>Asked for help from relatives and friends</td>
</tr>
<tr>
<td>7.</td>
<td>Issued job-wanting post or replied job-wanting post</td>
</tr>
<tr>
<td>8.</td>
<td>Others (please specify) ______</td>
</tr>
</tbody>
</table>

→skip to 【A3049c】

Definition: “Having read about recruitment news online or in newspaper” refers to merely being informed with the information but no actions following.

<table>
<thead>
<tr>
<th>【A3049b】</th>
<th>【CAPI loads name】 didn’t find job last month because: (asked to all family members aged above 16)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>He/She though there was no suitable position</td>
</tr>
<tr>
<td>2.</td>
<td>He/She tried but failed</td>
</tr>
<tr>
<td>3.</td>
<td>He/She lacked required educational background, training skill or experience</td>
</tr>
<tr>
<td>4.</td>
<td>He/She felt sexual or other kinds of discrimination</td>
</tr>
<tr>
<td>5.</td>
<td>He/She was taking care of family or other household obligations</td>
</tr>
<tr>
<td>6.</td>
<td>He/She was attending school</td>
</tr>
<tr>
<td>7.</td>
<td>He/She was taking part in training activities</td>
</tr>
<tr>
<td>8.</td>
<td>He/She had health problem</td>
</tr>
<tr>
<td>9.</td>
<td>There was poor transportation</td>
</tr>
</tbody>
</table>
10. Others (please specify) ______
   →skip to 【A4002a】

【A3049c】 Notice for interviewers: the period less than a week is counted as a week.
Until last weekend 【CAPI loads name】 has been hunting job for:
(Unit: week)(asked to all family members aged above 16)

【A3049d】 If 【CAPI loads name】 is provided with a job, could he/she start working in one week? (asked to all family members aged above 16)
1. Yes
2. No

Notice: if the respondent requires some additional conditions e.g. working period, working type, etc., then still choose 1; if the respondent is temporarily unable to work, i.e. catching a cold, then still choose 1.

【A3051】 【CAPI loads name】 What is your minimal monthly salary requirement? (Unit: yuan/month) (asked to all household members aged above 16)

Notice: 【A3052】 is asked only to the respondent's family members aged between 14 and 35 in Sichuan province.

【A3052】 Which of the following choices could describe 【CAPI loads name】? (multiple choice)
1. Young writer/copywriter
2. Young singer
3. Young actor/actress
4. Young model
5. Youngster in painting/calligraphy fields
6. Youngster in dancing field
7. Art-loving youngster
8. Youngster in internet media industry
9. Young volunteer
10. Young stock investor
11. Young IT staff
12. Disabled youngster
13. Youngster with influence online
14. Youngster with misbehavior
5. Respondent’s Attitude and Financial Knowledge

Prompt: We would now like to ask some questions about your financial knowledge.

[A4002a] What is your degree of concern for economic and financial information?
1. Extremely concerned
2. Very concerned
3. Generally concerned
4. Seldomly concerned
5. Not at all

Notice for interviewers: including temporary training.

[A4002b] Have you ever taken economic and financial classes?
1. Yes
2. No

Notice for interviewers: could ask the respondent what kind of investment he/she is willing to choose if he/she wins a lottery of 500,000 yuan.

[A4003] Which of the choice below do you want to invest most if you have adequate money?
1. Project with high-risk and high-return
2. Project with slightly high-risk and slightly high-return
3. Project with average risk and return
4. Project with slight risk and return
5. Unwilling to carry any risk
6. No idea

[A4004a] Given a 4% interest rate, how much would you have in total after 1 year if you have 100 yuan deposited?
1. Under 104
2. 104
3. Over 104
4. Cannot figure out

[A4005a] With an interest rate of 5% and an inflation rate of 3%, the staff you buy with the money you have saved in the bank for 1 year is
1. More than last year
2. The same as last year
3. Less than last year
4. Cannot figure out

[A4006a] What would you choose between a lottery with 100% shot at 4,000 yuan and another with 50% shot at
10,000 yuan and 50% chance for nothing?
1. The former
2. The latter

【A4007aa】 Which one do you think is more risky, stock or fund?
1. Stock
2. Fund
3. Haven’t heard about stock
4. Haven’t heard about fund
5. Neither of them have been heard about

The following questions are asked only to respondents from the rural areas in Shaanxi province.

【A4007a】 Does your family keep accounts? (household income and expenses)
1. Yes
2. No (skip to 【A4007c】)

【A4007b】 How often does your family keep accounts?
1. Every day
2. Every week
3. Every month
4. Every quarter
5. Only keep the important income and expense
6. Others (please specify) ______

【A4007c】 Was your family expense within plan last year?
1. Yes (skip to 【A4007e】)
2. No

【A4007d】 Why did your family fail to control the expense within plan? (multiple choice)
1. Unstable work can’t guarantee the income
2. Losses in agricultural business
3. Income from other sources decreased
4. Sickness of family members
5. Other accidents to the family
6. Others (please specify) ______

Notice for interviewers: personal credit reports record historical credit transactions between clients and banks. All the credit transactions with banks will be recorded in the personal credit consulting system through data reporting of commercial banks, which forms personal credit reports of clients. (Credit transactions include getting credit cards, loaning money, providing credit guarantee for others' loans and other credit transactions between banks.)

【A4007e】 Have you ever heard of personal credit report?
1. Yes
2. No
【A4007f】 What below do you think would affect your personal credit record in the bank? (multiple choice)
1. Defaulting a loan
2. Failing to timely pay off credit card
3. Defaulting paying the annual cost
4. Failing to timely pay the due fees (water, electricity, telecommunication fees)
5. Self-owned MSE defaulting bank loan
6. Nothing

Notice for interviewers: “participating in financial market” includes activities of deposit, loans, stocks, finance management and insurance, etc.

【A4007g】 What do you get by participating in financial market? (multiple choice)
1. Convenience and security
2. More wealth accumulation(investment)
3. Good for risk diversification
4. Nothing
5. Others (please specify) ______

【A4007h】 If you lost your bank card password, you will
1. Ask for money –back from financial institution
2. Ask friend to withdraw money
3. Report loss by telephone and taking ID card to bank counter to handle written procedure
4. Have no one to blame and take it as loosing money
5. Others (please specify) ______

【A4007i】 For security concern, you would better (multiple choice)
1. Keep well the password
2. Not print the transaction note
3. Keep the transaction note if the information is correct
4. Not disclose to any stranger the card information
5. Others (please specify) ______

【A4007j】 Do you know about the loan application procedure of financial institutions (bank, rural credit cooperative)?
1. Very well
2. Well
3. Know something
4. Not so well
5. Not at all

【A4007k】 Which of the following measures do you think could identify genuine money from counterfeit one? (multiple choice)
1. By watermark
2. By white watermark
3. By security thread
4. By OVI
5. By the invisible denomination
6. By touching the portrait and braille
7. By flapping the cash and listening to the sound
8. By currency detector
9. Others (please specify) ______

【A4007l】 If handed with counterfeit note, you will:
1. Manage to spend it
2. Destroy it
3. Hand in it to the police
4. Hand in it to financial institutions
5. Keep it
6. Others (please specify) ______

【A4007m】 Where do you think people could exchange mutilated money?
1. PBOC
2. Banking office
3. Public securitiy department
4. Consumers’ association
5. Others (please specify) ______

【A4007n】 Have you received counterfeit money in the past year?
1. Yes
2. No (skip to【A4007p】)

Notice for interviewers: including the counterfeit money you spent after receiving it, handed in, destroyed and kept.

【A4007o】 How much counterfeit money have you received last year? (Unit: yuan)

【A4007p】 How do you think of insurance products?
1. Not necessary, nor will I be endangered
2. Not necessary, not helpful when endangered
3. Necessary, but no idea which product to buy
4. Necessary, but not affordable
5. Necessary, and intended to products with high return
6. Necessary, and intended to products with high security
7. Others (please specify) ______

【A4007q】 Which of the following institutions do you think couldn't deal with deposit business? (multiple choice)
1. Agricultural Bank of China
2. Agricultural Development Bank of China
3. China Life
4. Small-loan company
5. Private financing individual or group (with commitment of high return)

【A4007r】 Receiving a stranger’s call telling that a relative is endangered and is in urgent need of money, you will: (multiple choice)
1. Remit money immediately
2. Ignore
3. Think twice and make decision after figuring it out
4. Report the case to the police
5. Others (please specify) ______

【A4007s】 How do you think to avoid financial defraud? (multiple choice)
1. Don’t gain petty because there is no pie in the sky
2. Keep well your ID card, Hukou book, etc., and do not lend them to others easily
3. Keep well your bankbook, bank cards, etc.
4. Never tell others your password
5. Trust relatives, friends and fellows. They won’t cheat me
6. Others (please specify) ______

【A4007t】 When your rights and interests are damaged in financial service, you will:
1. Complain to financial institution
2. Complain to industrial and commercial management department, supervision departments or PBOC
3. Complain to financial consumer hotline “12363”
4. Others (please specify) ______
5. Let it go

If there is at least one family member chooses option 6 for【A2001】，and their answers to A2005≥1986 & A2005≤2010, ask【A4010a】; Otherwise, skip to【A4010b】.

【A4010a】 Do you have plans to send your child (children) to study abroad?
1. Yes
2. It depends
3. No

【A4010b】 Do you have plans to emigrate?
1. Yes
2. It depends
3. No

【A4011c】 How happy do you feel?
1. Extremely happy
2. Happy
3. Acceptable
4. Unhappy
5. Extremely unhappy

**Grassroots governance (Volume A)【A4012】-【A4026b】**

Prompt: Now we want to know your evaluation about community work.

【A4012】 If you conflict or dispute with residents in the community, whom will you turn to for help and conciliation? (Three options at most) (asked only to respondents of questionnaire A)
1. Families and friends
2. Resolve by myself
3. Community cadres
4. Superior government
5. Social organizations
6. Clansmen/religion
7. Enterprise units
8. Others (please specify) ______

【A4014】 How much do you think community/village committees help? (asked only to respondents of questionnaire A)
1. Quite helpful
2. Much helpful
3. Acceptable
4. Not much helpful
5. Not helpful

【A4016】 In the four groups of people—doctors, scientists, teachers and lawyers, how many groups do you trust? (asked only to respondents of questionnaire A)
1. One group
2. Two groups
3. Three groups
4. Four groups
5. None of them

【A4017】 Are you satisfied with the social security level at present? (asked only to respondents of questionnaire A)
1. Quite satisfied
2. Satisfied
3. Acceptable
4. Not satisfied
5. Quite dissatisfied

【A4020】 Are you satisfied with employment services provided by the community? (For example, suppliance of employment information, occupation introduction, and organization of employment training) (asked only to respondents of questionnaire A)
1. Quite satisfied
2. Satisfied
3. Acceptable
4. Not satisfied
5. Quite dissatisfied
6. No relevant activities or service is implemented.

【A4022】 Are you satisfied with care provided by the community/village to the elderly, children and disabled? (asked only to respondents of questionnaire A)
   1. Quite satisfied
   2. Satisfied
   3. Acceptable
   4. Not satisfied
   5. Quite dissatisfied
   6. No relevant activities or service is implemented.

【A4024】 Are you satisfied with medical and health services of the community/county? (asked only to respondents of questionnaire A)
   1. Quite satisfied
   2. Satisfied
   3. Acceptable
   4. Not satisfied
   5. Quite dissatisfied
   6. No relevant activities or service is implemented.

【A4026】 What information below do you collect from the publicity by community/village committees? (multiple choice) (asked only to respondents of questionnaire A)
   1. Annual working programs of the two committees
   2. Party affairs
   3. Financial revenue and expenditure and liability
   4. Collective assets and their operation & management
   5. Progress of projects and major events
   6. Detailed conditions of various subsidies
   7. Usage of allocated subsidies and funds
   8. Family planning
   9. Salaries and allowances of cadres of community/village
   10. Various policy explanations and publicity
   11. Others (please specify) ______

【A4026a】 Are you willing to participate in community governance? (asked only to respondents of questionnaire A)
   1. Yes
   2. No
【A4026b】 Do you think your advice about community are taken seriously? (asked only to respondents of questionnaire A)
   1. Yes
   2. No
   3. I’ve never offered any advice

Prompt: Now we want to know your evaluation about community work.
【A4013】 Whom will you turn to for help if you have difficulties in your life? (three options at most) (asked only to respondents of questionnaire B)
   1. Families and friends
   2. Resolve by myself
   3. Community cadres
   4. Superior government
   5. Social organizations
   6. Clansmen/Religion
   7. Enterprises
   8. Others (please specify) ______

【A4015】 Do you trust strangers? (asked only to respondents of questionnaire B)
   1. Trust very much
   2. Trust
   3. Acceptable
   4. Distrust
   5. Distrust at all

【A4015a】 In the five groups of people—doctors, scientists, teachers, lawyers and district/county officials, how many groups do you trust? (asked only to respondents of questionnaire B)
   1. One group
   2. Two groups
   3. Three groups
   4. Four groups
   5. Five groups
   6. None of them

【A4018】 Are you satisfied with community environment renovation? (asked only to respondents of questionnaire B)
   1. Quite satisfied
   2. Satisfied
   3. Acceptable
   4. Not satisfied
   5. Quite dissatisfied
   6. No relevant activities or service is implemented.
【A4019】 Are you satisfied with the community’s cultural and entertainment services? Including self-organized cultural and entertainment activities by residents, e.g. artist programs, dancing (including square dancing), calligraphy and painting competitions, public lectures, parent-child education activities, various physical activities and other recreational activities. (asked only to respondents of questionnaire B)
   1. Quite satisfied
   2. Satisfied
   3. Acceptable
   4. Not satisfied
   5. Quite dissatisfied
   6. No relevant activities or service is implemented.

【A4021】 Are you satisfied with the community’s security management? (asked only to respondents of questionnaire B)
   1. Quite satisfied
   2. Satisfied
   3. Acceptable
   4. Not satisfied
   5. Quite dissatisfied
   6. No relevant work or service is implemented.

【A4023】 Are you satisfied with the latest democratic election of community/village committee? (asked only to respondents of questionnaire B)
   1. Quite satisfied
   2. Satisfied
   3. Acceptable
   4. Not satisfied
   5. Quite dissatisfied
   6. No relevant work or service is implemented.

【A4025】 Are you satisfied with community/village’s traffic construction? (asked only to respondents of questionnaire B)
   1. Quite satisfied
   2. Satisfied
   3. Acceptable
   4. Not satisfied
   5. Quite dissatisfied
   6. No relevant work or service is implemented.

【A4027】 Are you satisfied with the district/county’s compulsory education? (asked only to respondents of questionnaire B)
   1. Quite satisfied
   2. Satisfied
3. Acceptable  
4. Not satisfied  
5. Quite dissatisfied

【A4027a】 Do you think whether today's society is fair or not? (asked only to respondents of questionnaire B)  
1. Quite fair  
2. Fair  
3. Acceptable  
4. Unfair  
5. Quite unfair

【A4027b】 Do you feel secure living in today's era? (asked only to respondents of questionnaire B)  
1. Quite secure  
2. Secure  
3. Acceptable  
4. Insecure  
5. Quite insecure

【A4027c】 Are your advice about community adopted by the community or superior government? (asked only to respondents of questionnaire B)  
1. Yes  
2. No  
3. I’ve never offered any advice

【A4028】 Are the following public communication platforms available in your community? (multiple choice)  
1. QQ group  
2. WeChat group  
3. Internet forum (community BBS, post bar, etc.)  
4. Microblog  
5. Others (Please specify) ______  
6. None of them (skip to【A4030】)

【A4029】 Have you ever obtained community service information from the community’s public communication platforms?  
1. Yes  
2. No

Environmental protection  
Prompt: Now we want to know your opinions about environmental protection issue.  
【A4030】 Which pollutions of the following aspects do you think are rather serious in your city? (Three options at most) (asked only to urban respondents of questionnaire A)  
1. Factory discharge  
2. Vehicle exhaust
3. Household garbage
4. Electromagnetic radiation pollution
5. Noise pollution
6. Oil/Smoke pollution of catering business
7. Agricultural pollution
8. Pollution of plastic bags or meal boxes
9. Water pollution
10. Air pollution
11. Others (please specify) ______

【A4032】 Do you trust daily food? (asked only to respondents of questionnaire A)
1. Yes
2. Acceptable
3. No

【A4034】 Are you and your families willing to pay a higher price for similar products with green signs, i.e. organic products, energy-saving products and other green products? (asked only to respondents of questionnaire A)
1. Yes
2. No

【A4031】 Do you think whether your city government attaches importance to environmental protection? (asked only to urban respondents of questionnaire B)
1. Yes
2. Acceptable
3. No

【A4033】 Are you used to taking along bags to shop? (asked only to respondents of questionnaire B)
1. Yes
2. No

【A4035】 How much are you willing to pay to support environmental protection every year? (asked only to respondents of questionnaire B)
Part Two: Assets and Debts

Prompt: Next we are going to talk about your family’s assets and liabilities, including all of the aforementioned family members. Let’s start non-financial assets.

1. Non-Financial Assets

(1) Production and Operation

Agriculture

【B1001】 Did your family engage in agricultural production last year? (This includes agriculture, forestry, animal husbandry and aquaculture, but not any work the respondent was hired by others to do).
   1. Yes
   2. No

Definition: Agricultural production and operation mainly include cultivation of crops, breeding, cultivation of livestock, poultry and aquatic products and other agricultural activities.

Easy-to-understand expression: Was there anyone of your family farming, i.e. tilling the land, planting fruit-trees and breeding last year?

【B1001a】 Is your family impoverished household? (asked only to rural respondents)
   1. Yes
   2. No

Definition: Rural family with per capita income lower than certain standard could apply for qualification of “impoverished household” by filling the “Impoverished Manual”. After the village committee’s democratic assessment and publicity and the governmental approval, the family is entitled with “Impoverished Household”.

【B1001b】 Is there a family member of yours working as village cadre including village head, village Party Secretary, village director, officer of women’s congress? (asked only to rural respondents)
   1. Yes
   2. No

Skip to 【B2000b】 if B1001=2

【B1001c】 To which of the following operating types does your family business belong? (multiple choice)
   1. Agricultural enterprise
   2. Agricultural cooperative
3. Family farm
4. Leading specialized households
5. General rural household
6. Others (please specify) ______

【B1001d】-【B1004g】 asked when B1001c=1 or 2 or 3
CAPI: loads 【B1001c】’s options one by one and cyclically ask about【B1001d】
【B1001d】【CAPI loads B1001c’s options one by one】 is registered in : (multiple choices) (asked when B1001c=1 or 2 or 3)

1. Industrial and commercial department
2. Other departments (e.g. agricultural committee, civil administration departments, etc.)
3. Hasn’t registered yet

Notice for interviewers: being engaged in cultivation of commercial crops, woods, livestock, and aquatic products and fishing is for profit. Chickens, ducks, cows, sheep and fish raised for family consumption and the related activities are not agricultural production or operation. Conversely, those sold in markets and the related activities can be deemed as agricultural production and operation.

【B1004】 What agricultural business did your family run last year? (multiple choice)
1. Crop planting
2. Commercial crop planting
3. Tree planting, logging and transporting
4. Livestock and poultry breeding
5. Aquaculture breeding and fishing
6. Others (please specify) ______

【B1004b】 What did your family plan last year? (multiple choice) (asked when B1004=1)
1. Rice
2. Wheat
3. Maize
4. Potato
5. Sweet potato
6. Others (please specify) ______

【B1004c】 What commercial crop below did your family plant last year? (multiple choice) (asked only when B1004=2)
1. Soybean
2. Peanut
3. Rape
4. Tea
5. Cotton
6. Sugar beet
7. Sugar cane
8. Tabacco
9. Vegetable
10. Melon and fruit
11. Spicery crop
12. Others (please specify) ______

Notice for interviewers: planting acreage this year= last year’s autumn and winter planting acreage+this year’s summer planting acreage+ this year’s autumn planting acreage.

CAPI: loads 【B1004b】 and 【B1004c】 ’s options one by one and cyclically ask 【B1004d】 - 【B1004f】  
【B1004d】 Last year the【CAPI loads B1004b and B1004c’s options one by one】’s planting acreage is ? (asked when B1004b=1 or 2 or 3 or B1004c=1 or 2 or 3)

Notice for interviewers: note it if the respondent has already answered.

【B1004e】 The unit noted is (asked when B1004b=1 or 2 or 3or B1004c=1 or 2 or 3)
1. Mu (≈666.67 ㎡)
2. Fen (≈ 66.67 ㎡)
3. Qing (≈100 Mu)
4. Hectare
5. Square meter
6. Others (please specify) ______

Easy-to-understand expression: (e.g. rice) How many grams of rice did your family produce last year?

【B1004f】 Last year, the total output of your family’s【CAPI loads B1004b or B1004c’s options one by one】 was (unit: kilograms)(asked when B1004b=1 or 2 or 3 or B1004c=1 or 2 or 3)

【B1004g】 Last year what livestock, poultry, or aquatic product below did your family raise? (multiple choices) (asked when B1004=4 or 5)
1. Cow
2. Pig
3. Sheep
4. Chiken
5. Duck
6. Goose
7. Pigeon
8. Quail
9. Rabbit
10. Dog (not pet)
11. Horse
12. Donkey
13. Fish
14. Shrimp and crab
15. Shellfish
16. Alga
17. Others (please specify) ______

CAPI loads B1004’s options one by one and cyclically ask 【B1004h】 - 【B1004iit】
【B1004h】 last year the total output of your family’s【CAPI loads B1004’s options one by one】 was :(Unit:yuan)
(ask 【B1004hit】 if the respondent doesn’t answer or doesn’t know)

Easy-to-understand expression: (taking 1000 grams of rice as an example) Last year, how much was your family’s 1000 rice sold for?

【B1004hit】 Last year your family’s【CAPI loads B1004’s options】 output was:
1. Less than10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than10,000,000

Notice for interviewers: fill in “0” if there was no selling last year.

【B1004i】 Last year, what’s the gross income by selling 【CAPI loads B1004’s options one by one】
(ask 【B1004iit】 if the respondent doesn’t know or answer)

Easy-to-understand expression: (e.g., rice) Last year, how much was your rice sold for?

【B1004iit】 Last year your family’s【CAPI loads B1004’s options one by one】 output was:
1. Less than10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than10,000,000

【B1002】 Last year, how many of your family members participated in agricultural production or operation?
Easy-to-understand expression: Last year, how many of your family members did farming work?
Skip to 【B1011】 if B1002=0.

Notice for interviewers: period shorter than one month is counted as one month, and keep one decimal.
【B1003】 Last year, for how many months were they doing agricultural business? (Unit: month)

Notice for interviewers: the question excludes employed worker or operators staffed to rented agricultural machinery and transportation vehicle.
【B1011】 Last year, did your family employ anyone for agricultural business?
1. Yes
2. No (skip to 【B1005a】)

Easy-to-understand expression: Last year, did your family employ anyone for farming work?
【B1011a】 How many people did your family employ last year?

【B1011b】 What is your expense on employment last year? (Unit: yuan)

【B1005a】 Does your family have any domestic animal for agricultural production?
1. Yes
2. No (skip to 【B1014】)

Easy-to-understand expression: Does your family have any cow, horse, donkey or mule for farming or drawing goods?

【B1005b】 How much are your domestic animals for agricultural production and operation worth in total? (Unit: yuan)
(ask 【B1005bit】 if the respondent does not know or is unwilling to answer)

【B1005bit】 To which one of the following scopes does the total value of the domestic animals that are raised for self-consumption or agricultural production and operation belong?
1. Less than 2,000
2. 2,000-5,000
3. 5,000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

【B1014】 How much were your agricultural machinery worth? Fill in “0” if they don’t have agricultural machines (unit: yuan) (if the respondent doesn’t know or answer, ask 【B1014it】)

Definition: Agricultural machine: Machines for agricultural production and process including tractor, seeder, rice transplanter, harvester, thresher, cultivator, rice mill, pumper, insecticide sprayer, fodder grinder, etc.
The total value of these agricultural machines are:
1. Less than 2000
2. 2000-5000
3. 5000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

【B1014a】-【B1014ad】 are asked only when B1004b=1 or 2 or 3 and B1004c=1 or 2 or 3

【B1014a】 Last year, in which of the following phase was the machine used? (multiple choice)
1. Ploughing
2. Seeding
3. Harvesting
4. Not used (skip to【B1015a】)

【B1014aa】 What was the area of the land ploughed by machine? (asked when B1014a=1)

【B1014ab】 What was the area of the land sown by machine? (asked when B1014a=2)

【B1014ac】 What was the area of the land harvested by machine? (asked when B1014a=3)

【B1014ad】 The unit is ______?
1. Mu (≈666.67 m²)
2. Fen (≈ 66.67 m²)
3. Qing (≈100 Mu)
4. Hectare
5. Square meter
6. Others (please specify) ______

Notice for interviewers: expenses include those for employment and rental involved in land consolidation, sewing, reaping, fertilization, weeding, deinsection, irrigation, threshing or transportation. If there aren’t such activities, fill in “0”.

【B1015a】 Last year, how much did your family spend on renting agricultural machines and on transportation vehicles(including fees for operators)(Unit:yuan)
(ask【B1005ait】if the respondent doesn’t know or answer)

【B1015ait】 Last year, your family’s expenses on employment and rental of agricultural machines were:
1. Less than 2000
2. 2000-5000
3. 5000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

【B1017】 Last year, what agricultural material product below did your family purchase? (multiple choice)
1. Seeds
2. Germchit
3. Cub
4. Seedling
5. Pesticide
6. Growth promoter
7. Fish drug
8. Herbicide
9. Fertilizer
10. Bait
11. Agricultural film
12. Agricultural implements
13. Others (please specify) ______
14. Haven’t purchased (skip to【B1012】)

CAPI: loads B1017’s options one by one and cyclically ask 【B1019】
【B1019】 【CAPI loads B1017’s options one by one】 costs: (Unit:yuan)

【B1020】 You purchased via:
1. Agricultural product market
2. Agricultural material promoted by sales personnel
3. Agricultural product shop/super market
4. Online shop
5. Others (please specify) ______

Easy-to-understand expression: (take pesticide as an example) where did you buy the pesticide?

【B1021】 Last year, did you purchase on credit or borrow loan when purchasing agricultural material?
1. Yes
2. No

【B1012】 Besides the cost and expenditures mentioned above, how much were other expenditures of your family
engaged in agricultural production and operation last year? (Unit: yuan)?
(ask 【B1012it】 if the respondent does not know or is unwilling to answer)

**Easy-to-understand expression:** Besides money paid for seeds, fertilizers, pesticides, hiring labor and renting machines, how much have you paid for farming including water and electric charge for irrigation.

【B1012it】 In which one of the following scopes do other costs of agricultural production belong?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 7,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

**Prompt:** Now we want to know about sales of agricultural products and agricultural materials procurement of your family.

【B1100】 Did your family sell self-produced agricultural products last year?
1. Yes
2. No (skip to 【B1013a】)

**Easy-to-understand expression:** (Take apples for example) did your family sell these homegrown apples last year?

【B1101】 How many agricultural products of your family were sold through network last year?
1. All of them
2. Majority of them
3. Half of them
4. Minority of them
5. None of them (skip to 【B1108】)

【B1102】 What kind of internet sales methods does your family adopt? (multiple choice)
1. Becoming the supplier of e-tailers
2. Opening an online store
3. Online promotion and publicity
4. Building a marketing website
5. Others (please specify) ______

【B1103】 In which year did your family start to sell agricultural products online?

**Notice:** year in four-digit, e.g. 2010.
【B1104】 What e-commerce platforms does your family adopt to sell agricultural products online? (multiple choice)
1. Alibaba
2. Taobao/Tmall
3. JD.COM
4. Womai.com
5. Sfbest.com
6. Benlai.com
7. Yhd.com
8. Amazon China
9. Dangdang.com
10. Meituan.com
11. Others (please specify) ______

【B1105】 What kind of collection does your family adopt for online sale of agricultural products? (multiple choice)
1. Third-party payment platform
2. E-bank
3. Collection on delivery
4. Pay for another
5. Bank telegraphic transfer
6. Postal remittance
7. Others (please specify) ______

【B1106】 What third-party payment platforms does your family adopt? (multiple choice) (asked only when B1105=1)
1. AliPay
2. Wechat Pay
3. TenPay
4. Unionpay
5. 99Bill
6. ChinaPNR
7. YeePay
8. IPS
9. All in pay
10. Lakala
11. Others (please specify) ______

【B1107a】 How long will it generally take from delivery to payment collection for online sales? (Unit: day)

【B1107b】 Which of the following problems have you ever encountered for online sales? (multiple choice)
1. Difficulties in conserving agricultural products
2. Lack of standardization of agricultural products
3. High logistics cost
4. Poor network infrastructure
5. Poor security of online transactions
6. Lack of online operation knowledge  
7. Poor professionalism and practicability of online platform  
8. Others (please specify) ______  
9. Never met any problem  

In case of B1101=1, skip to 【B1013a】.  
【B1108】 Besides online sales, what kind of offline sales does your family choose? (multiple choice)  
1. Purchase of agricultural products by nonlocal merchants  
2. Selling of products at market by ourselves  
3. Selling of products to other local large distributors  
4. Selling of products to processing enterprises  
5. Purchase of products by the approaching consumers  
6. Others (please specify) ______  

【B1109】 With the offline sales methods above, how long will it generally take from delivery to payment collection? (Unit: day)  
【B1013a】 What was the market value of the agricultural products your family have? (Unit:yuan)  
Easy-to-understand expression: (Take rice cultivation for example) How much are your unhusked rice sold for in market?  

【B1013ait】 What is the range of the market value of agricultural products your family have?  
1. Less than 2,000  
2. 2,000-5,000  
3. 5,000-10,000  
4. 10,000-20,000  
5. 20,000-50,000  
6. 50,000-100,000  
7. 100,000-150,000  
8. 150,000-200,000  
9. 200,000-300,000  
10. 300,000-500,000  
11. More than 500,000  

Ask 【B1013b】 if B1100=1, skip to 【B1006】 if B1100=2.  
【B1013b】 Is there any account receivables of your family for selling agricultural products?  
1. Yes  
2. No (skip to 【B1013d】)  
Easy-to-understand expression: (e.g. tea sales)Are there any money from selling teas that are yet to be received?  

【B1013c】 How much is the sum of money to be received?(Unit:yuan)  
(ask 【B1013cit】 if the respondent doesn’t know or isn’t willing to answer)
【B1013cit】 The account receivable is within:
1. Less than 2000
2. 2000-5000
3. 5000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

【B1013d】 Have your family had any outstanding debts for purchasing agricultural products on credit?
1. Yes
2. No (skip to【B1006】)

Easy-to-understand expression: Has your family repaid all the debts for buying pesticides, fertilizers and other agricultural materials?

【B1013e】 How much are these outstanding debts? (Unit: yuan)
(ask【B1013eit】 if the respondent does not know or is unwilling to answer)

【B1013eit】 The amount of these outstanding debts is:
1. Less than 2,000
2. 2,000-5,000
3. 5,000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

Prompt: Next we would like to know the information about agricultural subsidies for your household.

【B1006】 did your household obtain any agricultural subsidies last year? (This excludes subsidies for “Grain for Green”.)
1. Yes
2. No (skip to【B1115】)

【B1007】 What type of subsidy was it?
1. Monetary
2. In-kind (skip to 【B1010】)
3. Both monetary and in-kind

【B1008】 What is the amount of the subsidy? (Unit: yuan)

**Skip to 【B1115】 if B1007=1.**

【B1009】 Which of the below does the in-kind subsidies include? (multiple choice)
1. Pesticide/Fertilizer
2. Seeds/Seedlings
3. Poultry and livestock calves
4. Fry/Shrimp seed
5. Agricultural Machinery
6. Others (please specify) __________

【B1010】 Discounted to market price, what is the approximate value of the in-kind subsidies your household received for agricultural production and operation? (Unit: yuan)

**Prompt: Next we would like to know the information of the agricultural services for your household?**

【B1115】 Has your household received any agricultural technical guidance during the agricultural production?
1. Yes
2. No (skip to 【B2000b】)

【B1116】 In what ways did you receive agricultural technical advice? (multiple choice)
1. Government assistance for free
2. Vendor-provided agricultural products for free
3. Knowledge passed on by friend, relatives or neighbor
4. Online information, books or newspaper
5. Training courses at one’s own expenses
6. Others (please specify) __________
Industry and Commerce
Notice for interviewers: this "business production and management projects" include self-employment, small handicraft business, and so on.

【B2000b】 Is your family engaged in production and operation of industry and commerce, including individual business, leasing, transportation, online stores, and enterprises?
   1. Yes (skip to【B2001a】)
   2. No (ask 【B2000c】 if engaged in industry and commerce in 2013; ask 【B2000d】 if not in 2013 and for new respondents in 2015.)

【B2000c】 We knew your household was running business production and management in 2013 survey. Why did you end it? (multiple choice)
   1. Funding problems
   2. Too much tax
   3. Changes in policy
   4. Industrial competition
   5. Mismanagement
   6. Personal or family reasons
   7. Others (please specify) ______
   8. Not previously engaged in business production and management (do not read it)

Skip to【B3001】 if B1001=1; skip to【C1000aa】 if B1001=2.
【B2000d】 Does your household intend to participate in business production and management in the future, including self-employment, renting, transportation, shop keeping, or small business?
   1. Yes
   2. No

Skip to【B3001】 if B1001=1; skip to【C1000aa】 if B1001=2.
【B2001a】 Why did your household start a business?
   1. Couldn’t find other work opportunities
   2. Possibility to earn more in business
   3. Ideal job/Entrepreneurial drive
   4. More freedom and flexibility
   5. Family business
   6. Others (please specify) ______

【B2008】 In your household’s business, what is the method of organization as well as its production and operation? (multiple choice)
   1. Self-employed
   2. No formal organization
   3. Incorporated company
   4. Limited liability company
   5. Joined-venture
6. Solo proprietorship

【B2021】 - 【B2022it】 are asked only when B2008=3, 4.
【B2021】 Currently, how much of 【CAPI loads B2008’s option】’s net assets belong to your household? Net assets are the amount of assets remaining after deducting liabilities. Assets include project-related shops, cash deposits, inventory, office equipment, machinery, or mechanical means of transportation; these do not include the value of the project-related houses owned by business owner. Transportation includes operating or leasing transportation vehicles or construction machinery, e.g. truck, wagon, if the car battery is also leased or operated. Examples include trucks, cranes, bulldozers, excavation vehicles, and motorized rickshaws. (Unit:yuan)
(ask【B2021it】 if the respondent does not know or is unwilling to answer)

【B2021it】 What is the range of your household’s net assets in the business?
1. Less than 50,000
2. 50,000–100,000
3. 100,000–200,000
4. 200,000–500,000
5. 500,000–1,000,000
6. 1,000,000–2,000,000
7. 2,000,000–5,000,000
8. 5,000,000–10,000,000
9. 10,000,000–20,000,000
10. 20,000,000–50,000,000
11. More than 50,000,000

【B2022】 What was your household’s after-tax income from 【CAPI loads B2008’s option】? Here, after-tax income refers to all income, including business wages, dividends, or profits with taxes deducted. (Unit:yuan)
(ask【B2022it】 if the respondent does not know or is unwilling to answer)

【B2022it】 Last year, how much after-tax income did you receive from your company’s business? (Unit:yuan)
1. Less than 20,000
2. 20,000–50,000
3. 50,000–100,000
4. 100,000–200,000
5. 200,000–500,000
6. 500,000–1,000,000
7. 1,000,000–2,000,000
8. 2,000,000–5,000,000
9. 5,000,000–10,000,000
10. 10,000,000–20,000,000
11. More than 20,000,000

【B2036】 - 【B2037it】 are asked only when B2008=5, 6.
【B2036】 How much is the 【CAPI loads B2008’s option】’s total asset? Total asset includes project-related shops,
Cash deposit, stock, office equipment, machinery, means of transportation, etc., but excludes the value of self-owned houses used for these projects. Means of transport include operation and leasing of transport vehicles and engineering machinery vehicles, such as trucks, vans, excavators, cranes, and bulldozers. Tricycles and electromobiles are also included if they are used for operation and leasing. (Unit: yuan)

(ask B2036i if the respondent does not know or is unwilling to answer)

**B2036i** Which range below are the total assets (the parts belonging to your family) of the enterprises within?

1. Less than 50,000
2. 50,000–100,000
3. 100,000–200,000
4. 200,000–500,000
5. 500,000–1,000,000
6. 1,000,000–2,000,000
7. 2,000,000–5,000,000
8. 5,000,000–10,000,000
9. 10,000,000–20,000,000
10. 20,000,000–50,000,000
11. More than 50,000,000

(B2037) How much of after-tax income did your family get from [CAPI loads B2008’s option]? After-tax income refers to the income with all the taxes deducted, including salaries, dividend, profit sharing obtained from a company. (Unit: yuan)

(ask B2037i if respondent does not know or is unwilling to answer)

**B2037i** Which range below is the after-tax income obtained from enterprises by your family within? (Unit: yuan)

1. Less than 20,000
2. 20,000–50,000
3. 50,000–100,000
4. 100,000–200,000
5. 200,000–500,000
6. 500,000–1,000,000
7. 1,000,000–2,000,000
8. 2,000,000–5,000,000
9. 5,000,000–10,000,000
10. 10,000,000–20,000,000
11. More than 20,000,000

(B2039) - (B2119) are asked only when B2008=1 or 2.

**B2039** How many “individual/informal organization” projects is your family operating?

Skip to **B2043** if B2039=1.

**B2040** How much are the total assets of these projects? Total asset includes project-related shops, cash deposit, stock, office equipment, machinery, means of transportation, etc., but excludes the value of self-owned houses used...
for these projects. Means of transport include operation and leasing of transport vehicles and engineering machinery vehicles, such as trucks, vans, excavators, cranes, and bulldozers. Tricycles and electromobiles are also included if they are used for operation and leasing. (Unit:yuan)

(ask【B2040it】 if the respondent does not know or is unwilling to answer)

【B2040it】 Which range below are the total assets of these projects within?

1. Less than 20,000
2. 20,000–50,000
3. 50,000–100,000
4. 100,000–200,000
5. 200,000–500,000
6. 500,000–1,000,000
7. 1,000,000–2,000,000
8. 2,000,000–5,000,000
9. 5,000,000–10,000,000
10. 10,000,000–20,000,000
11. More than 20,000,000

【B2041】 How much after-tax income did your family get from these projects last year? Here the after-tax income refers to the income with all the taxes deducted, including salaries, dividend, profit sharing obtained from a company. (Unit:yuan)

(ask【B2041it】 if the respondent does not know or is unwilling to answer)

【B2041it】 Which range is your family’s after-tax income obtained from these projects within? (Unit:yuan)

1. Less than 10,000
2. 10,000–30,000
3. 30,000–50,000
4. 50,000–70,000
5. 70,000–100,000
6. 100,000–300,000
7. 300,000–500,000
8. 500,000–1,000,000
9. 1,000,000–5,000,000
10. 5,000,000–10,000,000
11. More than 10,000,000

Prompt if B2039>1: Now we want to know specific information of the main project of this type of your family.

【B2043】 Since which year has your family started this project?

【B2045】 Which industry does this project belong to?

1. Manufacturing industry
2. Construction industry
3. Wholesale industry
4. Retail industry
5. Lodging industry
6. Catering industry
7. Software and information technology service industry
8. Transportation industry
9. Postal industry
10. Mining industry
11. Real estate development and operation industry
12. Warehousing industry
13. Leasing and commercial service industry
14. Property management industry
15. Information transmission industry
16. Power, heat, fuel gas and water production and supply industry
17. Others (please specify) ______

【B2046】 How much was your total investment in the project? (Material objects are converted into cash value) (Including cost input, expenditures on procurement of production equipment and raw materials, shop input, venue rental charges and workshop construction charges, as well as operation and leasing of transport vehicles and engineering machinery vehicles, such as trucks, vans, excavators, cranes, and bulldozers. Tricycles and electromobiles are also included if they are used for operation and leasing.) (Unit: yuan)
(ask 【B2046i】 if the respondent does not know or is unwilling to answer)

【B2046i】 Which range below is your total investment in the project within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

【B2050】 How much are the total assets of these projects? Total asset includes project-related shops, cash deposit, stock, office equipment, machinery, means of transportation, etc., but excludes the value of self-owned houses used for these projects. Means of transport include operation and leasing of transport vehicles and engineering machinery vehicles, such as trucks, vans, excavators, cranes, and bulldozers. Besides, tricycles and electromobiles are also included if they are used for operation and leasing. (Unit: yuan)
(ask 【B2050i】 if the respondent does not know or is unwilling to answer)

【B2050i】 Which range below are the total assets of the project within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【B2051】 What is your family's percentage of shares in the project? (Unit: %)

【B2052】 How much was the operating revenue of the project last year/first half of this year? 【Inquiry method】
(Unit: yuan)
(ask【B2052it】if the respondent does not know or is unwilling to answer)

【B2052it】 Which one of the following ranges is the business income of the project last year/for the first half of this year within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【B2054】 What is the profit status of the project last year/for the first half of this year?
1. Gain
2. Loss
3. Level off (skip to【B2056】)

【B2055】 How much was the project 【CAPI loads B2054’s option】 last year/for the first half of this year? (Unit: yuan)
(ask【B2055it】if the respondent does not know or is unwilling to answer)

【B2055it】 Which range below is the project’s 【CAPI loads B2054’s option】 amount last year/for the first half of this year within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【B2056】 What is the book-keeping instrument of the project? (asked only to the respondents of questionnaire B)
1. Computer
2. Mobile phone
3. By hands
4. Others (please specify) ______
5. No book-keep in

【B2057】 Is there any receivable for product sales or service provisions in this project by far?
1. Yes
2. No (skip to 【B2062】)

【B2059】 What is the total sum of receivables? (Unit:yuan)
(ask 【B2059it】 if the respondent does not know or is unwilling to answer)

【B2059it】 Which range below is the total sum of receivables within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【B2061】 How many months have passed from the occurrence of the largest receivable till now?

【B2062】 Is there any payable by importing equipment, purchasing products or receiving services in the project by far?
1. Yes
2. No (skip to 【B2076】)

【B2063】 What is the total sum of payables? (Unit: yuan)
(ask 【B2063it】 if the respondent does not know or is unwilling to answer)

【B2063it】 Which range below are these payables within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000
Next, we would like to information about internet usage.

【B2076】 What is the form of your online business?
1. Store operations (skip to【B2081】)
2. Network management
3. Both (combination)
4. Others (please specify) ______ (skip to【B2081】)

【B2077】 Which year did you start your online business?

【B2078】 Which internet platform does your household business adopt for sales and marketing? (multiple choice)
1. Tmall
2. Taobao
3. JD
4. Suning
5. Gome
6. Amazon China
7. Yihaodian
8. Vipshop
9. Dangdang
10. Tencent
11. Alibaba
12. You Shop
13. Others (please specify) ______

【B2079】 - 【B2079b】 are asked only when B2078=1 or 2 or 11.

【B2079】 What is your household business’ ID for TMall or Taobao? (asked only to non-AliBaba users.)

【B2079a】 Is 【CAPI loads shop’s name】 the name of your Taobao/Tmall shop? (asked only to AliBaba users.)
1. Yes (skip to【B2080】)
2. No

【B2079b】 What is your household’s main Taobao or Tmall ID? (asked only to AliBaba users.)

【B2080】 How much has your business generated since last year/the first half of this year by online sales? (Unit:yuan) (ask【B2080it】 if the respondent does not know or is unwilling to answer)

【B2080it】 Which range below was your business’ online sales income within last year/in the first half of this year,? (Unit:yuan)
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【B2081】 Does your business offer POS machine for payment?
1. Yes
2. No (skip to 【B2088】)

【B2082】 In which year did your business begin using a POS machine?

【B2083】 What is your business’ most common POS service?
1. Bank
2. Union Pay
3. Alipay
4. Tenpay
5. Union Pay Online
6. Quick Money
7. ChinaPnR
8. YeePay
9. Unicom Payment
10. International Payment Solution (IPS)
11. Lakala
12. Others (please specify) ______

【B2083a】 Have your ever changed your POS service?
1. Yes
2. No (skip to 【B2084】)

【B2083b】 Which POS service did you previously use?
1. Bank
2. ChinaUMS
3. Alipay
4. Tenpay
5. UnionPay Online
6. 99Bill
7. ChinaPnR
8. YEEPAY
9. Allinpay
10. IPS
11. Lakala
12. Others (please specify) ______

【B2083c】 What are the advantages of your current POS system compared with the previous one? (multiple choice)
1. No required fixed term deposit
2. Fee advantage (account fees, expenses, passwords pay, annual fee)
3. Rate advantage
4. Quick Addresses (less data or quick installation)
5. Value-added services (POS loans)
6. Larger-scale advertising efforts
7. Others (please specify) ______

Question stems of 【B2084】-【B2085it】 prompted as “last year” if B2082<2015, and “in the first half of this year” if B2082=2015.

【B2084】 What percentage of the annual revenue did the revenue by POS payments account for last year/in the first half of this year? (Unit: %)

【B2084it】 What percentage below of the annual revenue did the revenue by POS payments account for last year/in the first half of this year? (Unit: %)
1. Less than 10%
2. 10%-20%
3. 20%-30%
4. 30%-40%
5. 40%-50%
6. 50%-60%
7. 60%-70%
8. 70%-80%
9. 80%-90%
10. More than 90%

【B2085】 How much monthly revenue did POS payments generate last year/in the first half of this year? (Unit: %)(Unit:yuan)

【B2085it】 Which range below was the monthly revenue by POS payments within last year/in the first half of this year? (Unit:yuan)
1. Less than 2,000
2. 2,000-5,000
3. 5,000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

Next, we would like to know about your business’ taxes.

【B2088】 Does your business pay taxes? (Including taxes and fees)
1. Yes
2. No (skip to 【B2099】)

Question stems of 【B2091】-【B2095】 prompted as “last year” if B2043 ≤2014, and “in the first half of this year” if B2043=2015.

【B2091】 What kind of tax was levied by the Tax Department on your business last year/in the first half of this year?
1. Audit collection
2. Verification collection
3. Periodic and rationed collection
4. Others (please specify) ______

【B2093】 How much tax has your business paid last year/in the first half of this year? (Unit:yuan)
(ask 【B2093it】 if the respondent does not know or is unwilling to answer)

【B2093it】 Which range below was the tax paid by your business within last year/in the first half of this year?
1. Less than 5000
2. 5000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
11. More than 1,000,000

【B2095】 Has your business experienced any tax relief last year/in the first half of this year?
1. Yes
2. No

Next, we would like to know the information about the project’s employees.

【B2099】 How many people among you and your family members usually participate in the production and operation management of the project? (including the respondent)
Skip to 【B2102】 if B2099=0.
Notice for Interviewers: period more than 4 hours be counted as one day; 4 hours and less be counted as 0.5 day.

【B2100】 How many days in a week do you and your family members in average participate in the production and operation management of the project? (Unit: day)

【B2101】 How many hours a working day do you and your family members averagely participate in the production and operation management of the project? (Unit: hour)

【B2102】 Besides you and your family members, how many employees are hired in this project by far? Including temporary workers.

Skip to 【B2109】 if B2102=0
Notice for Interviewers: in-kind payment to employees should be converted into cash by the respondent.

【B2103】 How much is the average monthly employment cost per employee? (Unit: yuan)
(ask【B2103it】 if the respondent does not know or is unwilling to answer)

【B2103it】 Which range below is the average monthly employment cost per employee within?
1. Less than 800
2. 800-1,500
3. 1,500-3,000
4. 3,000-5,000
5. 5,000-10,000
6. 10,000-20,000
7. 20,000-30,000
8. 30,000-50,000
9. More than 50,000

Notice for interviewers: period more than 4 hours be counted as one day; 4 hours and less be counted as 0.5 day.

【B2104】 How many days do the employees averagely work on the project every week? (Unit: day)

【B2105】 How many hours do the employees averagely work on the project every working day? (Unit: hour)

Next, we would like to know about R&D and innovation related to the projects.
Prompt “compared with the situation of last year” if B2043<2015, and “first half of this year” if B2043=2015.

【B2109】 Compared with the situation of last year/first half of this year, are there any innovative activities concerned with products, technology, arrangement, culture, marketing, service, etc. such as R&D, new ideas, new methods, etc.?
1. Yes
2. No (skip to 【B2119】)

Questions 【B2110】- 【B2110it】 prompted as “last year” if B2043<2015, and “in the first half of this year” if B2043=2015.

【B2110】 How much have you invested in R&D and innovation activities last year/in the first half of this year?
(Unit: yuan)
(ask 【B2110it】 if the respondent does not know or is unwilling to answer)

【B2110it】 Which range below was your investment in R&D and innovation activities within last year/in the first half of this year?
1. Less than 5000
2. 5000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
11. More than 1,000,000

【B2111】 Have your R&D and innovation activities increased your income by this project?
1. Yes
2. No

Next, we would like to know about the information of management environment.

【B2119】 Do you intend to make your offspring inherit your business?
1. Yes
2. No
3. Not yet
4. Already inherited

Agricultural/Business Loans
CAPI: Information of agricultural/business loans is designed as cyclic query. Ask questions B3001-B3052 for agricultural loans first when B1001=1 & B2001=1, and then B3001-B3052 for business information. Naming pattern for data variable: (agriculture) var_1 (industry and commerce) var_2.

【B3055】- 【B3061】 asked only once.

【B3001】【CAPI loads: if B1001=1 and B2001=2, prompt agriculture; if B2001=1 and B1001=2, prompt industry and commerce. If B1001=1 & B2001=1, prompt circularly agriculture for the first round and industry and commerce for the second round.】 Does the family currently have any bank loans for the above-mentioned business activities?
1. Yes (skip to 【B3004】)
2. No

【B3002】 Does your household currently need loan for agricultural/business?
1. I don’t need it.(skip to【B3030】)
2. I needed it but have never applied.
3. I need it but was rejected.
4. I need it and the application is pending

【B3002a】 Why haven’t you applied for a loan? (multiple choice) (asked when B3002=2.)
1. I don’t know how to apply for a loan
2. I don’t think the application will be approved
3. The application process is troublesome.
4. The interest rate is too high
5. The repayment period or form is unrealistic
6. I don’t know the staff at the bank/credit union
7. I don’t have a guarantor or any collateral
8. Worried that I am unable to repay it
9. Others (please specify) ______
   →skip to【B3030】

【B3002b】 How much loan do you need for agricultural/business? (Unit:yuan)
(ask【B3002bit】 if the respondent does not know or is unwilling to answer)

【B3002bit】 Which range below is the agricultural/business loan within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【B3003】 Why was the loan rejected? (multiple choice)(asked only when B3002=3)
1. Outstanding bank loan
2. No guarantor
3. Not familiar with the loan officer
4. Low income; loan officer is worried I couldn’t repay it
5. No collateral
6. Bad credit history
7. Project is too risky
8. Policy reasons
9. Others (please specify) ______
   → skip to 【B3030】

【B3004】 How many batches of loans does the family currently have?
Skip to 【B3018】 if B3004=1.

【B3005】 What is the current amount of 【CAPI loads B3004’s options】’s outstanding loans? (Unit:yuan)
(ask【B3005it】 if the respondent does not know or is unwilling to answer)

【B3005it】 Which range below is the sum for these loans probably within?
   1. Less than 10,000
   2. 10,000-30,000
   3. 30,000-50,000
   4. 50,000-70,000
   5. 70,000-100,000
   6. 100,000-300,000
   7. 300,000-500,000
   8. 500,000-1,000,000
   9. 1,000,000-5,000,000
  10. 5,000,000-10,000,000
  11. More than 10,000,000

【B3005a】 How much do you have (in total) in loans? (Unit:yuan)
(ask【B3005ait】 if the respondent does not know or is unwilling to answer)

【B3005ait】 Which range below is the sum of these loans in total within?
   1. Less than 10,000
   2. 10,000-30,000
   3. 30,000-50,000
   4. 50,000-70,000
   5. 70,000-100,000
   6. 100,000-300,000
   7. 300,000-500,000
   8. 500,000-1,000,000
   9. 1,000,000-5,000,000
  10. 5,000,000-10,000,000
  11. More than 10,000,000

Skip to 【B3008】 if B3004>1.
【B3018】 How much of the loan is still outstanding? (Unit:yuan)
(ask【B3018it】 if the respondent does not know or is unwilling to answer)
【B3018it】 Which range below are the outstanding loans within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

If B3004>1, prompt: next we would like to know the information about your family’s largest agricultural/business loan from bank. (Fill in the most recent one if the largest loans are two batches or more with the same amount.)

【B3008】 What was the amount of the loan? (Unit:yuan)
(ask 【B3008it】 if the respondent does not know or is unwilling to answer)

【B3008it】 Which range below is the amount of the loan within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【B3006】 In which year did you take the loan?
Notice: year in four-digit, e.g. 2010.

【B3008a】 What is the length of the maturity? (Unit: month)

【B3008b】 How is your mortgage’s interest rate calculated?
1. Annual interest rate
2. Monthly interest rate
3. Daily interest rate
4. Semi-annual interest rate  
5. Quarterly interest rate  
6. One-off payment of interest (skip to 【B3008e】)  
7. Others (please specify) ______

Notice for interviewers: fill in numbers only to this question, record the unit in the next question, e.g. 5.5 for 5 fen and 5 li.

【B3008c】 How much is 【CAPI loads B3008b’s option】 for this loan?

【B3008d】 What is the unit of the loan’s interest rate? (Do not read it out.)
1. %  
2. Fen (1%)  
3. Li (0.1%)  
4. Mao (10%)  
→skip to 【B3008f】

【B3008e】 How much was your one-time interest payment? (Unit:yuan)

【B3008f】 In addition to interest payment, how much additional expenditures did you spend for a loan? (Unit:yuan)  
(ask 【B3008fit】 if the respondent does not know or is unwilling to answer)

“Additional expenditures” refer to additional expenses given to relevant government departments in order to receive a loan.

【B3008fit】 Which range below is the amount of your total expenditures within?
1. Less than 1,000  
2. 1,000-2,000  
3. 2,000-5,000  
4. 5,000-10,000  
5. 10,000-20,000  
6. 20,000-50,000  
7. 50,000-100,000  
8. More than 100,000

【B3009】 What type of loan is it in terms of guaranty style?  
1. Collateral loan(Security guarantee)  
2. Hypothecated Loan(Pledge guarantee)  
3. Guaranteed Loan(Third-party guarantee)(skip to 【B3013】)  
4. Credit loan(No guarantee)(skip to 【B3013】)

【B3012】 What type of collateral loan do you have? (multiple choice)  
1. Property
2. Land use rights (cultivated land, grasslands, woodlands, etc.)
3. Machinery/equipment
4. Means of transportation (e.g. cars)
5. Securities (stocks or bonds)
6. Bills/Documents (bills of exchange, promissory notes, warrants, bills receivable)
7. Property rights (trademark, copyright, patent, etc.)
8. Homestead
9. Collective forest rights
10. Others (please specify) ______

【B3013】 What are the terms of your repayment loan?
1. Average capital plus interest
2. Average capital
3. Self-recyclable
4. One-off payment
5. Interest only for each term and payment for capital at last
6. Average capital method
7. Others (please specify) _____

“Average capital plus interest”: the monthly amount of the interest and principal are matching
“Average capital”: the amount of the principal is equal every month.
“Self-recyclable”: the loan can be approved or withdrawn

【B3013a】 How do you rate your loan service?
1. Quite satisfied(skip to【B3019】)
2. Satisfied(skip to【B3019】)
3. Acceptable (skip to【B3019】)
4. Dissatisfied
5. Quite dissatisfied

【B3014a】 Why are you dissatisfied with the loan? (multiple choice) (asked only when B3013a=4 or 5.)
1. The terms of repayment
2. The type of repayment
3. The amount of the loan is too low, unable to meet the demand
4. Interest rate is too high
5. Handling procedures are too cumbersome
6. Approval took too long
7. Poor service
8. Others (please specify) ______

【B3014aa】 How long do you think it will take to repay your loan? (Unit: month)(asked when B3014a=1.)

【B3014ab】 What method of repayment is best for you? (asked when B3014a=2.)
1. Average capital plus interest
2. Average capital
3. Self-recyclable
4. One-off payment
5. Interest only for each term and payment for capital at last
6. Average capital method
7. Others (please specify) ________

【B3019】 You mentioned before that the total amount of your agricultural/business loans is 【CAPI loads loan amount】 yuan. How is it compared to your actual need?
1. Fully covers the need (skip to【B3021】)
2. Mostly covers the need
3. Covers a small part of the need
4. Covers half of the demand (skip to【B3021】)

【B3020】 How much is your household’s agricultural/business loan in short of ? (Unit:yuan)

【B3020it】 Which range below is your household’s agricultural/business loan in short of within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【B3021】 - 【B3027a】 asked when rural=1
Ask 【B3021】 if B3009=4 and ( B3008≤50000 or B3008it=1 or 2 or 3); skip to【B3021a】 if B3004>1 and B3009≠4, ;skip to【B3021a】 if B3004 >1, and (B3008>50000 or B3008it#1 or 2 or 3); if not, skip to【B3021b】

The question stem is loaded as “this batch” if B3004=1, and “the largest batch” if B3004>1.

【B3021】 Is the loan of this batch/the largest batch micro-credit loan?
1. Yes (skip to【B3030】)
2. No (skip to【B3021b】 if B3004=1, and【B3021a】 if B3004 >1)

Definition: Farmers’ micro-credit loans are loans from rural credit cooperatives within an approved amount and duration that are issued to farmers without collateral or guarantees. In general they total less than 500,000 yuan.

【B3021a】 In addition to your maximum loan, has your family paid off its farmer micro credit loan yet?
1. Yes (skip to 【B3022a】)
2. No

【B3021b】 Do you need farmer’s micro-credit loans for agricultural/business production/management?
1. I haven’t heard of it (skip to 【B3030】)
2. I don’t need it (skip to 【B3030】)
3. I need it, but haven’t applied
4. I need it, but was rejected (skip to 【B3021d】)

【B3021c】 Why didn’t your household apply for the farmers’ micro credit loan? (multiple choice) (asked when B3021b=3.)
1. I do not know how
2. I don’t believe that the loan will be approved
3. The application process is too cumbersome
4. The interest rate is too high
5. The repayment period/mode is inconsistent with my needs
6. Unfamiliar with the bank/credit union’s staff
7. Concern for inability to repay
8. Others (please specify) ______
→skip to 【B3030】

【B3021d】 Why did your household fail to receive a micro credit loan? (multiple choice) (asked when B3021b=4)
1. Outstanding bank loan
2. Unfamiliar with the bank/credit union’s staff
3. Low income; bank is concerned about inability to repay
4. Poor credit history
5. Repayment period/mode is inconsistent with my needs
6. Policy
7. Others (please specify) ______
→skip to 【B3030】

Notice for interviewers: if the household has multiple micro credit loans, ask the information of the largest one.

【B3022a】 How much of the loan is still outstanding? (Unit: yuan)
(ask 【B3022ait】 if the respondent does not know or is unwilling to answer)

【B3022ait】 Which range below is the amount of the outstanding loan within?
1. Less than 5000
2. 5000-10,000
3. 10,000-20,000
4. 20,000-30,000
5. 30,000-40,000
6. 40,000-50,000
【B3022b】 How much was the loan? (Unit:yuan)
(ask 【B3022bit】 if the respondent does not know or is unwilling to answer)

【B3022bit】 Which range below is the loan within?
1. Less than 5000
2. 5000-10,000
3. 10,000-20,000
4. 20,000-30,000
5. 30,000-40,000
6. 40,000-50,000

Notice for interviewers: input 9999 if there is no set repayment period.
【B3023a】 What is the repayment period of the loan? (Unit: month)
【B3024a】 How is the loan interest calculated?
1. Annual
2. Monthly
3. Daily
4. Semi-annually
5. Seasonal
6. One-off (skip to 【B3024d】)
7. Others (please specify) ______

【B3024b】 What is the amount of the loan’s 【CAPI loads B3024a's option】?

【B3024c】 What is the unit of interest?
1. %
2. Fen (1%)
3. Li (0.1%)
4. Mao (10%)
→skip to 【B3026】

【B3024d】 How much was your one-off payment interest? (Unit:yuan)

【B3026】 What is the repayment mode of this loan?
1. Average capital plus interest
2. Average capital
3. Self-recyclable
4. One-off payment
5. Interest only for each term and payment for capital at last
6. Average capital method
7. Others (please specify) ______

【B3027】 What is your assessment of your loan service?
1. Quite satisfied (skip to 【B3030】)
2. Satisfied (skip to 【B3030】)
3. Acceptable (skip to 【B3030】)
4. Dissatisfied
5. Quite dissatisfied

【B3027a】 Why are you dissatisfied with the loan? (multiple choice)(asked when B3027=4 or 5.)
1. Improper repayment deadline
2. Improper repayment mode
3. Too low loan amount to meet the need
4. Too high loan interest
5. Too much procedure
6. Too long approval procedure
7. Terrible service
8. Others (please specify) ______

【B3027aa】 What is your expected repayment period? (Unit: months)(asked when B3027a=1.)

【B3027ab】 Which method of repayment best suits you? (asked when B3027a=2.)
1. Average capital plus interest
2. Average capital
3. Self-recyclable
4. One-off payment
5. Interest only for each term and payment for capital at last
6. Average capital method
7. Others (please specify) ______

Notice for interviewers: borrowing means money borrowed from informal financing channels, such like friends, relatives, non-governmental financial organizations, etc.

【B3030】 In addition to your bank/credit union loans, does your household have any other outstanding agricultural/business production/management loans?
1. Yes (skip to 【B3030a】)
2. No (skip to 【B3055】 if B3001=1 and 【B3030b】 if B3001=2)

【B3030b】 Is your household currently indebted to friends or family members due to your agricultural/business production/management?
1. We didn’t need that (skip to 【B3055】 if B3001=1 and 【B3057】 if B3001=2)
2. We needed it, but couldn’t find anyone to borrow from.
3. We needed it and tried to do that, but failed.

【B3030c】 As of now, how much money does your household need to borrow for agricultural/business production/management? (Unit:yuan)
(ask 【B3030cit】 if the respondent does not know or is unwilling to answer)
CHFS CAPI Questionnaire

【B3030cit】 Which range below is the amount of the money you need to borrow for agricultural/business production/management?

1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

→skip to【B3057】

【B3030a】 How many batches of money you’ve borrowed?

Skip to【B3051】if B3030a=1.

【B3031a】 How much is the outstanding loan for【CAPI loading B3030a’s option】? (Unit:yuan)
(ask【B3031ait】if the respondent does not know or is unwilling to answer)

【B3031ait】 Which range below is【CAPI loads B3030a's option】's outstanding loan within?

1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【B3044a】 What is the total sum of these borrowings? (Unit:yuan)
(ask【B3044ait】if the respondent does not know or is unwilling to answer)

【B3044ait】 Which range below are the sum of these borrowings within?

1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

Skip to 【B3044】 if B3030>1.

【B3051】 What amount of the loan currently outstanding? (Unit:yuan)
(ask 【B3051it】 if the respondent does not know or is unwilling to answer)

【B3051it】 Which range below is the current amount of the outstanding portion of the loan?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

If B3030>1, prompt: now we would like to know your family’s largest borrowing. (in case of two and more borrowings of same amounts, fill in the most recent one)

【B3044】 How much did you borrow (Unit:yuan)?
(ask 【B3044it】 if the respondent does not know or is unwilling to answer)

【B3044it】 Which range below is the amount of the borrowing within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000
【B3045】 What is the source of this loan?
1. Parents/Parents-in-law
2. Children
3. Siblings
4. Other relatives
5. Friends/Colleagues (including neighbors)
6. Financial organization or professional lender (including loan sharks)
7. Micro Lending Company
8. Partnership with cooperation or individual (including work-unit or village committee)
9. Others (please specify) ______
10. Online lending platform

【B3046】 In which year was the money borrowed?
Notice: year in four-digit, e.g. 2010.

【B3046aa】 Is there an agreed loan repayment period?
1. Yes
2. No (skip to【B3047】)

【B3046a】 What is the length of the repayment period? (Unit: months)

【B3047】 Does the family have to pay interest for the borrowing?
1. Yes
2. No (skip to【B3050b】)

【B3049a】 How is the interest calculated for this borrowing?
1. Annual interest rate
2. Monthly interest rate
3. Daily interest rate
4. Semi-annual interest rate
5. Quarterly interest rate
6. One-off payment of interest (skip to【B3048】)
7. Others (please specify) ______

【B3048】 How much is this loan’s【CAPI loads B3049a’s option】?

【B3048a】 How is the interest rate calculated?
1. %
2. Fen (1%)
3. Li (0.1%)
4. Mao (10%)
   →skip to【B3050b】
【B3048b】 How much is the interest for one-off payment? (asked when B3049a=6.)

【B3050b】 What is the form of the loan guarantee?
1. Collateral
2. Deposit
3. Loan bond (skip to【B3053】)
4. Unsecured loan (skip to【B3053】)

【B3051a】 What type of collateral does the loan have?
1. Property
2. Land rights
3. Machinery/Equipment
4. Vehicle (e.g. car)
5. Securities (stocks or bonds)
6. Bills/Documentation (bills of exchange, promissory notes, warrants, bills receivable, etc.)
7. Property rights (trademark rights, patent or copyright, etc.)
8. Others (please specify) ______

【B3053】 You mentioned earlier that your household borrowed 【CAPI loads loan amount】 yuan for agricultural/business activities. How is it compared to the amount you actually need?
1. Completely satisfies the demand (skip to【B3055】)
2. Mainly satisfies the need
3. Satisfies a small portion
4. Satisfies only half (skip to【B3055】)

【B3054】 How much of the agricultural/business loan is in short of? (Unit:yuan)
(ask【B3054it】 if the respondent does not know or is unwilling to answer)

【B3054it】 Which range below is the loan in short of for the agricultural/business needs within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【B3055】 and 【B3055a】 asked when B3001=1 or B3030=1
Based on your household’s current production and operation, how would you describe your repayment capacity?

1. Not a problem at all
2. Basically no problem
3. Very difficult
4. Completely unable to repay

If your household’s cash flow problems make it difficult to repay the loan, what will you do? (multiple choice)

1. Use other lending channels
2. Collect old and long-standing debts (e.g. collecting debts by other companies.)
3. Temporarily let it be overdue
4. Others (please specify) ______

What is your preferred form of mortgage financing?

1. Bank, credit union, and other formal financing channels
2. Friends/Family or private financial organizations/Other private financing
3. Depends on sum
4. All of the above

Regarding your household’s unmet financial needs, what is the maximum annual interest rate that would be acceptable to your family?

What about the interest rate unit?

1. %
2. Fen (1%)
3. Li (0.1%)
4. Mao (10%)

If you need to provide a loan guarantee, will you accept the loan?

1. Yes
2. No (skip to [C1000aa])
3. Doesn’t want/Need to take out a loan (Do not read) (skip to [C1000aa])

Which of the following would you be willing to accept? (multiple choice)

1. Mortgage
2. Pledge
3. Secured bond
4. Advance money on security
5. Others (please specify) ______
(2) Housing and Land

**Prompt:** Next, we will talk about your family’s real estate situation.

【C1000aa】 Does your household plan to buy/construct housing in the future? This does not include old-house-renovation or migration resettlement.

1. Plan to buy new house
2. Plan to construct new house (skip to【C1001】)
3. Considering both
4. No (skip to【C1001】)

【C1000ab】 Does your household plan to buy new house or second-hand house? (asked when C1000aa=1 or 3.)

(asked only to the respondent of questionnaire A)

1. New house (skip to【C1000ad】)
2. Second-hand house
3. Both are acceptable

【C1000ac】 Which year when the second-hand house was built would you accept ?

(asked only to the respondent of questionnaire A)

1. Before 1961
2. 1961-1971
5. 1991-2001
6. 2001-2005
7. 2005-2010
8. After 2010

【C1000ad】 When does your household intend to buy new property? (asked only to the respondent of questionnaire A)

1. In the coming year
2. Coming 1-2 years
3. Coming 2-5 years
4. Five years later

【C1000ae】 Does your household intend to buy a house in 【CAPI loads J1002a’s prefecture-level city】? (asked only to the respondent of questionnaire A)

1. Yes
2. No (skip to【C1000ah】)

【C1000af】 In which district/county in Beijing do you plan to buy a house? (asked only to samples with
J1002a=Beijing)(asked only to the respondent of questionnaire A)

【C1000ag】 Which specific location in 【CAPI loads C1000af’s option】 are you considering buying a new house? (asked only to samples with J1002a=Beijing) (asked only to the respondent of questionnaire A)

【C1000ah】 What is your main reason for planning to buy a house? (asked when C1000aa=1 or 3.) (asked only to the respondent of questionnaire A)
1. First-time homebuyer
2. Marriage/Separation/Divorce
3. Current housing is too old, poor, etc. (in order to improve current living environment)
4. Need for school district house
5. Commute is too long
6. Short-term investment for one year or less
7. Investment for one – five years
8. Long-term investment of more than five years
9. House is demolished
10. Others (please specify) ______

【C1000ai】 Why isn’t your household buying house right now? (asked only to the respondent of questionnaire A)
1. No money to make the first payment
2. Mortgage is too much
3. Not in a rush regarding the relatively stable housing price
4. No availability
5. High closing costs
6. Subject to policy restrictions (e.g. not a qualified buyer)
7. Work is unstable
8. Others (please specify) ______

【C1000ba】 What three things are most important to you for decision on house purchasing? (Three choices) (asked only to the respondent of questionnaire A)
1. Location
2. Traffic
3. Price
4. Layout type and area
5. Tower type
6. Orientation
7. Community’s natural environment
8. Community’s social environment
9. Community size
10. Decoration
11. Neighbouring shops/office
12. Schools
13. Nearby medical care
14. Parking
15. Value of investment (rental income)

Notice for interviewers: area of rural household includes that of the courtyard.
【C1000bb】 What is the area of the house you expect to buy? (asked when C1000aa=1 or 3.)(asked only to the respondent of questionnaire A)
   1. Less than 50 m²
   2. 50-70 m²
   3. 70-90 m²
   4. 90-120 m²
   5. 120-144 m²
   6. 144-200 m²
   7. More than 200 m²

Notice for interviewers: the internal includes the right figure, not the left ones.
【C1000bc】 How much are you willing to spend per square meter? (Unit:yuan)(asked only to the respondent of questionnaire A)
   1. Less than 3000
   2. 3000-5000
   3. 5000-8000
   4. 8000-10,000
   5. 10,000-20,000
   6. 20,000-40,000
   7. 40,000-60,000
   8. 60,000-80,000
   9. 80,000-100,000
   10. Above 100,000

【C1001】 Is the house the family lives in right now is owned by family members, rented, or free?(read out the options)(read the options directly to the respondent)
   1. Owned by family members (skip to【C2002】)
   2. Rented
   3. Free

【C1002】 Who is the owner of the house?
   1. The State
   2. Work unit
   3. Parents
   4. Parents-in-law
   5. Son/Daughter-in-law
   6. Son/Daughter-in-law
   7. Grandson/Granddaughter-in-law
8. Grandson/Granddaughter-in-law
9. Brothers and sisters
10. Other relatives
11. Non-relatives

【C1002a】 What is your leasing arrangement for this house? (asked when C1001=2.)
1. Sharing rent with others
2. Paying entire rent
3. Others (please specify) ______

【C1002b】 How many families are sharing the rent with your family? (asked when C1002a=1.)

Notice for interviewers: if the interviewed family lives with others, fill in the actual area occupied by the interviewed family.

【C1004】 What is the area of the actual living space of the house? (mu=666.7 square meters)

【C1008】 Since which year has the family moved in?
Notice: year in four-digit, e.g. 2010.

【C1010】 When living in【CAPI loads C1001’s】 , how would you describe the decoration?
1. Blank/Barren
2. Simple
3. Refined

Skip to【C1014】 if C1001=3.
Notice for interviewers: rent does not include costs on decorating or repairing the house at the interviewed family’s own expense.

【C1011】 What is the monthly rent of this house (Unit:yuan/month)? (asked only when C1001=2)

【C1013】 Which of the following are included in your rent? (multiple choice)
1. Water
2. Electricity
3. Gas
4. Property management
5. CATV
6. Telephone
7. Internet
8. Heating
9. Nothing extra is included
→skip to【C1015】

【C1014】 What is the monthly rent when the house is put on the rental market? (Unit:yuan/month) (asked only when
C1001=3.)

【C1015】 What is the market value of this house? (Unit:yuan)

【C1016】 How long do you plan to live in 【CAPI loads J1002a’s prefecture city】? (asked when C1001≠1 or C1000ad≠1.)

1. Less than 1 year
2. 1-2 years
3. 2-5 years
4. Five years and more

【C2001】 Do you have your own house? (asked only when C1001≠1)

1. Yes
2. No (skip to 【C2000】)

【C2002】 How many houses does your family possess? Excluding rented house

Property Information from the 2013 Samples:

<table>
<thead>
<tr>
<th>House Code</th>
<th>Description</th>
<th>01</th>
<th>02</th>
<th>03</th>
</tr>
</thead>
<tbody>
<tr>
<td>【C2000】</td>
<td>In 2013 we learned that you bought house in 【CAPI loads year of house purchasing】 with an area of 【CAPI loads house’s living area】. Do you still have this house?</td>
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<td></td>
<td>1. Yes (skip to 【C2000aa】)</td>
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<td></td>
<td>2. No</td>
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<tr>
<td>【C2000d】</td>
<td>Was this house transferred or sold?</td>
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<td></td>
<td>1. Yes</td>
<td></td>
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<td></td>
<td>2. No (skip to 【C2001b】)</td>
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<tr>
<td>【C2000e】</td>
<td>This house was transferred or sold because (of)</td>
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<td></td>
<td>1. House price is expected to fall</td>
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<td></td>
<td>2. Capital is needed for trade and other investment</td>
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<td>3. House purchase for better housing or children’s education</td>
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<td>4. Urgent capital need for medical care or debt repay</td>
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<td>5. Residential place is changed</td>
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<td>6. Others (please specify) ______</td>
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<tr>
<td>【C2000f】</td>
<td>What was the total value of the house when transferred or sold? (Unit:yuan)</td>
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<td>(ask【C2000fit】 if the respondent does not know or is unwilling to answer)</td>
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<tr>
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<td>→skip to 【C2001b】</td>
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<tr>
<td><strong>C2000fit</strong></td>
<td>Which range below is the total value of the house when transferred or sold?</td>
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<td>---------------------------------------------------------------------------</td>
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<tr>
<td>1.</td>
<td>Less than 10,000</td>
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<tr>
<td>2.</td>
<td>10,000-20,000</td>
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<tr>
<td>3.</td>
<td>20,000-50,000</td>
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<td>4.</td>
<td>50,000-100,000</td>
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<td>5.</td>
<td>100,000-200,000</td>
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<td>6.</td>
<td>200,000-3,000,000</td>
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<td>7.</td>
<td>3,000,000-5,000,000</td>
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<td>8.</td>
<td>5,000,000-1,000,000</td>
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<td>9.</td>
<td>1,000,000-2,000,000</td>
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<td>10.</td>
<td>2,000,000-5,000,000</td>
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<tr>
<td>11.</td>
<td>More than 5,000,000</td>
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<tr>
<td></td>
<td>→skip to <strong>C2001b</strong></td>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>C2000aa</strong></th>
<th>Is this the house the one which you are living in? (asked when C1001=1.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Yes (skip to <strong>C2000ae</strong>)</td>
</tr>
<tr>
<td>2.</td>
<td>No</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>C2000ab</strong></th>
<th>Is this housing in &quot;[CAPI loads all provinces]&quot; province and ______ city? (If 2011/2013’s C2009a=1, skip this question)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th><strong>C2000ad</strong></th>
<th>What area is the house located?</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Urbanized area</td>
</tr>
<tr>
<td>2.</td>
<td>Outskirts</td>
</tr>
<tr>
<td>3.</td>
<td>Big city/Township</td>
</tr>
<tr>
<td>4.</td>
<td>Small towns</td>
</tr>
<tr>
<td>5.</td>
<td>Villages and towns</td>
</tr>
<tr>
<td>6.</td>
<td>Rural area (skip to <strong>C2000ak</strong>)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>C2000ae</strong></th>
<th>Notice for interviewers: garage is counted as one floor. How many floors does the building of your house have? (asked only to the respondent of questionnaire B)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th><strong>C2000af</strong></th>
<th>In which floor is your house located? (If the respondent owns the entire building, input 999) (asked when C2000ae&gt;=6 and 2011/2013’s C2006#6.) (asked only to the respondent of questionnaire B)</th>
</tr>
</thead>
</table>

| **C2000ag** | Is there an elevator? (asked when C2000ae>=6.) (asked only to |
1. Yes
2. No

Notice for interviewers: “near the traffic road” refers to facing the street open to traffic.
Is your house near the traffic road? (asked only to the respondent of questionnaire B)
1. Yes
2. No

Which of the following are within a one kilometer range of your house? (multiple choice)(asked only to the respondent of questionnaire B)
1. Bus
2. Metro
3. Kindergarten
4. Elementary school
5. Middle school
6. College
7. Park
8. Hospital
9. Bank
10. All of the above

Notice for interviewers: the house orientation is usually determined based on what direction the living or bedroom windows are facing. When living room does not have windows, the master bedroom determines the house orientation.
Which direction is the house facing? (asked only to the respondent of questionnaire B)
1. East
2. West
3. South
4. North
5. Both east and west
6. Both north and south
7. Southeast
8. Southwest
9. Northeast
10. Northwest
11. Don’t know

**【C2000ak】**

Does the house have private bathroom and kitchen? (multiple choice) (asked only to the respondent of questionnaire B)

1. Private kitchen
2. Private bathroom
3. Neither

**【C2000al】**

What best describes your house’s architectural structure? (asked when 2011/2013’s C2006=6) (asked only to the respondent of questionnaire B)

1. Precast slab
2. Reinforced concrete structure
3. Brick and wood
4. Composite structure (combination of brick and concrete)
5. Adobe house
6. Cave
7. Others (please specify) ______

Precast slab: refers to factory moldings transported directly to the construction site for floor installation.

**【C2000am】**

How is your house currently decorated? (asked when C2000aa≠1)

1. Blank/Bare
2. Simply decorated
3. Refinedly decorated
4. Being finished (skip to【C2000ar】)

**【C2000an】**

Does your house currently have furniture, e.g. bed, kitchenware? (asked when C2000aa≠1)

1. Yes
2. No

**【C2000ao】**

Notice for interviewers: “inhabited” refers to someone is currently living in the house.
Is the house currently inhabited? (asked when C2000aa≠1)

1. Yes
2. No (skip to【C2000ar】)

**【C2000am】**

How is your house currently decorated? (asked when C2000aa≠1)

1. Blank/Bare
2. Simply decorated
3. Refinedly decorated
4. Being finished (skip to 【C2000ar】)

【C2000an】 Does your house currently have furniture, e.g. bed, kitchenware? (asked when C2000aa≠1)
1. Yes
2. No

【C2000ao】 Notice for interviewers: “inhabited” refers to someone is currently living in the house.
Is the house currently inhabited? (asked when C2000aa≠1)
1. Yes
2. No (skip to 【C2000ar】)

【C2000ap】 Is this housing currently self-occupied, being rented out to someone else, or vacant? (multiple choice)(asked when C2000aa≠1)
1. Self-occupied
2. Being rented (skip to 【C2000a】)
3. Vacant (skip to 【C2000a】)

【C2000aq】 When does your household usually go to live in this house? (asked when C2000aa≠1)
1. Weekends
2. Weekdays
3. Long-term vacation residence(e.g. Spring Festival or summer/winter holiday)
4. Frequent living for school/work
5. Permanent residence by other household members
6. Others (please specify) ______ →skip to 【C2000a】

【C2000ar】 How long has this house been uninhabited by far? (asked only when C2000aa=2)
1. Less than a month
2. 1 – 6 months
3. 6 – 12 months
4. 1 – 2 years
5. More than two years

【C2000as】 Why is your house currently vacant?
1. Under renovation or repair
2. Occasional residential place for yourself or your family
### CHFS CAPI Questionnaire

**C2000a** What is the market value of the house?
(ask [C2000ait] if the respondent does not know or is unwilling to answer)

<table>
<thead>
<tr>
<th>Value Range</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Less than 10,000</td>
</tr>
<tr>
<td>2.</td>
<td>10,000-30,000</td>
</tr>
<tr>
<td>3.</td>
<td>30,000-50,000</td>
</tr>
<tr>
<td>4.</td>
<td>50,000-70,000</td>
</tr>
<tr>
<td>5.</td>
<td>70,000-100,000</td>
</tr>
<tr>
<td>6.</td>
<td>100,000-300,000</td>
</tr>
<tr>
<td>7.</td>
<td>300,000-500,000</td>
</tr>
<tr>
<td>8.</td>
<td>500,000-1,000,000</td>
</tr>
<tr>
<td>9.</td>
<td>1,000,000-5,000,000</td>
</tr>
<tr>
<td>10.</td>
<td>5,000,000-10,000,000</td>
</tr>
<tr>
<td>11.</td>
<td>More than 10,000,000</td>
</tr>
</tbody>
</table>

**C2000ait** What was the range of market value of the house mentioned in 2011?

1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

**C2000ba** Is this house used for production or business purpose? (Including used as store, small supermarket, restaurant, clinic, office, processing plant, etc.)

1. Yes
2. No

**Skip to [C3018] if C2001=2.**

**C2001b** In addition to the house we asked about above, does your household have any other houses?

1. Yes

**C2001ba** How many other houses do you have?

**C2001bb** Has your household purchased or built new house over the past two years? Including house changed for demolishing/settling and migration.

1. Purchased new housing
2. Built new house (skip to 【C2003】)
3. Both purchase and built new houses
4. No (skip to 【C2003】)

【C2000bc】 What is your main reason for buying/building the new house?
1. First-time homebuyer
2. Marriage/Separation/Divorce
3. Current housing is too old, poor, etc. (in order to improve current living environment)
4. Need for school-district house
5. Commute is too long
6. Short-term investment for one year or less
7. Investment term for one – five years
8. Long-term investment of more than five years
9. House is demolished and expropriated
10. Others (please specify) ______
→skip to 【C2003】

Forms of Property Ownership

Real Estate Information

Notice: next we would like to know the detailed information of your housing. We would like to know the latest three houses you are living in or just purchased if you have more than three houses. We will start from the house you are living in.

<table>
<thead>
<tr>
<th>House Code((Interviewed families of 2013 continue with the original house code, code number=C2001ba))</th>
<th>01</th>
<th>02</th>
<th>03</th>
</tr>
</thead>
</table>
| 【C2003】 What is the house’s floorage, including courtyard of rural house, basement or storehouse and non-approved structure area? (mu=666.7m²)
When only know the usable area use the following formula: floorage=usable area/0.7 | | | |
| 【C2004】 What is the house’s actual usable are?
When only know the floorage use the formula: usable area=floorage* 0.7 | | | |
| 【C2005a】 Does the house have private bathroom and kitchen? (multiple choice)(asked only to the respondents of questionnaire B)
1. Private kitchen
2. Private bathroom
3. Neither | | | |
| 【C2005】 Notice for interviewers: if the respondent’s answer is not included in the question, please record it directly. | | | |
Aside from the bathroom and kitchen, how many rooms does the house have including living room and partition room?  

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
</table>
| How did you get this house? | 1. The purchase of new house  
2. The purchase of second-hand house  
3. Purchase of policy-based house (such as affordable housing and low-cost commercial housing)  
4. Inheritance or gift  
5. Purchase below market price from the work unit (such as housing reform or welfare housing)  
6. Raise funds to build  
7. The self/Expansion  
8. Resettlement housing (demolition levy wards)  
9. The purchase of limited property housing (skip to 【C2008b】)  
10. Others (please specify) ______ |

**Notice for interviewers: the complete housing property includes land-use right and house-ownership.**
What is this house's form of ownership?  
<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
</table>
| Notice for interviewers: the complete housing property includes land-use right and house-ownership. | 1. Partial Ownership  
2. Full ownership  
3. Limited ownership  
4. Rural collective land ownership  
5. Others (please specify) ______ |

Are you living in this house?(asked when C1001=1 and all C2000aa=2)  
<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
</table>
| Are you living in this house?(asked when C1001=1 and all C2000aa=2) | 1. Yes (skip to 【C2009b】)  
2. No |

Is this house located in this city/county?  
<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
</table>
| Is this house located in this city/county? | 1. Yes (skip to 【C2009b】)  
2. No |

Is the house in _____ city _____ province?  

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
</table>
| Is the house in _____ city _____ province? | 1. Urban  
2. Suburbs  
3. City/Town |

Where is your house located?  
<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
</table>
| Where is your house located? | 1. Urban  
2. Suburbs  
3. City/Town |
4. Small town  
5. Villages and towns  
6. Rural area (skip to C2011a)

**Notice for interviewers:** ground garage is counted as one floor.  
How many floors does this house have? (asked to respondents of questionnaire B)

**Notice for interviewers:** ground garage is counted as one floor.  
In which floor is your house? (Input 999 if the building belongs to the respondent.)  
(asked to respondents of questionnaire B)

**Is there an elevator?** (asked when C2010a ≥ 6)  
1. Yes  
2. No

**Notice for interviewers:** “near the traffic road” refers to facing the street open to traffic.  
Is your house near the traffic road? (asked only to respondents of Paper B)  
1. Yes  
2. No

**Which of the following public facilities are within one kilometer from the house?** (multiple choice) (asked only to the respondents of questionnaire B)  
1. Bus  
2. Subway  
3. Kindergarten  
4. Primary school  
5. Middle school  
6. College  
7. Parks  
8. Hospital  
9. Bank  
10. None

**Notice for interviewers:** the house orientation is usually determined based on what direction the living or bedroom
windows are facing. When living room does not have windows, the master bedroom determines the house orientation.

The house is facing? (asked only to the respondents of questionnaire B)
1. East
2. West
3. South
4. North
5. Both East and West
6. Both South and West
7. South-east
8. South-west
9. North-east
10. North-west

【C2010e】 The house is constructed with which of the following material? (asked when C2006=7)(asked only to the respondents of questionnaire B)
1. Precast slab
2. Reinforced concrete
3. Brick and wood
4. Mixed material (reinforced concrete+brick and wood)
5. Adove
6. Cave dwelling
7. Others (please specify) ______

Prefabricated slab means floor slab shaped in plant and transported directly to construction site for installation.

【C2010f】 How is your house decorated? (asked when C2008b≠1)
1. Barren
2. Simple
3. Refined
4. On the way

【C2010fa】 Is your house equipped with furnitures? e.g. bed or kitchenware.(asked when C2008b≠1)
1. Yes
2. No

【C2011a】 Notice for interviewers: “living in” refers to someone is using this house.
<table>
<thead>
<tr>
<th>Question</th>
<th>1.</th>
<th>2.</th>
<th>3.</th>
<th>4.</th>
<th>5.</th>
<th>6.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Is there anyone living in this house? (asked when C2008b≠1)</td>
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<tr>
<td>1. Yes</td>
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<tr>
<td>2. No (skip to C2011c)</td>
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<tr>
<td>Are you living in your house or rent it to someone else or let them live</td>
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<tr>
<td>in it for free? (multiple choice)</td>
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<tr>
<td>1. My family and I are living in it</td>
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<tr>
<td>2. rent (skip to C2012)</td>
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<tr>
<td>3. let someone else live in it for free (skip to C2012)</td>
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<tr>
<td>When do you live in this house? (asked when C2008b=2)</td>
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<tr>
<td>1. Weekend</td>
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<tr>
<td>2. Working days</td>
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<td>3. Holidays (e.g. Spring Festival or summer/winter holiday)</td>
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<tr>
<td>4. When it’s necessary for school or working</td>
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<td>5. Other family members live there for long term.</td>
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<tr>
<td>6. Others (please specify) ______ → skip to C2012</td>
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<tr>
<td>How long has this house been vacant? (asked when C2011a=2)</td>
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<tr>
<td>1. Less than one month</td>
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<tr>
<td>2. One month-six months</td>
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<tr>
<td>3. Six months-12 months</td>
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<tr>
<td>4. 1-2 years</td>
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<tr>
<td>5. 2 year and longer</td>
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<tr>
<td>Why the house is vacant currently? (asked when C2011a=2)</td>
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<tr>
<td>1. Under decoration</td>
<td></td>
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<tr>
<td>2. Family lives there occasionally</td>
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<tr>
<td>3. Planned to be rented</td>
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<tr>
<td>4. Planned to sell</td>
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<tr>
<td>5. Rented or sold, but nobody has yet moved in</td>
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<td>6. Forward house yet to be delivered</td>
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<tr>
<td>8. Being demolished</td>
<td></td>
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<tr>
<td>9. Others (please specify) ______</td>
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</tr>
<tr>
<td>In which year did your family acquire this house?</td>
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<tr>
<td>Notice: year in four-digit, e.g. 2010.</td>
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<tr>
<td>(asked only when C2006≠2 and C2006≠7)</td>
<td></td>
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<tr>
<td>In which year was your house constructed?</td>
<td></td>
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</tr>
<tr>
<td>Notice: year in four-digit, e.g. 2010.</td>
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<tr>
<td>C2006</td>
<td>7</td>
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<tr>
<td><strong>C2013</strong></td>
<td>How much did it cost you to acquire this house? Including all kinds of taxes and dues. (Unit:yuan) (ask <strong>C2013it</strong> if the respondent does not know or is unwilling to answer)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>C2013it</strong></td>
<td>Which range below is the purchase price of the house within?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.</td>
<td>Less than 10,000</td>
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<td>2.</td>
<td>10,000-30,000</td>
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<td>3.</td>
<td>30,000-50,000</td>
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<td>50,000-70,000</td>
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<td>5.</td>
<td>70,000-100,000</td>
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<td>6.</td>
<td>100,000-300,000</td>
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<td>300,000-500,000</td>
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<td>1,000,000-5,000,000</td>
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<td>5,000,000-10,000,000</td>
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<td>11.</td>
<td>More than 10,000,000</td>
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<td><strong>C2016</strong></td>
<td>How much is this house worth now? (Unit:yuan) (ask <strong>C2016it</strong> if the respondent does not know or is unwilling to answer)</td>
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<td><strong>C2016it</strong></td>
<td>Which range below is the market price of this house within</td>
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<td>1.</td>
<td>Less than 10,000</td>
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<td>30,000-50,000</td>
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<td>50,000-70,000</td>
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<td>70,000-100,000</td>
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<td>6.</td>
<td>100,000-300,000</td>
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<td>7.</td>
<td>300,000-500,000</td>
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<td>500,000-1,000,000</td>
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<td>1,000,000-5,000,000</td>
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<td>10.</td>
<td>5,000,000-10,000,000</td>
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<td>11.</td>
<td>More than 10,000,000</td>
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<td><strong>C2022</strong></td>
<td>Is this house used for production and business purpose? (Including used as store, small supermarket, restaurant, clinic, office, processing plant, etc.)</td>
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<tr>
<td>1.</td>
<td>Yes</td>
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<tr>
<td>2.</td>
<td>No</td>
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</table>
【C2023a】 - 【C2023dit】 asked when the respondent had housing in 2013 and C2001ba>3; or when the respondent did not have housing in 2013 but C2002>3; or when the new respondent’s C2002>3.
【C2023a】 In addition to your house above, how many of your 【CAPI loads quantity of other houses】 houses are in urban areas?

【C2023b】 Is this house inhabited? (asked when C2023a=1.)
1. Yes
2. No

【C2023c】 How many are your 【CAPI loads C2023a’s option】 houses currently unoccupied? (asked when C2023a>1.)

【C2023d】 How much are your other 【CAPI loads the quantity of other houses】 houses worth? (Unit:yuan) (ask 【C2023dit】 if the respondent does not know or is unwilling to answer)

【C2023dit】 Which range below is the value of your other houses within?
1. Less than 5,000
2. 5,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-500,000
7. 500,000-1,000,000
8. 1,000,000-2,000,000
9. 2,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【C2002d】 How much did you receive by rental income last year? (Unit:yuan)(asked when C2000ap=2 or C2011b=2.) (ask 【C2002dit】 if the respondent does not know or is unwilling to answer)

【C2002dit】 Which range below was the amount your rental income last year within?
1. Less than 2000
2. 2000-5000
3. 5000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

【C2002e】How much income tax did you pay on your income from rental properties last year? (Unit:yuan) (asked only when C2002d>0.)

【C2024】-【C3017】are only asked when C2000=1 or C2001b=1 or C1001=1 or C2001=1.
Prompt: Thank you very much for your answer, here I would like to ask a little about this household’s debt situation.

【C2024】Does the family have any outstanding loans for purchasing, decorating, remodeling, or expanding the house?
   1. Yes
   2. No (skip to【C3001】)

【C2027】For how many houses do you carry mortgage?

Skip to【C2027c】if C2027=1.

【C2027b】How much do you owe on these loans? (Unit:yuan)
   (ask【C2027bit】if the respondent does not know or is unwilling to answer)

【C2027bit】Which range below is the amount of these debts within?
   1. Less than 10,000
   2. 10,000-20,000
   3. 20,000-50,000
   4. 50,000-100,000
   5. 100,000-200,000
   6. 200,000-300,000
   7. 300,000-500,000
   8. 500,000-1,000,000
   9. 1,000,000-2,000,000
   10. 2,000,000-5,000,000
   11. More than 5,000,000

【C2027a】How much loan do you take in total? (Unit:yuan)
   (ask【C2027ait】if the respondent does not know or is unwilling to answer)

【C2027ait】Which range below is the amount of these loans within?
   1. Less than 10,000
   2. 10,000-20,000
   3. 20,000-50,000
   4. 50,000-100,000
   5. 100,000-200,000
6. 200,000-300,000  
7. 300,000-500,000  
8. 500,000-1,000,000  
9. 1,000,000-2,000,000  
10. 2,000,000-5,000,000  
11. More than 5,000,000

Skip to 【C2027d】 if C2027>1.

【C2027c】 Currently, how much outstanding loans do you still have? (Unit:yuan)  
(ask【C2027cit】 if the respondent does not know or is unwilling to answer)

【C2027cit】 Which range below is the amount of these outstanding loans within?  
1. Less than 10,000  
2. 10,000-20,000  
3. 20,000-50,000  
4. 50,000-100,000  
5. 100,000-200,000  
6. 200,000-300,000  
7. 300,000-500,000  
8. 500,000-1,000,000  
9. 1,000,000-2,000,000  
10. 2,000,000-5,000,000  
11. More than 5,000,000

If C2027>1, CAPI prompt: next we would like to know the information about your family's largest housing loan. (If the largest loans are two or more loans of same amounts, input the latest one)  
【C2027d】 How much loan in total do you own? (Unit:yuan)  
(ask【C2027dit】 if the respondent does not know or is unwilling to answer)

【C2027dit】 Which range below was the amount of the original mortgage within?  
1. Less than 10,000  
2. 10,000-20,000  
3. 20,000-50,000  
4. 50,000-100,000  
5. 100,000-200,000  
6. 200,000-300,000  
7. 300,000-500,000  
8. 500,000-1,000,000  
9. 1,000,000-2,000,000  
10. 2,000,000-5,000,000  
11. More than 5,000,000

Notice for interviewers: input the time to the account.
【C2028】 In which year did the family take out this loan?
Notice: year in four-digit, e.g. 2010.

【C2031a】 Is this loan a mortgage loan?
1. Yes
2. No (skip to【C2056】)

【C2032】 What was the down payment for this house? (Unit: yuan)
(ask【C2032it】 if the respondent does not know or is unwilling to answer)

【C2032it】 Which range below is the amount of the down payment within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

【C2044】 What is the loan period? (Unit: year)

【C2045】 How much is your monthly mortgage payment? (Unit: yuan)

【C2056】 How long is the mortgage’s repayment period? (Unit: month) (asked when C2031a=2.)

【C2058】 How is the interest on the loan calculated?
1. Annual
2. Monthly
3. Daily
4. Bi-annually
5. Quarterly
6. One-off payment (skip to【C2062】)
7. Others (please specify) ______

Notice for interviewers: if the interest rate changes, please input the average interest rate.

【C2059】 How much is the loan of【CAPI loads C2058’s option】?

【C2061】 Please select the unit of 【C2059】’s interest rate. (Do not read it out.)
1. %
2. Fen (1%)
3. Li (0.1%)
4. Mao (10%)

→skip to 【C3001】

Notice for interviewers: this does not include the principal.
【C2062】 How much was your one-off interest payment? (Unit: yuan)

Notice for interviewers: all relevant expenses, such as expenses on purchasing/self-building/rebuilding/maintenance and repairing/decoration of house, pertain to housing expenses; private borrowing means money borrowing from informal channels such like friends, relatives, non-governmental financial organizations, etc.
【C3001】 Aside from bank loans, did the family borrow money from any other sources for the purchase, construction, or renovation of this house?
1. Yes
2. No (skip to 【C3015a】 if C2024=1, 【C3017aa】 if C2024=2.)

【C3001a】 How many of these informal loans does the family have?

Skip to 【C3016】 if C3001a=1.
【C3002a】 How much money does the family still have to pay for these informal loans (Unit: yuan)
(ask 【C3002ait】 if the respondent does not know or is unwilling to answer)

【C3002ait】 Which range below is the amount of money the family still owes for these informal loans within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

【C3002】 What is the total amount of these informal loans?
(ask 【C3002ait】 if the respondent does not know or is unwilling to answer)

【C3002it】 Which range of is the total amount of these informal loans within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

Skip to 【C3003】 if C3001a>1.

【C3016】 What is the current outstanding amount of the largest informal loan? (Unit:yuan)
(ask 【C3016it】 if the respondent does not know or is unwilling to answer)

【C3016it】 Which range below is the outstanding amount the informal loan?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

If C3001a>1, prompt: We want to understand the situation of the largest borrowing your family has taken.
Input the latest one if the largest borrowing are two or more of the same amount.

【C3003】 What is the amount of largest informal loan? (Unit:yuan)
(ask 【C3003it】 if the respondent does not know or is unwilling to answer)

【C3003it】 Which range below is the amount of the largest informal loan within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000
【C3004a】 What is the source of the loan?
1. Parents/Parents-in-law
2. Children
3. Siblings
4. Other relatives
5. Friends/Colleagues
6. Financial organization or professional organization (including usury)
7. Micro lending company
8. Online lending platform
9. Partner entity
10. Others (please specify) ______

【C3004aa】 When did you take out this loan?

【C3005】 Does this loan have an agreed repayment period?
1. Yes
2. No (skip to【C3007】)

【C3006】 How long is this loan’s repayment period? (Unit: month)

【C3007】 Do you have to pay interest on this loan?
1. Yes
2. No (skip to【C3015a】)

【C3008】 How is this interest rate calculated?
1. Annualy
2. Monthly
3. Daily
4. Semi-annually
5. Seasonal
6. One-off (skip to【B3012b】)
7. Others (please specify) ______

Notice for interviewers: if the agreed interest rate changes, please input the average amount of interest rate paid.

【C3009】 How much is this borrowing’s 【CAPI loads C3008’s option】?

【C3011】 Select the unit of interest rate for【C3009】. (Do not read out)
1. %
2. Fen (1%)
3. Li (0.1%)
4. Mao (10%)
→skip to 【C3015a】

【C3012b】 What is the total amount of interest owed? (Unit:yuan) (asked when C3008=6.)

【C3015a】 What is your household’s current capacity to repay the loan? (asked only when C2024=1 or C3001=1)
1. No Problem at all
2. It’s okay
3. Hardly capable
4. Imcapable at all

【C3017aa】 Does your household have an outstanding mortgage on its primary residence? (asked when C2002>0 and C2024=1)
1. Yes
2. No (skip to 【C3018】)

【C3017ca】 How much loan is it in total? (Unit:yuan) (ask 【C3017cit】 if the respondent does not know or is unwilling to answer)

【C3017cait】 Which range below is the loan in total within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

【C3017d】 What was the primary purpose of this loan? (multiple choice)
1. Purchase housing
2. Business
3. Non-manufacturing business investment
4. Children’s education
5. Marriage expenses
6. Medical expense
7. Vehicle
8. Debt
9. Lending to others
10. Others (please specify) ______
Shops

【C3018】 Does the family own any shops, excluding rented house? (asked only to the respondents of questionnaire B)
  1. Yes
  2. No (skip to【C4001】)

Notice for interviewers: it includes non-partitioned storefront and joint-ventured shop of marketplace and shopping place.

【C3019】 How many shops are owned by your family? (asked only to the respondents of questionnaire B)
【C3019aa】 What is your reason for having a shop?
  1. Private use
  2. Rental
  3. Let it be vacant
  4. Others (please specify) ______

【C3019a】 What is the current value of your household’s shop? (Unit:yuan)(asked only to the respondents of questionnaire B)
  (ask【C3019ait】 if the respondent does not know or is unwilling to answer)

【C3019ait】 Which range below is the value of your household’s shop within? (Unit:yuan) (asked only to the respondents of questionnaire B)
  1. Less than 10,000
  2. 10,000-30,000
  3. 30,000-50,000
  4. 50,000-70,000
  5. 70,000-100,000
  6. 100,000-300,000
  7. 300,000-500,000
  8. 500,000-1,000,000
  9. 1,000,000-5,000,000
  10. 5,000,000-10,000,000
  11. More than 10,000,000

【C3019b】 Has your household had any outstanding bank loan for purchasing the shop? (asked only to the respondents of questionnaire B)
  1. Yes
  2. No (skip to【C3019d】)

【C3019c】 How much outstanding bank loans is on your household’s shop? (Unit:yuan) (asked only to the respondents of questionnaire B)
  (ask【C3019cit】 if the respondent does not know or is unwilling to answer)
【C3019cit】 Which range below is the amount of the shop’s outstanding loan within? (Unit:yuan)
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【C3019d】 Are there any loans in arrears for buying shop?
1. Yes
2. No (skip to  【C3024】)

【C3019e】 How much do you still owe on these loans? (Unit:yuan) (asked to the respondents of questionnaire B)
(ask【C3019eit】if the respondent does not know or is unwilling to answer)

【C3019eit】 Which range below is the amount of loans in arrears within? (Unit:yuan)
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【C3024】 - 【C3025it】 are asked only when C3019aa=2.
【C3024】 How much store rent did your household receive last year? (Unit:yuan)(asked to the respondent of questionnaire B)
(ask【C3024it】if the respondent does not know or is unwilling to answer)

Skip to  【C4001】 if C3024=0.
【C3024it】 Which range below is the amount of store rent your household received? (asked to the respondents of questionnaire B)
1. Less than 10,000
Last year, how much individual income tax did you pay for rental income from your store property? (Unit: yuan) (asked to the respondents of questionnaire B)

(ask 【C3025it】 if the respondent does not know or is unwilling to answer)

Which range below is the amount of individual income tax you paid for rental income from your store property within?

1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000
House Demolitions

Notice for interviewers: here demolitions include housing and factory demolitions.
【 C4001 】 Has the family ever experienced a housing demolition/relocation? (asked to the respondents of questionnaire A)
1. Yes
2. No (skip to【C5001a】)

【C4002】 When was the family’s house latest demolished? (asked to the respondents of questionnaire A)
Notice: year in four-digit, e.g. 2010)

【 C4004 】 What is the demolition area (area measured before demolished)? (asked to the respondents of questionnaire A)

Notice for interviewers: if respondents already answered in 【C4004】 , please record that answer.
【 C4005 】 What was the unit of the area? (asked to the respondents of questionnaire A)
1. Mu
2. Fen
3. Qing
4. Hectare
5. Square meter
6. Others (please specify) ______

【C4006】 How have you been compensated for demolition?
1. Monetary compensation
2. Housing compensation (skip to【C4008】)
3. Subject to a portion of housing compensation and paid for part of it by myself (skip to【C4007a】)
4. Both monetary and housing compensation
5. No compensation (skip to【C5001a】)
6. Others (please specify) ______ (skip to【C4008c】)
7. Undecided (skip to【C5001a】)

【C4007】 How much was the financial compensation (Unit:yuan) (asked to the respondents of questionnaire A)
Skip to【C4008c】if C4006=1; skip to【C4008】if C4006=4.

【C4007a】 How much the family paid for its last housing compensation? (Unit:yuan)(asked to the respondents of questionnaire A)

【C4008】 What is the area being compensated to the family for the last housing demolition, excluding the area paid by them? (Unit: m²) (asked to the respondents of questionnaire A)

【C4008b】 What is the estimated value of the house area the family received as part of compensation? (Unit:yuan)
【C4008c】 Is the family is satisfied with the total compensation? (asked to the respondents of questionnaire A)

1. Quite satisfied
2. Satisfied
3. Acceptable
4. Dissatisfied
5. Quite dissatisfied
Land

Notice for interviewers: here, “land” refers to land used for agricultural production such as cultivated land, garden, plot, forest, grass plot, etc. “own” refers to contract for managerial right or the right to use.

【C5001a】 Currently, does your household own agricultural land? (multiple choice)
1. Cultivated land
2. Forest/Woodland
3. Grassland
4. Garden
5. Other agricultural land (please specify) ______
6. No (skip to【C5011a】)

CAPI loads C5001a's options one by one and ask 【C5003】-【C5010】 circularly

【C5003】 What is【CAPI loads C5001a’s options】’s area ?
【C5003b】 Recording unit?
1. Mu
2. Fen
3. Qing
4. Hectare
5. Square meter
6. Others (please specify) ______

【C5003a】 What is【CAPI loads one by one C5001a’s options】’s area for family's agricultural (forestry/grassland farming) production?

【C5004】 What is the unit of the area mentioned above?
1. Mu
2. Fen
3. Qing
4. Hectare
5. Square meter
6. Others (please specify) ______

【C5004a】 Did your household sign a contract for 【CAPI loads C5001a’s option one by one】?
1. Yes
2. No (skip to【C5004c】)

Easy-to-understand expression: Did you sign a contract when your village divided up the land (or when you were negotiating the contract for village’s grassland or forest)?
【C5004b】 In which year did you sign the last contract?

【C5004c】 Did your household have the land management rights certificate for【CAPI loads C5001a’s options one by one】?
  1. Yes
  2. No (skip to【C5010】)

**Easy-to-understand expression:** In recent years, farmers in each county’s block of land have the right to determine where their homes are or how big the total area is and then be issued a land “identity card” certificate. Does your household have this certificate?

【C5004d】 In which year was the certificate issued?

【C5010】 How much do you estimate that【CAPI loads one by on C5001a’s options】 is worth at current market prices? (Unit:yuan)

**Skip to【C5011a】 if C5001a≠1.**
【C5004h】 How many pieces of cultivated land does your family have?

If C5004h>1, prompt: Next, we would like to gather information about your household’s largest piece of cultivated land.

【C5004ha】 What type of cultivated land is it?
  1. Paddy field
  2. Irrigable land
  3. Dry land relying on natural rainfall
  4. Others (please specify) ______

【C5004hb】 What is the area of this cultivated land?

【C5004hc】 What unit is this recorded as?
  1. Mu
  2. Fen
  3. Qing
  4. Hectare
  5. Square meter
  6. Others (please specify) ______

**Notice for interviewers: if the respondent has answered, please record it directly.**

【C5004hd】 How many farming periods does your land have in a year?

【C5004he】 Last year, what crops did you plant?
1. Rice
2. Wheat
3. Corn
4. Potato
5. Sweet potato
6. Soybean
7. Peanut
8. Rapeseed
9. Cotton
10. Vegetables
11. Fruit
12. Others (please specify) ______
13. No agricultural production (skip to 【C5004hg】)

【C5004hf】 How much did 【CAPI one by one loads C5004he’s options】 yield last year?

【C5004hf-01】 The units of 【CAPI one by one loads C5004he’s options】 are? (including kilograms, pounds, baskets, tail, head, etc.)

【C5004hg】 Which level is the cultivated land in the contract?

【C5004hh】 What is the quality of your cultivated land?
1. Very good
2. Better than average
3. Ordinary
4. Inferior
5. Very bad

【C5004hk】 Is this cultivated land suitable for large-scale mechanical farming?
1. Yes
2. No

Easy-to-understand expression: Is your cultivated lands suitable for large planters, harvesters, or other machines?

【C5004hl】 Is this piece of cultivated land nearby a tractor road?
1. Yes
2. No

Definition: Tractor road refers to the one open to tractors, harvester, etc. for field operations.

【C5004hm】 What is the quality of this cultivated land?
1. Sand
2. Loam
3. Clay
4. Others (please specify) ______

【C5004hn】 How much sunshine does this land receive?
1. Adequate sunshine
2. Day-time sunshine
3. All-day cloud
4. Others (please specify) _____

【C5004ho】 Does this piece of cultivated land have sufficient irrigation?
1. Yes
2. No

【C5004hp】 How would you describe the irrigation of this piece of cultivated land?
1. Adequate water throughout the year
2. Adequate water
3. Water is often inadequate
4. Acute water shortage

【C5004hq】 Is the land nearby any power supply facilities?
1. Yes
2. No

【C5004hr】 Does this piece of land have drainage facilities?
1. Yes
2. No

【C5004hs】 Is this piece of land contaminated?
1. Yes
2. No (skip to 【C5005】)

【C5004ht】 What type of contamination has this piece of land suffered from?
1. Chemical pollution
2. Physical contamination
3. Biological pollution
4. Others (please specify) ______

【C5005】 Have you transferred the land’s right of management to another person or entity?
1. Yes (skip to 【C5005b】)
2. No

Easy-to-understand expression: Does anyone other than your household have contractual rights to the land? This includes buying shares by land, cooperating on land cultivation and lending it to others.
【C5005a】 What is the main reason that you didn’t transfer the land to others?
1. Land revenues (no other source of income, rent is too low, need to receive subsidies, etc.)
2. Value of land expected to rise
3. Private farming
4. Unaware of how to transfer (e.g.: doesn’t understand relevant policies)
5. Worries about transfer risk (contractor can’t pay rent on time, damage the land or unable to withdraw the land on time)
6. No one is willing to contract
7. Others (please specify) ______
→skip to【C5011a】

【C5005b】 What was the main reason for transferring your land?
1. Income
2. Transferring residence
3. Others villagers’s drive
4. Village’s collective promotion
5. Household not engaged in agricultural production
6. Others (please specify) ______

【C5006】 What is the area of the land being transferred? (1 mu=10 fen=666.7m², 1 hectare=15 mu=10,000 m²)

【C5007】 What is the unit of the area mentioned above?
1. Mu
2. Fen
3. Qing
4. Hectare
5. Square meter
6. Others (please specify) ______

Notice for interviewers: if respondents already answered in 【C5006】 , please record that directly.

【C5007a】 What is the term of the land lease? (Unit: year)
Notice: take the average value if there are multiple periods.

【C5007d】 To whom do you transfer your land?
1. Common farmer from local village
2. Common farmer not from local village
3. Specialized household
4. Family farm
5. Farmers' cooperative
6. Village collective
7. Company or enterprise
8. Agency
9. Others (please specify) ______

【C5007da】 Was 【loads C5007d’s option】 set up by the villagers ? (asked when C5007d=3, 4, 5, 7, 8, or 9.)
1. Yes
2. No

【C5007e】 What is your household transferred land used for? (multiple choice)
1. Growing food
2. Commercial Crops
3. Livestock breeding
4. Construction of a plant
5. Service business
6. Residential construction
7. Others (please specify) ______

Notice for interviewers: in-kind rent fees converted to market price; input "0" if it was not rent out or rent out for free last year.

【C5008】 What was the total rent amount received last year, including land dividends? (Unit:yuan)

【C5008c】 To whom do the grain subsidiaries for the transferred land belong, e.g. grain subsidy and subsidies for superior crop varieties.
1. Myself
2. Counterparty
3. No subsidiary
4. Shared by both parties
5. Others (please specify) ______

Notice for interviewers: “land use for free” also counted as transferred land.

【C5011a】 Does your household have inward transferred land?
1. Yes (skip to 【C5011c】 )
2. No

【C5011b】 Why hasn’t your household any inward transferred land?
1. Insufficient energy to cultivate more land
2. Low farm incomes
3. High rent
4. No proper transferor
5. No suitable land (inappropriate location or area, etc.)
6. Doesn’t know how to transfer (fear or doesn’t not understand relevant policies or policy changes, etc.)
7. Household isn’t engaged in agricultural production
8. Others (please specify) ______
→skip to【C5018】

【C5011c】Why has your household transferred inward land?
1. Expand scale of agricultural production
2. Land revenue
3. Meet our own needs
4. Land value is expected to rise
5. Others (please specify) ______

【C5012】What is the area of the inward-transferred land?
(1 mu=10 fen=666.7m², 1 hectare=15 mu=10,000 m²)

【C5013】What is the unit of the area mentioned above?
1. Mu
2. Fen
3. Qing
4. Hectar
5. Square meter
6. Others (please specify) ______

【C5013a】What is the term of the land lease? (Unit: year)
Notice: take the average value if there are multiple periods

【C5014a】What is the source of land transferring? (multiple choice)
1. Common farmer from local village
2. Common farmer not from local village
3. Specialized household
4. Family farm
5. Farmers' cooperative
6. Village collective
7. Company or enterprise
8. Agency
9. Others (please specify) ______

【C5015a】How is your household using the land? (multiple choice)
1. Growing Food
2. Commercial crops
3. Livestock breeding
4. The construction of the plant
5. Service Business
6. Residential construction
7. Others (please specify) ______
Notice for interviewers: unpaid rent fee shall not be included in the rent fee paid last year but in other liabilities instead.

【C5016】 What was the total amount the land rent last year? (Unit:yuan) (In-kind is converted to cash and input “0” for land rend for free.)

【C5017】 Who gets the grain subsidy from the land the family rents? (multiple choice)
1. Myself
2. Counterparty
3. No subsidiary
4. Share by both parties
5. Others (please specify) ______

【C5017a】 After transferring inward the land, how much has your household spent on land leveling and other soil fertility expenses? (Unit:yuan)

Definition: “Land leveling” is an effective means of increasing the land’s arability or engineering equality between tectonic plates and the embankments or footpaths between paddy fields.
Easy-to-understand expression: On your land, do you have leveled embankments between fields to increase the cultivated area or increase future revenues?

【C5018】 Does your household currently have a homestead? (This includes rend-out homesteads.)
1. Yes
2. No (skip to 【C5026】)

【C5019】 What is the area of your household’s homestead? (Including courtyard area)
(1 mu=10 Fen=666.7 square meters, 1 hectare=15 mu=10,000 square meters)

【C5019a】 What is the unit of record? (1 mu=666.7 square meters, 15 mu=1 hectare=10,000 square meters)
1. Mu
2. Fen
3. Qing
4. Hectare
5. Square meter
6. Others (please specify) ______

【C5021】 Has your homestead ever been engaged in the following? (multiple choice)
1. Homestead rental
2. Homestead sale
3. Exchange homestead with fellow villagers
4. Homestead handed in to the village collective
5. Others (please specify) ______
6. None of the above (skip to 【C5026】)
【C5022】 In which year did 【CAPI loads C5021’s options one by one】 happen?

【C5023】 How much income did 【CAPI loads C5021’s options one by one】 bring in? (Unit:yuan) (asked when C5022=2014.)

【C5024】 To whom do you rent or sale your homestead? (multiple choice) (asked when C5021=1 or 2.)
1. Villagers
2. Villagers from other village
3. Urban residents
4. Agricultural cooperatives
5. Land agencies
6. Others (please specify) ______

【C5026】 - 【C5034】 asked when C5005=1 or C5011a=1.
【C5026】 Has your household been engaged in any disputes when transferring land?
1. Yes
2. No (skip to 【C5029】)

Easy-to-understand expression: Has your household experienced any conflicts with others about land transfer? This includes conflicts with circulation party, households in adjacent land or village collective organization.

【C5027】 What was the cause of the dispute? (multiple choice)
1. Contractual dispute
2. Dispute out of contract
3. Subsidy dispute
4. Others (please specify) ______

【C5029】 How long did it take from expressing willingness to transfer land to the signing of the contract?
1. Less than one month
2. 1-3 months
3. 3-6 months
4. 6-12 months
5. 12-24 months
6. More than 24 months

【C5030】 What are the terms of payments of your household’s land transfer rent?
1. In-kind converted to market price
2. Fixed rent
3. Converted into stocks and sharing dividends
4. Others (please specify) ______

【C5031】 In addition to rent, how much are your other expenses related to land transfer? (Unit:yuan)

【C5033】 Which of the following service do you need for transferring land? (multiple choice)
1. Land transfer transaction information
2. Land transfer policy advocacy and interpretation
3. Price evaluation for land transfer rent
4. The provision of legal advice
5. Coordinate and standardize contract
6. Supervision Transfer Behavior
7. Mediate land disputes
8. None

【C5033a】 During the land transfer, which of the following services did you use? (multiple choice)
1. Land transfer transaction information
2. Land transfer policy advocacy and interpretation
3. Price evaluation of land transfer
4. Legal advice
5. Coordinate and standardize contract
6. Supervision of the transfer
7. Mediation of land disputes
8. Did not receive any services (skip to 【C5037c】)

【C5034】 During the land transfer, what organization or institution provided you with services? (multiple choice)
1. Government trade center
2. Land transfer agency
3. Village
4. Farmers’ cooperatives
5. Agribusiness
6. Other villagers
7. Internet platform
8. Traditional media platform (radio, television, newspapers, etc.)
9. Others (please specify) ______

【C5037c】 Do you think that land ownership certifications are beneficial to the farmers?
1. Yes (skip to 【C5040】)
2. No

【C5038】 Why do you think that land ownership certifications aren’t beneficial to farmers?
1. The rule of “not increase or not reduce by population” is unfair to some farmers
2. Farmers’ awareness of safeguarding land rights is enhanced so the land transfer progresses slowly
3. Adverse to land consolidation
4. Others (please specify) ______

【C5040】 Which of the following rural land management rights has your household used to apply for a bank loan? (multiple choice)
1. Right to contractual management(skip to 【C5044】)
2. Homestead rights (skip to 【C5044】)
3. Collective construction land right usage (land for construction and operating public facilities) (skip to 【C5044】)
4. Collective forest rights (skip to 【C5044】)
5. None of them

【C5043】 Why hasn’t your household applied for a bank loan?
1. No need
2. Couldn’t be qualified for it
3. No collateral
4. Cumbersome process
5. Outstanding loans
6. Others (please specify) ______
→skip to 【C5046】

【C5044】 Was your household’s loan application successful?
1. Yes (skip to 【C5046】)
2. No

【C5045】 Why do you think your loan application was unsuccessful?
1. Collateral is not valuable enough
2. Incomplete documents
3. Unclear land ownership
4. Bad credit
5. Unreasonable proposed loan usage
6. Unfamiliar with bank personnel
7. Others (please specify) ______

【C5046】 Has your village divided its collective assets into shares? (Collective assets include productive assets, non-productive assets and resources assets)
1. Yes
2. No (skip to 【C6001a】)

【C5047】 What are the criteria for determining shares?
1. Population
2. Amount of laborer
3. Amount of land
4. Average sharing according to household quantity
5. Others (please specify) ______

【C5048】 Has your household been awarded a share dividend
1. Yes
2. No (skip to 【C6001a】)
【C5049】 How much share dividend did your household receive from collective assets? (Unit: yuan)
Land Expropriation

Notice for interviewers: land transfer does not pertain to land expropriation.

【C6001a】 For how many time has your household’s land been expropriated?
Skip to 【C7001】 if C6001a=0.
If C6001a>1, prompt: Next, we would like to learn about your household’s most recent land expropriation.

【C6002a】 In which year did that land expropriation take place?
【C6004】 the area of the land that was expropriated the last time is

【C6005】 What is the unit of the area mentioned above?
1. Mu
2. Fen
3. Qing
4. Hectare
5. Square meter
6. Others (please specify) ______

【C6006】 What was the method of compensation of the last land expropriation?
1. Monetary
2. Non-monetary (skip to 【C6008】)
3. Both 1 and 2
4. No compensation (skip to 【C7001】)
5. Others (please specify) ______

【C6007】 What was the amount of the monetary compensation in the last land expropriation? (Unit:yuan)
Skip to 【C7001】 if C6006=1.

【C6008】 The form of non-monetary compensation in the last land expropriation was
1. Pensions
2. Health insurance
3. Job provision
4. Food compensation
5. Land compensation
6. Others (please specify) ______
(3) Vehicles

Prompt: Next we would like to learn about your family’s vehicle information.

【C7001】 Does your family own any vehicles including car, bus, or truck? (including vehicles jointly purchased)
1. Yes
2. No (skip to【C7058】)

【C7002】 What is the total number of the cars, buses or trucks your family owns?
If C7002>1, prompt: Here we would like to know the specific information of your family’s recently acquired cars.

【C7003】 What is the brand of the most recent car the family purchased?

【C7004】 What is the model of it?

【C7005】 In which year did the family purchase its most recent car?

【C7007】 Was the car new one or second-hand when purchased?
1. New
2. Used

Notice for interviewers: input “0” if the vehicles are acquired for free; input the part paid by the respondent if the vehicle is co-purchased with others.

【C7008】 How much did you pay in total for the car? (Unit:yuan)

Notice for interviewers: input “0” if the taxes and dues are paid by others and input the part paid by the respondent if the vehicle is co-purchased with others.

【C7008a】 What was the total amount of taxes and fees for buying this car? (Unit:yuan)

【C7009】 What is the market value of this car? (Unit:yuan)
(ask【C7009it】 if the respondent does not know or is unwilling to answer)

【C7009it】 Which range below is the car’s current market value within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

【C7009a】 How many kilometers has the car been driven? (unit: kilometers) (1 mile=1.61 kilometers)

【C7009b】 How many kilometers has your car been driven last year? (Unit: kilometers) (asked when C7005<2015.) (1 mile=1.61 kilometers)

【C7014】 Does your household have any outstanding loans for buying the car?
1. Yes
2. No (skip to【C7047】)

【C7021】 How many batches of car loan does your family have by far? (Unit: yuan)

Notice for interviewers: input the outstanding part of the respondent if he co-purchased the vehicle with others.

【C7022】 How much do you owe for car loans? (Unit: yuan)
(ask【C7022it】 if the respondent does not know or is unwilling to answer)

【C7022it】 Which range below is the outstanding loan for the car within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

Notice for interviewers: input the outstanding part of the respondent if he co-purchased the vehicle with others.

【C7023】 What was the total amount of loans you have on the car? (Unit: yuan)
(ask【C7023it】 if the respondent does not know or is unwilling to answer)

【C7023it】 Which range below is the total amount of the loan probably within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
Notice for interviewers: informal loans refer to loans from family and friends, private financial organizations, and other informal entities.

[C7047] Apart from bank loans, does your household still have any outstanding loans?
1. Yes
2. No (skip to [C7052b])

[C7076] How many batches of private loans do you owe by far?

[C7077] What is the balance due of these loans by far? (Unit:yuan)
(ask [C7077it] if the respondent does not know or is unwilling to answer)

[C7077it] Which range below is the balance due of these loans probably within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

[C7078] What was the total amount of these borrowings at that time? (Unit:yuan)
(ask [C7078it] if the respondent does not know or is unwilling to answer)

[C7078it] Which range below is the amount of these borrowings probably within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

Ask【C7052b】 if C7002>2.
【C7052b】 What is the total value of all cars owned by your family by far? (Unit:yuan)
(ask【C7052bit】 if the respondent does not know or is unwilling to answer)

【C7052bit】 Which range below is the total value of all cars owned by your family by far within ? (Unit:yuan)
(ask【C7052bit】 if the respondent does not know or is unwilling to answer)
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000—5,000,000
11. More than 5,000,000
Other Vehicles

【C7058】 What is the total value of other types of vehicles owned by the family, such as tricycles, electric bikes, bicycles, motorcycle and so on? (Unit: yuan) If none, please input “0.”
Ask 【C7070】 - 【C7074】 if C7001=1, otherwise, skip to 【C8001】
Skip to 【C8001】 if C7002=1 and C7005=2015
Vehicle Insurance

【C7070】 Last year, was your household’s vehicle insured?
1. Yes
2. No (skip to 【C8001】)

【C7071】 What type of auto insurance did the family buy last year? (multiple choice)
1. Mandatory traffic insurance
2. Loss of vehicle insurance
3. Third party liability insurance
4. Theft insurance
5. Auto liability insurance
6. No-fault liability insurance
7. Dropped cargo liability insurance
8. Glass breakage insurance
9. Loss from breakdown insurance
10. Spontaneous combustion insurance
11. Damage to added equipment insurance
12. Non-deductible special insurance
13. Against all risk
14. Others (please specify) ______

【C7072】 What was the total amount of insurance premiums paid by the family last year? (Unit:yuan)

【C7072a】 Was the car in any traffic accidents last year?
1. Yes
2. No (skip to 【C8001】)

【C7072b】 What was the economic loss from the accident? (Unit:yuan)

【C7074】 What was the amount received from the family's auto insurance claims last year? (Unit:yuan)
(4) Other Non-Financial Assets

Prompt: Here we want to know about the information of your family’s non-financial assets

【C8001】 What durable goods does your family currently own? (multiple choice)
1. Camera
2. BW/Color TV
3. Washing machine
4. Refrigerator
5. Air conditioner
6. Computer
7. Stereo
8. Solar/Electric water heater
9. Furniture
10. Satellite receiver
12. Musical instruments
13. Cell phone
15. Induction cooker
16. The microwave oven
17. Water dispenser
18. None of the above (skip to【C8004】)
14. Others (please specify) ______

【C8002】 What is the total value of the household's【CAPI loads C8001’s option】? (Unit:yuan)

【C8004】 What other assets below does your family own? (multiple choice)
1. Yacht/Private plane
2. Antiques
3. Rare animals and plan
4. Stamps/Paintings/Artwork
5. Gold, silver, jewelry, etc.
6. Others (such as high-end handbags, cosmetics, designer clothes, luggage, watches, etc.)
7. None (skip to【C8006】)

【C8005】 How much are 【CAPI loads C8004’s option】 now worth? (Unit:yuan)

【C8006】-【C8007it】 are asked only when C8001≠18 or C8004≠7.

【C8006】 Has the family borrowed money or taken out loans to purchase these assets?
1. Yes
2. No (skip to【D1101】)

【C8007】 How much money is still owed? (Unit:yuan)
(ask 【C8007it】 if the respondent does not know or is unwilling to answer)

【C8007it】 What is the outstanding amount of money owed for purchasing these assets (Unit:yuan)

1. Less than 5000
2. 5000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
11. More than 1,000,000
2. Financial Assets

Prompt: We now want to learn more about the information of your family’s household assets. Let’s start by deposits.

(1) Demand Deposits

【D1101】 Does your household currently have an RMB passbook or deposit card?
1. Yes
2. No (skip to 【D2101】)

【D1103】 How many deposit cards does your family have?

【D1105】 What is the total balance of the above-mentioned accounts? (Unit: yuan)
(ask 【D1105it】 if the respondent does not know or is unwilling to answer)

【D1105it】 Which range below is the total balance of the above-mentioned accounts?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【D1107】 Which bank’s current account does the family use most frequently?【CAPI lists banks】
1. ICBC
2. ABC
3. PSBC
4. CCB
5. Bank of Communications
6. Bank of China
7. RCC
8. RCB
9. City Commercial Bank
10. Joint-stock Commercial Bank
11. Other rural financial institutions
12. China Development Bank
13. EIBC
14. ADBC
15. Foreign banks
16. Others (please specify) ______
(2) Deposits

【D2101】 Does the family currently have immature RMB fixed-time deposits including certificate of time deposit ?
   1. Yes
   2. No (skip to 【D2107】 if D1101=1 and 【D3101】 if D1101≠1)

【D2104】 What is the total amount of your household’s deposit ? (Unit:yuan)
   (ask 【D2104it】 if the respondent does not know or is unwilling to answer)

【D2104it】 Which range below is the total value of above-mentioned time deposits (Unit:yuan)
   1. Less than 10,000
   2. 10,000-30,000
   3. 30,000-50,000
   4. 50,000-70,000
   5. 70,000-100,000
   6. 100,000-300,000
   7. 300,000-500,000
   8. 500,000-1,000,000
   9. 1,000,000-5,000,000
  10. 5,000,000-10,000,000
  11. More than 10,000,000

【D2106】 What was the income from the family’s time deposits interest last year (Unit:yuan)?
   (ask 【D2106it】 if the respondent does not know or is unwilling to answer)

【D2106it】 Whic range below is last year's income from the family’s time deposits interest within?
   1. Less than 500
   2. 500-1,000
   3. 1,000-2,000
   4. 2,000-3,000
   5. 3,000-5,000
   6. 5,000-8,000
   7. 8,000-15,000
   8. 15,000-20,000
   9. 20,000-30,000
  10. More than 30,000

【D2107】 What major banking services do you use most frequently? (multiple choice)(asked when D1101=1 or
D2101=1.)
   1. Bank/Credit union branch counters
   2. Online banking
   3. Telephone banking
4. Preferential agricultural financial service points for villages (benefiting-farmers card and account transfer telephone.)
5. Mobile banking
6. Self-service internet banking
7. Others (please specify) ______

Definition:
Mobile banking: acquire banking services by logging in application (app) on mobile phone.
Telephone banking: acquire service provided by banks through dialing the number of the telephone bank.
Preferential agricultural financial service points for villages: financial service points of Agricultural Bank of China arranged in rural areas, provided with electronic tools such as account-transfer telephone and pos machine that relied on a large number of business cooperators to provide the farmers with basic financial services such as quick and convenient small-amount encashment, settlement by transferring accounts without going out of the village. Two essential conditions of service points are benefiting-farmers card and account-transfer telephone.

【D2107a】What is your overall opinion of banking services?
1. Quite satisfied (skip to【D2109】)
2. Satisfied (skip to【D2109】)
3. Acceptable (skip to【D2109】)
4. Dissatisfied
5. Quite dissatisfied

【D2108】What is the main reason for your dissatisfaction with banking services? (multiple choice)
1. Small number of outlets
2. Lack of ATMs and other self-service terminals
3. Poor customer service (bad attitudes, low efficiency, long wait times, etc.)
4. Cumbersome self-service terminal, complex operations/business processes
5. High fees
6. Inconvenient location
7. Poor electronic financial services
8. Unsuitable banking products/services
9. Others (please specify) ______

【D2109】- 【D2131】asked when rural=1.
【D2109】Which of the following forms of banking is within the closest proximity to your home?
1. Bank/RCC branches counters
2. Self-service banking or self-service terminals
3. “Villages” preferential agricultural financial service outlets
4. Others (please specify) ______

【D2110】How do you reach the nearest【CAPI loads 2109’s option】?
1. Walking
2. Cycling
3. Bike motorcycle/Bike motorized bike/Bike tricycle
4. Public transportation (such as public bus, rural inter-city buses, etc.)
5. Taxi/Private car (vehicle with no operating license, such as an illegal taxi)
6. Others (please specify) ______

【D2111】 How many minutes does it take you by using 【CAPI loads 2110’s option】 to reach the nearest 【CAPI loads 2109’s option】?

【D2118】 Why hasn’t your household opened online banking? (multiple choice) (asked when D2107≠2.)
   1. Hasn’t heard of it
   2. Doesn’t need it
   3. Doesn’t know how to open it
   4. No nearby supporting outlets
   5. Opening process is cumbersome
   6. Doesn’t know how to use online banking
   7. No equipment or network to log on the online bank at home
   8. Limited funds
   9. Worried about financial security
   10. Others (please specify) ______

【D2118a】 Why doesn’t your household participate in mobile-phone banking? (multiple choice) (asked when D2107≠5.)
   1. Hasn’t heard of it
   2. Doesn’t need it
   3. Doesn’t know how to open it
   4. Cumbersome process
   5. Doesn’t know how to use it
   6. Doesn’t have mobile phone/Inadequate phone functions
   7. Limited funds
   8. Worried about financial security
   9. Others (please specify) ______

【D2118b】 Why hasn’t your household used the preferential agricultural financial service? (multiple choice) (asked when D2107≠4.)
   1. Hasn’t heard of it
   2. No such service in the residential place
   3. The business is not needed
   4. Operational procedures cumbersome
   5. does not understand much of the service
   6. Limited funds
   7. Others (please specify) ______
Online Banking

Notice for interviewers: ask the number of the cards owned by the entire household and that of the individual respondent if the information of the entire family is not available.

【D2119】 How many active online banking cards does your household have? (asked when D2107=2 or D1103>1.)

【D2121】 How often does your household participate in online banking? (asked when D2107=2.)
1. Regularly
2. Rarely (skip to 【D2123】)
3. Never at all (skip to 【D2123】)

【D2121a】 On average, how many times a month does your household participate in online banking? (asked when D2107=2.)
1. Not even once
2. 1-5 times
3. 6-10 times
4. 11-20 times
5. 21 times and more

【D2122】 What is the main reason that your household participates in online banking? (asked when D2107=2.)
1. Accounting or inter-bank transfer services
2. Alipay or TenPay payment platform transfers
3. Online shopping
4. Account inquiries/Management services
5. Credit card payments/Inquiries
6. Pay bills: water, electricity, gas, cable, TV, etc.
7. Others (please specify) ______
→skip to【D2125】

【D2123】 Why doesn’t your household (often) participate in online banking?
1. I do not know how to use nor will I use it
2. The operating procedure is too complicated and I don’t want to use it
3. Worried about online banking security
4. No needed function
5. Others (please specify) ______
Mobile Banking

【D2125】 On average, how often does your household engage in mobile banking in a month? (asked when D2107=5.)
1. Frequently
2. Rarely(skip to【D2127】)
3. Never(skip to【D2127】)

【D2125a】 How many times a month does your household engage in mobile banking? (asked when D2107=5.)
1. Not even once
2. 1-5 times
3. 6-10 times
4. 11-20 times
5. 21 times and more

【D2126】 What do you usually use mobile banking for? (multiple choice) (asked when D2107=5.)
1. Account or inter-bank remittance service
2. Alipay or TenPay payment platform transfers
3. The electronic payment online shopping
4. Account Inquiry/Management Services
5. Credit card payment/Queries
6. To pay the bill, water and electricity or gas bills, cable TV fees, various fees
7. Others (please specify) ______
→skip to【D2128】

【D2127】 What is the reason that your household doesn’t use/rarely uses mobile banking? (asked when D2107=5.)
1. I do not know how to use nor will I use it
2. The operating procedure is too complicated; no desire to use
3. Concerned about mobile banking security
4. No needed function
5. Others (please specify) ______
**Benefiting-Farmer Cards**

【D2128】 Does your household possess a benefiting-farmer card? (asked when D2107=4.)
1. Yes
2. No (skip to 【D3101】)

【D2129】 Does your family frequently use benefiting-farmer card? (asked when D2107=4.)
1. Yes
2. No (skip to 【D2131】)

【D2130】 What is your household’s main reason for having a benefiting-farmer card? (multiple choice) (asked when D2107=4.)
1. Cash Business
2. Payments
3. Making transfers
4. Purchasing financial products
5. Tracking transaction details
6. Farmer credit transactions
7. Rural social security and medicare identification (and paying fees)
8. Paying utilities in a rural area
9. Financial subsidies agent
10. Others (please specify) ______
   →skip to 【D3101】

【D2131】 Why doesn’t your household (often) use the benefiting-farmer card? (asked when D2107=4.)
1. I don’t need to use it very often
2. Limited funds
3. I don’t know how to use it
4. No needed function
5. I’m worried that it’s not safe
6. Others (please specify) ______
(3) Stocks

Skip to 【D5102】 and do not ask about stock if A4007aa=3 or 5, i.e. the respondents haven’t heard about stock.
Notice for interviewers: accounts here refer to Shenzhen Stock Exchange stock accounts, the Shanghai Stock Exchange’s all A shares and board, small board, and GEM stocks.

【D3101】 Does your family have any stock accounts?
1. Yes (skip to 【D3102b】)
2. No

【D3101a】 Have you heard of stocks?
1. Yes
2. No (skip to 【D5102】)

【D3102aa】 Why doesn’t your family have any stock accounts? (multiple choice)
1. Stocks are too risky
2. Stock returns are too low
3. Do not know how to open an account
4. Limited funds
5. Lack relevant knowledge
6. For fear of inconvenient account-opening process
7. Having Other investment channels
8. No time or energy
9. No interest
10. Other reasons (please specify) ______

Skip to 【D3113】 if D3101=2.

【D3102b】 How transparent do you think China’s stock market is?
1. Very transparent
2. Relatively transparent
3. Transparent
4. Relatively untransparent
5. Very untransparent

【D3102d】 Do you think that the information disclosed by China’s listed companies is credible?
1. Very credible
2. Relatively credible
3. Generally credible
4. Not very credible
5. Not credible

Notice for interviewers: stock account cash balance does not include the money that was used to buy shares. Ask about the total amount if there are multiple stock accounts.
【D3103】 What is the cash balance of these stock accounts that is not used to purchase shares? (Unit:yuan) (ask 【D3103 it】 if the respondent does not know or is unwilling to answer)

【D3103 it】 Which range below is the cash balance of these stock accounts within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【D3104】 How many stocks does your family currently hold? (including stocks held short-term)

Skip to 【D3109】 if D3104>0.

【D3105a】 Why doesn’t your household currently have any stocks? (multiple choice)
1. Stocks are too risky
2. Lost money before
3. Current market is not good
4. Haven’t selected stocks yet
5. Insufficient stock knowledge
6. Others investment channels
7. Limited funds
8. No interest in purchasing
9. No time or energy
10. Others (please specify) ______

【D3105b】 Since buying a stock, has your household become involved in the stock market?
1. Yes (skip to 【D3107a】 )
2. No (skip to 【D3113】 )

Notice for interviewers: this question asks for the entire market capitalization of all stocks. Funds not used to buy stocks are not counted.

【D3109】 What is the current market value of the stocks the family owns? (Unit:yuan) (ask 【D3109 it】 if the respondent does not know or is unwilling to answer.)

【D3109 it】 Which range below is the current market value of the stocks the family owns within?
1. Less than 10,000
2. 10,000-30,000
<table>
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<tr>
<th>Range</th>
<th>Investment Amount</th>
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<tbody>
<tr>
<td>3. 30,000-50,000</td>
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<td>4. 50,000-70,000</td>
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<td>10. 5,000,000-10,000,000</td>
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<tr>
<td>11. More than 10,000,000</td>
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</tbody>
</table>

**Notice for interviewers:** the respondent shall select, at his/her own discrete, the account opening date or the date of investment in his/her first stock as the starting date of stock investment; if two dates are given in the answer, the interviewer shall preferentially select the date of investment in the first stock. Here we need to know the first stock investment date of family members of the respondent; if the family member has left the family, the date of the stock investment thereof shall not be included therein.

**[D3107a]** From which year did your household begin having stocks? *Year in four-digit, e.g. 2010.*

**[D3111b]** Which of the following policies do you think are conducive to developing the stock market? (multiple choice)

1. The stock issuance registration system reform
2. Trading system reform
3. Shanghai and Hongkong stock connect
4. Shenzhen and Hongkong stock connect
5. Improve system for delisting listed companies
6. GEM banning backdoor listing
7. Loosen personal restrictions
8. Others (please specify) ______
9. Unaware of all above

【D3111d】 In the daily stock market, how susceptible are you to conduct of other investors?
  1. Highly susceptible
  2. Relatively susceptible
  3. Not very susceptible
  4. A little susceptible
  5. None

【D3113】 Does the family have any non-publicly traded stocks?
  1. Yes
  2. No (skip to【D5102】 if D3104=0 and 【D3116a】 if D3104>0)

Notice for interviewers: ask for the whole family’s stocks, rather than a stock or that of an individual.

【D3116】 What is the current value of these stocks? (Unit:yuan)
  (ask【D3116it】 if the respondent does not know or is unwilling to answer)

【D3116it】 Which range below is the of current value of these stocks within? (Unit:yuan)
  1. Less than 10,000
  2. 10,000-30,000
  3. 30,000-50,000
  4. 50,000-70,000
  5. 70,000-100,000
  6. 100,000-300,000
  7. 300,000-500,000
  8. 500,000-1,000,000
  9. 1,000,000-5,000,000
  10. 5,000,000-10,000,000
  11. More than 10,000,000

Notice for interviewers: it means financing from other organizations such like security companies or individuals, excluding financing by securities margin trading.

【D3116a】 Did your family take a loan or borrow money to buy stocks?
  1. Yes
  2. No (skip to【D3117】 )

【D3116aa】 What is the main source of these debts?
  1. Banks or credit unions and other formal financial institutions
  2. Friends and family, private financial organizations, or other private financing
  3. Both

【D3116b】 What is the amount of money that is still owed? (Unit:yuan)
【D3117】 What was the income your family made last year from buying/selling stocks or dividends? (Unit:yuan)
Notice: income here refers to the secured part!
(ask【D3117】if the respondent does not know or is unwilling to answer)

【D3117】 Which range below is the income the family made last year from stock or dividends within? (Unit:yuan)
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000
(4) Funds

Skip to 【D7102】 and don’t ask about fund if A4007aa=4 or 5, i.e. the respondents haven’t heard about fund.

Prompt: now we would like to know the information about your family’s fund purchase; the funds here do not include internet “Bao Series” financial products linked up with various money market funds.

【D5102】 Does the family have any funds?
1. Yes (skip to 【D5103a】)
2. No

【D5102aa】 Why doesn’t your family have any funds? (multiple choice)
1. Never heard of them
2. High risk
3. Low-return
4. Don’t know how to open an account
5. Cumbersome purchasing procedures
6. Lacks relevant knowledge
7. Limited funds
8. No interest in purchasing
9. No time or energy
10. Having other investment channels
11. Other reasons (please specify) ______
→skip to 【D7102】

Notice for interviewers: the respondent shall select, at his/her own discrete, the account opening date or the date of investment in his/her first fund as the starting date of fund investment; if two dates are given in the answer, the interviewer shall preferentially select the date of first investment in fund. Here we need to know the first fund investment date of family members of the respondent; if the family member has left the family, the date of the stock investment thereof shall not be included therein.

【D5103a】 From which year did your family start to invest in funds?
Notice: year in four-digit, e.g. 2010

【D5104】 What kind of funds does your family invest in? (multiple choice)
1. Stock
2. Bond
3. Money Market Fund
4. Hybrid
5. Others (please specify) ______

【D5107】 What is the current total market value of these funds? (Unit:yuan)
(ask 【D5107 it】 if the respondent does not know or is unwilling to answer)

【D5107 it】 Which range below is the current total market value of these funds within?
Notice for interviewers: only invested capital should be calculated for fixed investment funds.

【D5108】 What was the investment amount when purchasing those funds held now? (Unit: yuan)
(ask 【D5108 it】 if the respondent does not know or is unwilling to answer)

【D5108 it】 What was the range of the investment amount when purchasing those funds being held now?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

Notice for interviewers: income here refers to the secured part!

【D5109】 What was your family’s real income from funds or dividends last year? (Unit: yuan)
(ask 【D5109 it】 if the respondent does not know or is unwilling to answer)

【D5109 it】 Which range below of your real income last year from funds or dividends within?
1. Less than 5,000
2. 5,000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
11. More than 1,000,000
(5) Financial Products

Prompt: now we would like to know the information about your family’s financial products, including bank financial products, internet "Bao Series" financial products, P2P online lending, etc.

Notice for interviewers: here we ask about the conventional bank financial products whose starting point of subpromotion is generally above 50,000 yuan with a fixed term in which the fund is frozen and cannot be cashed in advance; there are also products which can be redeemed and cashed from time to time.

【D7102】 Has your family had any financial products of bank now?
   1. Yes
   2. No (skip to【D7106d】)

Notice for interviewers: this question asks the respondent for the total amount of all financial products, instead of information of an individual/individual product. If the respondent gives you the amounts separately, you need to calculate them.

【D7106a】 What is the current value of the financial products your family holds? (Unit:yuan)
   (ask【D7106ait】 if the respondent does not know or is unwilling to answer)

【D7106ait】 Which range below is the current value of the financial products the family holds within?
   1. Less than 10,000
   2. 10,000-30,000
   3. 30,000-50,000
   4. 50,000-70,000
   5. 70,000-100,000
   6. 100,000-300,000
   7. 300,000-500,000
   8. 500,000-1,000,000
   9. 1,000,000-5,000,000
   10. 5,000,000-10,000,000
   11. More than 10,000,000

【D7106d】 Does your household currently have internet products, such as Yuebao, Wechat Finance, JD Finance, Baidu Financemicro-channels, and Shopkeeper Wallet?
   1. Yes (skip to【D7106d】)
   2. No

【D7106e】 Why hasn’t your household purchased any internet financial products? (multiple choice)
   1. Never heard of them
   2. High risk
   3. Low-return
   4. Don’t know how to purchase them
   5. Cumbersome purchasing procedures
6. Lack relevant knowledge
7. Limited funds
8. No interest in purchasing
9. No time or energy
10. Having other investment channels
11. Others (please specify) ______

→skip to【D7107】

【D7106f】From which year did your household begin using internet financial products?
Notice: year in four-digit, e.g. 2010.

【D7106g】What was your main reason for buying online financial products? (multiple choice)
1. Convenient online payment
2. Convenient transfer/remittance
3. Increment to funds
4. Diversify investment risks
5. Another form of household savings
6. Convenient credit card payments
7. Facilitate bill payment (water or electricity/phone replenishing)
8. Others (please specify) ______

【D7106h】What is the total market value of your household’s internet financial products? (Unit:yuan)
(ask【D7106hit】if the respondent does not know or answer)

【D7106hit】Which range is the total value of your household’s internet financial products within?
1. Less than 5000
2. 5000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
11. More than 1,000,000

Notice for interviewers: in this question, “other financial products” does not include the aforementioned internet financial products such as Yuebao. When respondents give the value of each financial product, please have them added.

【D7107】Does your household have other financial planning products, such as lending, P2P network, crowdfunding, brokerage collection management, etc.?
1. Yes
2. No (skip to 【D7113】)

【D7110】 What is the total value of other financial products held by your family? (Unit: yuan)
(ask 【D7110it】 if the respondent does not know or is unwilling to answer)

【D7110it】 Which range below is the total value of other financial products held by the family within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

Notice: income here refers to the secured part!

【D7112】 What was your family’s real income last year from these financial products? (Unit: yuan)
(ask 【D7112it】 if the respondent does not know or is unwilling to answer)

【D7112it】 Which range below is the family’s real income last year from these financial products? (Unit: yuan)
1. Less than 5000
2. 5000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
11. More than 1,000,000

【D7113】 In addition to the aforementioned bank deposits, stocks, fund or financial products, does your household also hold any of the following financial products? (multiple choice)
1. Bonds
2. Derivatives
3. Precious metals (physical precious metal or precious metal accounts)
4. Non-RMB assets (including overseas fixed assets)
5. Others (please specify) ______
6. None of the above (skip to K1101)

Ask D4101a - D4111it if D7113≠4 and skip all bond-related questions is D7113
(6) Bonds

【D4101a】 What type of bonds does your family have? (multiple choice)
1. State Treasury Bills
2. Local Government Bonds
3. Financial bonds
4. Corporate bonds
5. Other bonds (please specify) ______

CAPI: loads D4101a’s options one by one and circularly ask【D4103】

【D4103】 What is the total market value of these bonds your family holds? (Unit:yuan)
(ask【D4103 it】 if the respondent does not know or is unwilling to answer)

【D4103 it】 Which range below is the total market value of these bonds your family holds within?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【D4111】 How much real income did your family make last year from bonds or dividends? (Unit:yuan)?
Notice: income here refers to the secured part!
(ask【D4111 it】 if the respondent does not know or is unwilling to answer)

【D4111 it】 Which range below is the real income your family made last year from bonds or dividends within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000
If D7113=2, ask part of D6100a - D6116it; if D7113≠2, skip financial derivatives part.
(7) Derivatives

Prompt: We would like to talk about your family’s derivatives situation.
【D6110a】 What is the current value of your household’s financial derivatives?
(ask【D6100a it】 if the respondent does not know or is unwilling to answer)

【D6100ait】 Which range below is the value of your household’s financial derivatives?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【D6116】 What was your family’s real income last year from derivatives? (Unit:yuan)
Notice:income here refers to the secured part!
(ask【D6116it】 if the respondent does not know or is unwilling to answer)

【D6116it】 Which range below is the family’s real income last year from derivatives?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

If D7113=4, ask part of【D8104】-【D8106it】; if D7113≠4, skip the part of Non-RMB assets.
(8) Non-RMB Assets

【D8104】 About how much are they worth?
(Please convert them to RMB; only the actual investment amount is counted for foreign exchange margin trading)
(ask【D8104it】if the respondent does not know or is unwilling to answer)

【D8104it】 Which range below are your non-RMB assets probably within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

Notice for interviewers: this refers to the actual after-tax income of the family received from all non-RMB assets.

【D8106】 What was your family's after-tax income last year from these non-RMB assets? (Unit:yuan)
Notice:income here refers to the secured part!
(ask【D8106it】if the respondent does not know or is unwilling to answer)

【D8106it】 Which range below is your family's real income last year from these non-RMB assets?
1. Less than 20,000
2. 20,000-50,000
3. 50,000-100,000
4. 100,000-200,000
5. 200,000-500,000
6. 500,000-1,000,000
7. 1,000,000-2,000,000
8. 2,000,000-5,000,000
9. 5,000,000-10,000,000
10. 10,000,000-20,000,000
11. More than 20,000,000

If D7113=3, ask part of【D9100aa】 - 【D9100bit】 ; if D7113≠3, skip the part of precious metals.
(9) Precious Metal

【D9100aa】 What is the current market value of your household’s precious metals? (please convert it to RMB; Unit:yuan.)

(ask 【D9100aait】 if the respondent does not know or is unwilling to answer)

【D9100aait】 Which range below is the current market value of these precious metals?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【D9100b】 Last year, how much real income did your household make from these precious metals? (Unit:yuan)
Notice:here, “income” refers to secured part!

(ask 【D9100bit】 if the respondent does not know or is unwilling to answer)

【D9100bit】 Which range below is the amount of real income your household earned from precious metals within?
1. Less than 5000
2. 5000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
11. More than 1,000,000

Ask 【D9110a】-【D9110bit】 if D7113=5 and skip the part of “other financial assets” if D7113≠5
(10) Other Financial Assets

【D9110a】 How much is the current market value of your household’s other financial assets? 【Minute Inquiry Method】 (please convert it to RMB; Unit: yuan)
(ask 【D9100a it】 if the respondent does not know or is unwilling to answer)

【D9110ait】 Which range below is the current market value of your household’s other financial assets?
1. Less than 10,000
2. 10,000-30,000
3. 30,000-50,000
4. 50,000-70,000
5. 70,000-100,000
6. 100,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-5,000,000
10. 5,000,000-10,000,000
11. More than 10,000,000

【D9110b】 Last year, how much real income did your household earn from other financial assets? (Unit: yuan)
Notice: income here refers to secured part?
(ask 【D9110bit】 if the respondent does not know or is unwilling to answer)

【D9110bit】 Which range below is the real income your household earned from other financial assets last year?
1. Less than 5000
2. 5000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
11. More than 1,000,000

【D9106】 - 【D9108it】 asked when D5102=1 or D7102=1 or D7106d=1 or D7107=1 or D7113=1, 2, 3, 4, or 5.
【D9106】 Has your household had any outstanding loan for buying 【CAPI loads financial products excluding stocks】
1. Yes
2. No (skip to 【K1101】)
【D9107】 What is the main source of your debt?
1. Bank, credit union, or another formal financial institution
2. Friends or family; informal financial organization; or other informal financing
3. Combination

【D9108】 Currently, how much do you have in loans that pertain to these financial assets? (Unit: yuan)
(ask 【D9108it】 if the respondent does not know or answer.)

【D9108it】 Which range below is the outstanding loans pertaining to these financial assets within?
1. Less than 5000
2. 5000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
11. More than 1,000,000
(11) Cash

【K1101】 How much cash does your family currently have? (Unit:yuan)
(ask 【K1101it】 if the respondent does not know or is unwilling to answer)

【K1101it】 Which range below is the amount of cash your family currently has within?
1. Less than 5000
2. 5000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
(12) Lent-out Money

Notice for interviewers: “others” refers to family members of the respondent or institutions other than those defined in the survey.

【K2101】 Has your family lent money to “other people” which is yet to be returned?
1. Yes
2. No (skip to 【E1001】)

【K2101c】 How many batches of lent-out money do you have?

Skip to 【K2114】 if K2101c = 1.

【K2102c】 What is the outstanding amount of 【CAPI loads K2101c’s options】? (Unit:yuan)
(ask 【K2102cit】 if the respondent does not know or is unwilling to answer)

【K2102cit】 Which range below is the outstanding amount of above-mentioned loans within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

Skip to 【K2103aa】 if K2101c > 1.

【K2114】 how much money are you still owed? (Unit:yuan)(asked when K2101c=1)
(ask 【K2114it】 if the respondent does not know or is unwilling to answer)

【K2114it】 Which range below is the money you are still owed?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

If K2101c>1, prompt: next, we would like to ask the largest loan that you have lent to someone. (If the largest loans are two or more, ask the latest one.)

【K2103aa】 Whom did you lend the money to?
1. Parents/Parents-in-laws
2. Children
3. Siblings
4. Other relatives
5. Friend/Colleague (including neighbor)
6. Private financial organizations or professional borrower
7. Lending company
8. Professional partnership
9. Others (please specify) ______

【K2110】 In which year was the biggest loan lent?

【K2115】 Does the money you’ve loaned have an agreed upon repayment period?
1. Yes
2. No (skip to【K2117】)

【K2116】 What is the prescribed time of this repayment period? (Unit: month)

【K2117】 What form of agreement did this loan take?
1. Verbal agreement
2. “IOU”
3. Formal lending agreement/contract
4. Others (please specify) ______

Notice for interviewers: if the respondent selected 1. “unsecured”, ask if there is a guarantor; 2. “surety”, then the loan is considered secured.

【K2118】 What is the main form that the loan has taken?
1. Unsecured
2. Surety (Third party guarantee)
3. Collateral
4. Pledge guarantee

【K2119】 What was your main reason for lending money at that time? (multiple choice)
1. Good relationships
2. Relative or friend relationships
3. Debtor is capable to repay
4. Debtor has good character/reputation
5. Debtor has once lent money to me
6. Other interests or benefits
7. Currently no other use
8. Sentimental feelings
9. Others (please specify) ______

【K2122】 Does this loaned money have interest?
1. Yes
2. No (skip to 【K2128】)

【K2123】 How is this interest rate calculated?
1. Annually
2. Monthly
3. Daily
4. Semi-annually
5. Quarterly
6. One-off (skip to 【K2127】)
7. Others (please specify) ______

Notice for interviewers: if the agreed upon interest rate changes, please input the average amount of interest rate.

【K2124】 How much is 【loads K2123’s answer】 interest rate?

【K2126】 Select the unit of measuring interest. (Do not read out)
1. %
2. Fen (1%)
3. Li (0.1%)
4. Mao(10%)

【K2127】 How much interest do you need to receive? (Unit:yuan)
Notice: asked only when K2123 =6.

【K2128】 What is your assessment of the borrower’s capability to repay the loan?
1. Completely capable
2. Basically no problem
3. Might be difficult
4. Completely incapable

【K2129】 How much of the loan do you anticipate being repaid?
1. All of the loan
2. A large portion of the loan
3. Hardly any
4. None of the loan
3. Other Debts

Prompt: Here, we would like to find out more about your family’s debts. Let’s start from the education liabilities.

(1) Educational Debt

【E1001】 Has your family taken out any educational loans?
   1. Yes
   2. No (skip to 【E1020】)

【E1005】 How many batches of educational loans?

【E1007aa】 What are the main causes for your family's education loan? (Multiple choices)
   1. Child is attending elementary school/junior high school in China
   2. Child is attending senior high school/technical secondary school/professional high school in China
   3. Child is attending regular college/junior college/higher vocational college in China
   4. Child is attending postgraduate (Master Degree/Doctor Degree) courses in China
   5. Child is attending elementary school/junior high school overseas
   6. Child is attending regular college overseas
   7. Child is attending postgraduate (Master’s Degree/Doctor’s Degree) courses overseas
   8. Others (please specify) ______

Skip to 【E1006a】 If E1005=1.

【E1006】 What is the outstanding balance of these 【CAPI loads E1005】 educational loans? (Unit:yuan)
   (ask 【E1006ait】 if the respondent does not know or is unwilling to answer)

【E1006it】 Which range below is the amount of loans owned within? (Unit:yuan)
   1. Less than 10,000
   2. 10,000-20,000
   3. 20,000-50,000
   4. 50,000-100,000
   5. 100,000-200,000
   6. 200,000-300,000
   7. 300,000-500,000
   8. 500,000-1,000,000
   9. 1,000,000-2,000,000
   10. 2,000,000-5,000,000
   11. More than 5,000,000

【E1007】 How much are these 【CAPI loads E1005】 loans? (Unit:yuan)
Skip to 【E1007a】if E1005>1.

【E1006a】 What is the balance due of the loan by far? (Unit:yuan)
(ask 【E1006ait】 if the respondent does not know or is unwilling to answer.)

【E1006ait】 Which range below is the amount of money outstanding on the loan? (Unit:yuan)
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

If E1005>1, prompt: now we would like to know the information about the largest education loan of your family
(if there are two and more loans, fill in the latest one)

【E1007a】 How much money do you owe? (Unit:yuan)
(ask 【E1007ait】 if the respondent does not know or is unwilling to answer)

【E1007ait】 Which range below is the amount of money owed within? (Unit:yuan)
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

【E1008】 In which year did you take out the loan?

Notice for interviewers: if there is no agreed upon repayment period, please input 9999.

【E1008a】 What is the length of the repayment period? (Unit: month)

【E1008b】 How is the interest rate calculated?
1. Annually
2. Monthly
3. Daily
4. Semi-annually
5. Seasonal
6. One-off (skip to 【E1008F】)
7. Others (please specify) ______

Notice for interviewers: if the agreed upon interest rate changes, please input the average interest rate paid.
【E1008c】 How much is 【CAP loads E1008b’s options】 for this loan?

【E1008e】 Please select the unit of 【E1008c】’s interest rate. (Do not read out)
1. %
2. Fen (1%)
3. Li (0.1%)
4. Mao (10%)
→skip to 【E1009】

Notice for interviewers: this does not include the principal.
【E1008f】 What was the amount of the one-off interest payment? (Unit:yuan)

【E1009】 What type of the loan is it?
1. National Education Loan
2. Commercial Education Loan

【E1015】 You mentioned earlier that your household has 【CAPI loads loan amount】 yuan of education-related loans. How would you describe this amount compared to your complete education-related expense needs? (asked when E1001=1)
1. Totally enough (skip to 【E1020】)
2. Generally enough
3. Partly enough
4. Halfly enough (skip to 【E1020】)

【E1016】 Currently, how much more does your household need? (Unit:yuan)
(ask 【E1016it】 if the respondent does not know or is unwilling to answer)

【E1016it】 Which range below is your household’s current financial need within? (Unit:yuan)
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000  
10. 2,000,000-5,000,000  
11. More than 5,000,000

Notice for interviewers: “informal lending” refers to money borrowed from informal channels including relatives, friends or private financial organizations.

【E1020】 In addition to bank loans, does your family have any outstanding informal borrowing for children’s education?
1. Yes  
2. No (skip to【E1047】if E1001=1; skip to【E1049】if E1001=2)

【E1020b】 How many batches of education loans does your family have by far?

【E1020aa】 What are the main cause for your family’s education loan? (multiple choice)
1. Child is attending elementary school/junior high school in China  
2. Child is attending senior high school/technical secondary school/professional high school in China  
3. Child is attending regular college/junior college/higher vocational college in China  
4. Child is attending postgraduate (Master Degree/Doctor Degree) courses in China  
5. Child is attending elementary school/junior high school overseas  
6. Child is attending regular college overseas  
7. Child is attending postgraduate (Master’s Degree/Doctor’s Degree) courses overseas  
8. Others (please specify) ______

Skip to【E1034】if E1020b=1.

【E1022】 How much is owed for these 【CAPI loads E1020b’s options】 loans? (Unit:yuan)  
(ask【E1022it】if the respondent does not know or is unwilling to answer)

【E1022it】 Which range below is the amount of money that is still owed within?
1. Less than 10,000  
2. 10,000-20,000  
3. 20,000-50,000  
4. 50,000-100,000  
5. 100,000-200,000  
6. 200,000-300,000  
7. 300,000-500,000  
8. 500,000-1,000,000  
9. 1,000,000-2,000,000  
10. 2,000,000-5,000,000  
11. More than 5,000,000

【E1021】 What is the total amount of those 【CAPI loads E1020b’s options】 loans? (Unit:yuan)  
(ask【E1021it】if the respondent does not know or is unwilling to answer)
【E1021it】 Which range below is the total amount of those above-mentioned loans within?
   1. Less than 10,000
   2. 10,000-20,000
   3. 20,000-50,000
   4. 50,000-100,000
   5. 100,000-200,000
   6. 200,000-300,000
   7. 300,000-500,000
   8. 500,000-1,000,000
   9. 1,000,000-2,000,000
  10. 2,000,000-5,000,000
  11. More than 5,000,000

Skip to【E1027】if E1020b>1.
【E1034】 What is the amount of the loan that is still outstanding? (Unit:yuan) (ask only when E1020b=1)
   (ask【E1034it】 if the respondent does not know or is unwilling to answer)

【E1034it】 Which range below is the amount of the loan outstanding within?
   1. Less than 10,000
   2. 10,000-20,000
   3. 20,000-50,000
   4. 50,000-100,000
   5. 100,000-200,000
   6. 200,000-300,000
   7. 300,000-500,000
   8. 500,000-1,000,000
   9. 1,000,000-2,000,000
  10. 2,000,000-5,000,000
  11. More than 5,000,000

If E1020b>1, prompt: Here we would like to know about your largest loan. (If there are two or more, ask the latest one)
【E1027】 What is the amount of the largest loan? (Unit:yuan)
   (ask【E1027it】 if the respondent does not know or is unwilling to answer)

【E1027it】 Which range below is the amount of the loan within?
   1. Less than 10,000
   2. 10,000-20,000
   3. 20,000-50,000
   4. 50,000-100,000
   5. 100,000-200,000
   6. 200,000-300,000
   7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

【E1028c】 What is the source of the biggest informal educational loan?
1. Parents/Parents-in-law
2. Children
3. Siblings
4. Other relatives
5. Friend(s)/Colleague
6. Private financial institutions
7. Loan companies
8. Online loan companies
9. A person or institute which has cooperative relations with before
10. Others (please specify) ______

【E1036】 In which year did you take out this loan?

【E1037】 Does this loan have an agreed upon repayment period?
1. Yes
2. No (skip to【E1039】)

【E1038】 What is this loan’s agreed upon repayment period? (Unit: month)

【E1039】 Do you need to pay interest on this loan?
1. Yes
2. No (skip to【E1045】)

【E1040】 How was this interest rate calculated?
1. Annually
2. Monthly
3. Daily
4. Semi-annually
5. Quarterly
6. One-off payment of interest (skip to【E1044】)
7. Others (please specify) ______

Notice for interviewers: if the agreed upon interest rate changes, please input the average amount of interest rate paid.

【E1041】 How much is【CAPI loads E1040’s options】for this loan?

【E1043】 Please select the unit of the interest rate for【E1041】? (Do not read out)
1. %
2. Fen (1%)
3. Li (0.1%)
4. Mao (10%)

→skip to 【E1045】

Notice for interviewers: this does not include the principal.
【E1044】 How much was your one-time interest payment? (Unit:yuan)

【E1045】 You mentioned earlier that your household has totally【CAPI loads loan amount】 yuan of education-related loans. How does the amount of these loans compare with your actual need? (asked when E1020=1)
1. Totally enough (skip to 【E1047】)
2. Generally enough
3. Enough for a small part
4. Halfly enough (skip to 【E1047】)

【E1046】 What is the total amount of your household’s unmet education-related loans? (Unit:yuan) (ask【E1046it】 if the respondent does not know or is unwilling to answer)

【E1046it】 Which range below is the total amount of your household’s unmet education-related loans within? (Unit:yuan)
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

【E1047】 How would you describe your household’s ability to pay its education-related loans? (asked when E1001=1 or E1020=1)
1. Completely capable
2. Basically no problem
3. Very difficult
4. Completely incapable

【E1049】 How long will you need to continue borrowing for education-related loans? (asked when E1001=1 or E1020=1)
1. I don’t need to borrow any more education
2. 1-2 years

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3. 3-5 years
4. 6-10 years
5. More than 10 years
(2) Medical Debt

Notice for interviewers: in this section, bank/credit union loans or private loans that cover the cost of household members’ medical treatment also counts as medical debt.

【E4001】 Does your household currently have any outstanding medical debt?
  1. Yes
  2. No (skip to 【E2002】)

【E4002】 How many batches of loans do you have?

Skip to 【E4005】 if E4002=1.

【E4003】 How much loans is your household are in arrears? (Unit: yuan)
  (ask 【E4003 it】 if the respondent does not know or is unwilling to answer)

【E4003 it】 Which range below is the amount of the loan within?
  1. Less than 10,000
  2. 10,000-20,000
  3. 20,000-50,000
  4. 50,000-100,000
  5. 100,000-200,000
  6. 200,000-300,000
  7. 300,000-500,000
  8. 500,000-1,000,000
  9. 1,000,000-2,000,000
  10. 2,000,000-5,000,000
  11. More than 5,000,000

【E4004】 How much in total did your household borrow at that time? (Unit: yuan)
  (ask 【E4004 it】 if the respondent does not know or is unwilling to answer)

【E4004 it】 Which range below is the loan in total you borrowed within?
  1. Less than 10,000
  2. 10,000-20,000
  3. 20,000-50,000
  4. 50,000-100,000
  5. 100,000-200,000
  6. 200,000-300,000
  7. 300,000-500,000
  8. 500,000-1,000,000
  9. 1,000,000-2,000,000
  10. 2,000,000-5,000,000
11. More than 5,000,000

Skip to 【E4006】 if E4002>1.

【E4005】 How much outstanding loan do you still have? (Unit:yuan)
(ask 【E4005 it】 if the respondent does not know or in unwilling to answer.)

【E4005it】 Which range below is the outstanding loan within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

Prompt: if E4002 >1, we would like to know about the largest loan of your household. If more than one loans are of the same amount, then fill in the latest one.

【E4006】 Your household borrowed a total of how much money ? (Unit:yuan)
(ask 【E4006 it】 if the respondent does not know or is unwilling to answer)

【E4006it】 Which range below is the money your household has borrowed within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

【E4007】 What is the source of this loan?
1. Parents/Parents-in-laws
2. Children
3. Siblings
4. Others relatives
5. Friends/Colleagues (including neighbors)
6. Financial organization or professional lender (including sharks)
7. Bank/Credit Union
8. Loan Companies
9. Network lending platform
10. People/Organization with cooperative relations
11. Others (please specify) ______

【E4008】 In which year was this loan taken out?

【E4009】 Does this loan have an agreed upon repayment period?
1. Yes
2. No (skip to【E4011】)

【E4010】 What is the agreed upon repayment period of this loan? (Unit: month)

【E4011】 Do you need to pay interest on this loan?
1. Yes
2. No (skip to【E4017】)

【E4012】 How is the loan’s interest rate calculated?
1. Annualy
2. Monthly
3. Daily
4. Semi-annually
5. Seasonal
6. One-off (skip to【E4016】)
7. Others (please specify) ______

Notice for interviewers: if the interest rate is fluctuating, please input the average one.
【E4013】 what is the loan’s【CAPI loads E4012’s options】?

【E4015】 Please select the unit for【E4013】’s interest rate. (Do not read out)
1. %
2. Fen (1%)
3. Li (0.1%)
4. Mao (10%)
→skip to【E4017】

Notice for interviewers: this does not include the principal.
【E4016】 What was the amount of the one-off interest payment that you paid? (Unit:yuan)

【E4017】 Earlier you mentioned that your household has a total of【CAPI loads loan amount】 yuan of medical debt. How does this amount compare to your household’s actual financial needs? (asked when E4001=1)
1. Totally enough (skip to【E4019】)
2. Generally enough
3. Enough for a small part
4. Halfly enough (skip to【E4019】)

【E4018】Currently, what is the amount of your household’s unmet financial need? (Unit:yuan)
    (ask【E4018it】if the respondent does not know or is unwilling to answer)

【E4018it】Which range below is the loan amount to be needed by your household within? (Unit:yuan)
    1. Less than 10,000
    2. 10,000-20,000
    3. 20,000-50,000
    4. 50,000-100,000
    5. 100,000-200,000
    6. 200,000-300,000
    7. 300,000-500,000
    8. 500,000-1,000,000
    9. 1,000,000-2,000,000
   10. 2,000,000-5,000,000
   11. More than 5,000,000

【E4019】How would you rate your household’s current ability to pay off its debt? (asked when E4001=1)
    1. No Problem at all
    2. It’s okay
    3. Hardly capable
    4. Imcapable at all
(3) Credit Card

【E2002】 Does your family have any credit cards, excluding inactivated cards?
1. Yes (skip to 【E2004a】)
2. No

【E2002aa】 Why doesn’t your household use a credit card?
1. Never heard of credit card (skip to 【E2019】)
2. Doesn’t need to use a credit card (skip to 【E2019】)
3. Does not know how to get a credit card (skip to 【E2019】)
4. Believes that the application will not be approved (skip to 【E2019】)
5. Cumbersome application process (skip to 【E2019】)
6. Application rejected
7. Others (please specify) ______(skip to 【E2019】)

【E2002ab】 Why do you believe that the bank rejected you? (multiple choice)
1. No stable or adequate income
2. Bad credit record
3. No credit record
4. Inadequate family assets
5. Feature of working unit
6. Others (please specify) ______
   →skip to 【E2019】

【E2004a】 What is the main reason that your household has a credit card? (multiple choice)
1. Convenient shopping
2. Convenient bill payment (water/electricity/gas/mobile phone recharge)
3. Interest-free period
4. Overdraft in advance
5. Could borrow cash beforehand
6. Discount or marks for bonus
8. Accumulate credit to raise overdraft limit
9. Others (please specify) ______

【E2006】 What is the main thing that you use your credit card for? (multiple choice)
1. The daily consumption
2. The large consumer durables
3. The production and operating expenses
4. The housing-related expenses
5. Medical expenditure
6. Others (please specify) ______
Notice for interviewers: if a family member has more than one credit card, ask the family member for the total lines of credit. (Overseas credit cards’ line of credit converted into RMB).

【E2011】 What is the credit card’s limit? (Unit:yuan)

【E2012】 Which range below is the credit-card consumption amount of your family probably within?
1. Less than 500
2. 500-1,000
3. 1,000-2,000
4. 2,000-5,000
5. 5,000-10,000
6. 10,000-20,000
7. 20,000-50,000
8. 50,000-100,000
9. More than 100,000

【E2013a】 Since getting a credit card, which of the following has your household experienced? (multiple choice)
1. Installment payments
2. Deferred payments
3. Cash advances
4. Overdue bills
5. Cash points
6. None of the above

【E2013b】 Currently, which of the following has your household not paid off? (multiple choice)
1. General credit facility(interest holiday)
2. Late performing
3. Deferred/Installment payment
4. Cash advance
5. No credit card debt (skip to【E2016a】)

CAPI loads E3013b’s options one by one and circularly ask【E2015】

【E2015】 Currently, how much outstanding debts does your credit card have? (Unit:yuan)

【E2016a】 How do you think that having a credit card has impacted your household’s consumption spending?
1. Significantly increased
2. Increased
3. No Effect
4. Reduced
5. Significantly reduced

【E2019】 Did your family shop online last month?
1. Yes
2. No (skip to 【E3001】)

【E2019a】 What did your family buy online? (multiple choice)
1. Daily chemical products (shampoo, shower gel, toothpaste, detergent, toilet paper, etc.)
2. Food, beverages, and tobacco
3. Vegetables and fruits
4. Mother and baby products; health food
5. Clothes and footwear
6. Mobile phones, computers, cameras, and other electronic products
7. Houseware
8. Furniture, kitchenware and other household products
9. Books and audiovisual products
10. Car accessories
11. Household textile products (bedding articles, window and door curtains, etc.)
12. Expenses on water, electricity and gas
13. Expenses on mobile phone, telephone, network, etc.
14. Others products (please specify) ______

【E2020】 How much did the family spend on online shopping last month?
【E2020b】 What is the main reason that your household engages in online shopping? (multiple choice)
1. Convenience
2. Cheaper
3. More choices
4. Others (please specify) ______

【E2020c】 How do you think that online shopping has impacted your household’s consumer spending?
1. Increased a lot
2. Increased
3. Literally no impact
4. Reduced some
5. Reduced a lot
(4) Other Debts

The aforementioned debts include housing debt, automobile debt, commercial debt, educational debt, and credit card.

【E3001】 Has your family taken out any other loans in addition to the previously mentioned housing, automobile, commercial, educational, and credit card financing?
1. Yes
2. No (skip to【E3005a】)

【E3001a】 What is the main source of these debts?
1. Banks or credit unions and other formal financial institutions
2. Friends and family, private financial organizations, or other informal financing
3. Both

【E3003】 What was the cause for these debts? (multiple choice)
3. Small amount of daily consumption
4. Large consumption of durable goods
5. Migrate for work
6. Pay back previous debts
7. Lend money to friends or relatives
8. Lend money to others
9. Lend money to others through informal financial institutions
13. Wedding or funeral
14. Others (please specify) ______

【E3003c】 How much does your household still owe in debts? (Unit:yuan)
(ask【E3003c it】 if the respondent does not know or is unwilling to answer)

【E3003cit】 Which range below are the outstanding loans within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

【E3005a】 Does your household need to borrow money for housing, investing, education, or medical expenses?
1. Yes
2. No (skip to 【E3016】)

【E3005b】 What is the main item that you need to borrow money for? (multiple choice)
1. House purchasing
2. Agricultural production/Business investment
3. Commercial and industrial business investment
4. Non-production/Business investment
5. Children’s education
6. Seeking medical care
7. Automobile purchasing
8. To get married/Conduct a funeral
9. Others (please specify) ______

【E3005c】 how much does your household need to borrow in total for 【CAPI loads E3005b’s option】? (Unit:yuan)
(ask 【B3005cit】 if the respondent does not know or is unwilling to answer)

【E3005cit】 Which range below is the amount your household needs to borrow for 【CAPI loads E3005b’s option】 within?
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

【E3005d】 Which of the following channels does your household plan to use to borrow money?
1. Bank, credit union, or other formal financial lending organization
2. Relatives, friends, or informal financial organizations
3. Both
4. Fraud

Notice for interviewers: all frauds the respondent encountered shall be included, no matter whether actual losses incurred.

【E3016】 Over the past year, which of the following has your household encountered? (multiple choice)
   1. Telephone Fraud
   2. SMS fraud
   3. QQ, Wechat and other online fraud Phishing
   4. Phishing
   5. Acquaintances/Face to face fraud (pyramid or improper commodity trading)
   6. Others (please specify) ______
   7. No (skip to 【F1001a】)

【E3018】 Has your household suffered losses as a result of fraud?
   1. Yes
   2. No (skip to 【F1001a】)

Notice for interviewers: if the respondent suffered more than one case of frauds, ask about the case that involved the most money.

【E3018a】 Which of the following acts of fraud have actually caused you to lose money?
   1. False lottery winning information
   2. Fradulent QQ friends’ phones and other communication tools
   3. People deceptively acting as staff of public security organs, financial institution or hospital
   4. Online shopping fraud
   5. Improper commodity trading (face to face)
   6. “Get rich quickly” schemes
   7. Tax fraud
   8. Donation or fund-raising fraud
   9. Pursuing false employment/internship fraud
   10. Remittance fraud for no reason
   11. Others (please specify) ______

【E3019】 How much money did your household lose? (Unit:yuan)
   (ask【E3019it】 if the respondent does not know or does not answer)

【E3019it】 Which range below is the amount of money your household lost within?
   1. Less than 5000
   2. 5000-10,000
   3. 10,000-20,000
   4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
11. More than 1,000,000

【E3020】 What steps did your household do after suffering financial losses? (multiple choice)
1. Notify banks and other financial institutions
2. Notify the public security organs and other security authorities
3. Ask others to expose such fraud (skip to【E3021a】)
4. Others (please specify) ______ (skip to【E3021a】)
5. Bad luck, so didn’t inform others (skip to【E3021a】)

【E3021】 Has your household recovered its losses?
1. Totally recovered
2. Most recovered
3. Small part recovered
4. No recovery

【E3021a】 What was the main reason for your losses? (multiple choice)
1. Temptation or self-interest
2. Trusting/Listening to others
3. Fraud
4. Irresponsible banks and other financial institutions
5. Irresponsible telecommunications network operators
6. Irresponsible public entities and other security administration
7. Irresponsible relevant government departments
8. Others (please specify) ______
Part Three: Insurance and Security

Prompt: Here, we would like to know about your family members’ social security.

1. Social Security

(1) Social Pension Insurance and Annuities

Notice: all questions in this section are only asked to all family members aged above 16, students in school (i.e. A3001=1) excluded.

<table>
<thead>
<tr>
<th>Family Member Code</th>
<th>01</th>
<th>02</th>
<th>03</th>
<th>04</th>
<th>05</th>
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<tbody>
<tr>
<td>【F1001a】</td>
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【CAPI loads name】 What type of pension do you participate in?
1. Public institution retirement pay and civil service retirement pay
2. Urban employee pension insurance
3. New rural cooperative pension insurance
4. Urban resident pension insurance
5. Urban and rural residents pension insurance
6. Others (please specify) ______
7. None (skip to 【F2001a】)

Notice: here we ask for the present condition. If the respondent answered with two social old-age insurances, we need to ask if one of them has been suspended; if not, we should ask for which the respondent has paid more.

【F1003】 Has 【CAPI loads name】 started claimed 【CAPI loads 【F1001】’s options】?(asked to family members aged above 50)
1. Yes
2. No (If F1001a=2 or 3 or 4 or 5 or 6 skip to 【F1008】; if F1001a=1,
<table>
<thead>
<tr>
<th>Question</th>
<th>Response Options</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>What was the amount you received from pension each month last year?</strong></td>
<td>(Unit: yuan) (asked to family members aged above 50)</td>
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<tr>
<td>(ask <strong>F1005it</strong> if the respondent does not know or is unwilling to answer)</td>
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<tr>
<td>→ <strong>skip to F1010</strong></td>
<td></td>
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</tbody>
</table>
| **Which range below is the amount of pension you received each month within?** | 1. Less than 800  
  2. 800-1,500  
  3. 1,500-3,000  
  4. 3,000-5,000  
  5. 5,000-10,000  
  6. 10,000-20,000  
  7. 20,000-30,000  
  8. 30,000-50,000  
  9. More than 50,000  
 → **skip to F1010**                                                   |
| **What was the monthly amount you paid for the pension last year?**     | (Unit: yuan)                                                                     |
| **What is the balance of **CAPI loads name**’s **CAPI loads F1001’’s options** personal account? (Unit: yuan)** | (ask **F1010it** if the respondent does not know or is unwilling to answer)      |
| **Which range is **CAPI loads F1001’’s options**’s account balance within?** | 1. Less than 5,000  
  2. 5,000-10,000  
  3. 10,000-20,000  
  4. 20,000-50,000  
  5. 50,000-100,000  
  6. 100,000-150,000  
  7. 150,000-200,000  
  8. 200,000-300,000  

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<tbody>
<tr>
<td>9.</td>
<td>300,000-500,000</td>
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<tr>
<td>10.</td>
<td>500,000-1,000,000</td>
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<tr>
<td>11.</td>
<td>More than 1,000,000</td>
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</tbody>
</table>

**【F1026】** Do/Does you/your spouse have a corporate annuity? (asked when F1001=1 or 2 or 6)
- Yes
- No (skip to 【F2001a】)

**【F1027】** Have/Has you/your spouse already begun receiving the annuity payments since last year?
1. Yes
2. No (skip to 【F1029】)

**【F1028】** What was the monthly amount received from the annuity last year (Unit:yuan)?
→skip to 【F1031】

**【F1029】** How much monthly did you or your spouse contribute to the annuity last year? (Unit:yuan)

**【F1031】** What is the current balance of the annuity fund? (Unit:yuan) (ask【F1031 it】 if the respondent does not know or is unwilling to answer)

**【F1031 it】** Which range below is the current balance of the annuity fund within?
1. Less than 5,000
2. 5,000-10,000
3. 10,000-20,000
4. 20,000-50,000
5. 50,000-100,000
6. 100,000-150,000
7. 150,000-200,000
8. 200,000-300,000
9. 300,000-500,000
10. 500,000-1,000,000
11. More than 1,000,000
<table>
<thead>
<tr>
<th>F1032</th>
<th>F1039it</th>
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<tbody>
<tr>
<td><strong>Only asked to when A3014a=1, 2, or A3015=2, 3, 4</strong></td>
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<tr>
<td><strong>F1032</strong> Has the “Pension Merger Plan” been implemented in the organization of CAPI loads the name already?</td>
<td></td>
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<tr>
<td>1. Yes</td>
<td></td>
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<tr>
<td>2. No (skip to F2001a )</td>
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<tr>
<td><strong>F1034</strong> Is CAPI loads the name a senior employee hired before the “Pension Merger Plan” was implemented in his/her working unit?</td>
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<tr>
<td>1. Yes</td>
<td></td>
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<td>2. No (skip to F2001a )</td>
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<td><strong>F1035</strong> What is the deemed pension payment year of CAPI loads the name when the “Pension Merger Plan” was implemented?</td>
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<tr>
<td>1. Less than 15 years (skip to F2001a )</td>
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<tr>
<td>2. 15 years and more</td>
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<tr>
<td><strong>F1036</strong> What is the total sum of transitional pension granted to CAPI loads name? (Unit:yuan) Minute Inquiry Method (ask F1036it if the respondent does not know or is unwilling to answer)</td>
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</tr>
<tr>
<td><strong>F1036it</strong> Which range below is the granted transitional pension probably within?</td>
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<tr>
<td>1. Less than 5,000</td>
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<td>2. 5,000-10,000</td>
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<td>3. 10,000-20,000</td>
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<tr>
<td>11. More than 1,000,000</td>
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</tbody>
</table>
(2) Medical Insurance(asked to all family members)

Notice for interviewers: here medical insurance refers to “social health insurance” and commercial health insurance.

<table>
<thead>
<tr>
<th>Family Member Code</th>
<th>01</th>
<th>02</th>
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<th>05</th>
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<tr>
<td>【F2001a】</td>
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<tr>
<td>【What medical insurances does 【CAPI loads the name】 have by far? (Multiple choices)】</td>
<td>1. Urban workers’ basic medical insurance</td>
<td>2. Urban residents’ basic medical insurance</td>
<td>3. New rural cooperative medical insurance</td>
<td>4. Basic medical insurance for urban and rural residents</td>
<td>5. Free medical service (skip to 【F2022】)</td>
<td>6. Commercial medical insurance (paid by working unit)</td>
<td>7. Commercial medical insurance (paid by individual)</td>
<td>8. Enterprise supplementary medical insurance</td>
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<tr>
<td>【F2003a】</td>
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<tr>
<td>Is 【CAPI loads name】 ’s 【CAPI loads F2001a’s options one by one】 handled in 【CAPI loads J1002a’s counties/districts】?</td>
<td>1. Yes</td>
<td>2. No</td>
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<td>【F2004】</td>
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<tr>
<td>What was 【CAPI loads F2001a’s option】 ’s total amount last year? (Unit:yuan)</td>
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<td>【F2005】</td>
<td>Does the medical insurance has a personal account?</td>
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<td></td>
<td>2. No (skip to【F2022】)</td>
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</table>

<table>
<thead>
<tr>
<th>【F2006】</th>
<th>What is the current balance of the account? (Unit:yuan)</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>(ask【F2006 it】 if the respondent does not know or is unwilling to answer)</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>【F2006 it】</th>
<th>Which range below is the current balance of the account within?</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>1. Less than 2,000</td>
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<td>2. 2,000-5,000</td>
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<td>3. 5,000-10,000</td>
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<td>4. 10,000-20,000</td>
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<td>5. 20,000-50,000</td>
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<td>6. 50,000-100,000</td>
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<td>7. 100,000-150,000</td>
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<td>8. 150,000-200,000</td>
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<td>9. 200,000-300,000</td>
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<td></td>
<td>10. 300,000-500,000</td>
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<td>11. More than 500,000</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>【F2022】</th>
<th>Has 【CAPI loads name】 been hospitalized last year?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1. Yes</td>
</tr>
<tr>
<td></td>
<td>2. No (skip to【F3001】)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>【F2024】</th>
<th>How much is the total hospitalization cost for 【CAPI loads the name】 last year? (Unit:yuan)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>【F2025】</th>
<th>How much was reimbursed from medical insurance? (Unit:yuan) (Do not ask this question if F2001a=12)</th>
</tr>
</thead>
</table>
(3) Unemployment Insurance (asked only to family members aged above 16 and A3000=1 and whose A3003≠3)

<table>
<thead>
<tr>
<th>Family Member Code</th>
<th>01</th>
<th>02</th>
<th>03</th>
<th>04</th>
<th>05</th>
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</thead>
<tbody>
<tr>
<td>[F3001]</td>
<td></td>
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</tr>
</tbody>
</table>
| Does 【CAPI loads name】 have unemployment insurance?  
1. Yes  
2. No |    |    |    |    |    |    |    |    |

(4) Housing Fund (asked only to family members aged 16 and above, excluding students)

<table>
<thead>
<tr>
<th>Family Member Code</th>
<th>01</th>
<th>02</th>
<th>03</th>
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<th>05</th>
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</thead>
<tbody>
<tr>
<td>【F4001】</td>
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</tbody>
</table>
| Does 【CAPI loads name】 have a housing fund?  
1. Yes  
2. No (skip to 【F4012】) |    |    |    |    |    |    |    |    |
| 【F4004】            |    |    |    |    |    |    |    |    |
| Are you or your spouse still paying this fund?  
1. Yes  
2. No (skip to 【F4007】) |    |    |    |    |    |    |    |    |
| 【F4005】            |    |    |    |    |    |    |    |    |
| What was 【CAPI loads name】’s average monthly contribution to the fund last year? (Unit: yuan)  
(ask 【F4005it】 if the respondent does not know or is unwilling to answer) |    |    |    |    |    |    |    |    |
| 【F4005it】           |    |    |    |    |    |    |    |    |
| Which range is the average monthly contributions to the fund last year?  
1. Less than 500  
2. 500-1,000  
3. 1,000-2,000  
4. 2,000-3,000 |    |    |    |    |    |    |    |    |
How long has been since you or your spouse contributed to the fund? (Unit: month)  
(If it is more than one year, convert it into months.)

What is the balance of the housing fund account? (Unit: yuan)  
(ask if the respondent does not know or is unwilling to answer)

Which range below is the balance of the housing fund account within?  
1. Less than 5,000  
2. 5,000-10,000  
3. 10,000-20,000  
4. 20,000-50,000  
5. 50,000-100,000  
6. 100,000-150,000  
7. 150,000-200,000  
8. 200,000-300,000  
9. 300,000-500,000  
10. 500,000-1,000,000  
11. More than 1,000,000

Did your family use housing fund last year?  
1. Yes  
2. No (skip)

Why did you use the housing fund last year?  
1. Purchase housing  
2. Housing construction, repair and renovation
3. Payment of home mortgage (interest, principal)
4. Pay rent
5. Retirement
6. Termination of labor relations;
7. Invest in stocks, etc.
8. Emigrate
9. Others (please specify) ______

**【F4011】** What was the total amount taken from the housing fund last year? (Unit: yuan) (ask **【F4011it】** if the respondent does not know or is unwilling to answer)

**【F4011it】** Which range below is the total amount taken from the housing fund last year within?
1. Less than 2,000
2. 2,000-5,000
3. 5,000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

---

(5) Injury Insurance (asked to the family members whose A3000=1 and A3003≠3)

<table>
<thead>
<tr>
<th>Family Member Code</th>
<th>01</th>
<th>02</th>
<th>03</th>
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</thead>
<tbody>
<tr>
<td><strong>【F4012】</strong></td>
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<tr>
<td>Does 【CAPI loads name】 have injury insurance?</td>
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</tbody>
</table>
(6) Maternity insurance (asked to the family members whose A3000=1 and A3003≠3)

<table>
<thead>
<tr>
<th>Family Member Code</th>
<th>01</th>
<th>02</th>
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<tbody>
<tr>
<td>【F4013】</td>
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<tr>
<td>Does 【 CAPI loads name 】 have maternity insurance?</td>
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</table>
2. Commercial Insurance

Notice for interviewers: ask the commercial insurance status of all family members

Prompt: Next, we would like to find out about the condition of your family’s commercial insurance

<table>
<thead>
<tr>
<th>Family Member Code</th>
<th>01</th>
<th>02</th>
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<td>【F6001】</td>
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<td>【CAI loads name】</td>
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<tr>
<td>What commercial insurance including overseas insurance do you (the family member) have? (multiple choice)</td>
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<tr>
<td>1. Commercial life insurance (skip to 【F6103】)</td>
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<td>2. Commercial health insurance (skip to 【F6203】)</td>
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<td>5. Other commercial insurance (skip to 【F6501】)</td>
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<td>6. None (skip to 【G1001】)</td>
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<td>Notice: if the respondent selected multiple answers, information of the selected insurances shall be respectively asked for.</td>
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</table>

(1) Commercial Life Insurance (asked circularly to the family members whose F6001=1)

<table>
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<tr>
<th>Family Member Code</th>
<th>01</th>
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<tbody>
<tr>
<td>【F6103】</td>
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<tr>
<td>What is the total coverage of the life insurance? (Unit: yuan)</td>
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| 【F6105】           |    |    |    |    |    |    |    |    |
| Does this kind of insurance have dividends? |
| 1. Yes |
| 2. No (skip to 【F6107】) |

<p>| 【F6106】           |    |    |    |    |    |    |    |    |
| What was the amount of dividends received from this insurance last year? (Unit: yuan) |</p>
<table>
<thead>
<tr>
<th>Question</th>
<th>Details</th>
</tr>
</thead>
</table>
| **[F6107]** | Does this insurance return the principal?  
1. Yes  
2. No |
| **[F6110a]** | How much insurance premium did you pay last year? (Unit:yuan) |
| **[F6111]** | How long have you paid for this insurance? |
| **[F6118]** | Did [CAPI loads name] receive a commercial life insurance compensation last year?  
1. Yes  
2. No (skip to [F6001]) |
| **[F6119]** | What was the amount of the compensation? (Unit:yuan) |

(2) **Commercial Health Insurance** (asked circularly to the family members whose **F6001=2**)

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<tr>
<th>Family Member Code</th>
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<th>04</th>
<th>05</th>
<th>06</th>
<th>07</th>
<th>08</th>
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<tbody>
<tr>
<td><strong>[F6203]</strong></td>
<td>What was the total amount of premiums paid last year? (Unit:yuan)</td>
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</table>
| **[F6204]** | What was the total amount of reimbursement last year? (Unit:yuan)  
→ skip to **[F6001]** |

(3) **Other Commercial Insurance** (asked circularly to the family members whose **F6001=5**)

<table>
<thead>
<tr>
<th>Family Member Code</th>
<th>01</th>
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<th>06</th>
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</thead>
<tbody>
<tr>
<td><strong>[F6501]</strong></td>
<td>What types of the other commercial insurance do you (the family member)</td>
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<tr>
<td>Question</td>
<td>Response</td>
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<tr>
<td>What was the amount that the family paid for insurance premiums last year? (Unit: yuan)</td>
<td>[F6502]</td>
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<tr>
<td>What was the amount the other insurances compensate the family last year? (Unit: yuan)</td>
<td>[F6503]</td>
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Part Four: Expenditures and Incomes

1. Nonproductive Expenditures

Prompt: Next we want to know about your family’s expenditures. Let's start with last year's average monthly consumer spending.

【G1001】What was the average monthly amount your family spent on food last year, including dining-out? (Unit:yuan)

【G1002】What was the average amount the family spent eating out last year? (Unit:yuan)
Set logic: G1002≤G1001, otherwise remind the interviewer that the dining-out expenses shall be equal to or less than the total diet fee.

【G1003】Did your family produce any agricultural products last year?
  1. Yes
  2. No (skip to【G1005】)

【G1004】If sold on the market, what was the average monthly amount of the agricultural products produced and consumed by the family last year? (Unit:yuan)

Notice for interviewers: the cost of fuel includes those for buying coal for heating in winter.
【G1005】What was the average monthly amount that the family spent on water, electricity, fuel, property management fees, and maintenance costs last year? (Unit:yuan)

Notice for interviewers: commodities (such as detergent/liquid, soap, facial cleanser, skin care products, cosmetics, shampoo, shower gel, toothpaste, toothbrushes, toilet paper and other supplies, etc.) do not include food or clothing expenses.
【G1006】What was the average monthly amount your family spent on daily necessities last year? (These include items such as detergent and soap but do not include food or clothing.) (Unit:yuan)

【G1007】What was the average monthly amount your family spent on nannies, hourly workers, drivers and other household services last year? (Unit:yuan)

Notice for interviewers: here transportation costs only refer to the local transport costs, not including transportation costs at their own expense for travelling.
【G1008】What was the average monthly amount your family spent on local transportation last year (Unit:yuan)
Definition: local traffic means all traffic costs caused in the range of city or county of the home address of the respondent, such as metro expenses, bus expenses, DiDi taxi expenses, or fuel cost, parking cost, repairing cost, maintenance cost, toll charge incurred by driving the respondent's own car, but do not include traffic costs incurred by tourism purposes.
【G1009】 What was the average monthly amount your family spent on communication expenses such as telephone and internet fees last year? (Unit: yuan)

【G1009a】 Which kind of mobile phone do you use?
1. Smart phone (capable for online shopping, chatting, etc.)
2. Not a smart phone (skip to 【G1010】)
3. No mobile phone (skip to 【G1010】)

【G1009b】 What do you usually do with your mobile phone? (Multiple choice, only for those using smart phones)
1. Online shopping
2. Chatting (e.g., QQ, Wechat, microblog, etc.)
3. Email sending & receiving
4. Information inquiry
5. Mobile banking service
6. Stock investment on mobile phone
7. Photographing
8. Navigation
9. Booking services by mobile phone
10. Making phone calls
11. Sending & receiving messages
12. Others (please specify) ______
13. None of the above

Notice for interviewers: culture and entertainment expenses do not include playing cards, playing mahjong or other expenses.

【G1010】 What was the average monthly amount your family spent on newspapers, magazines, CDs, movie and show tickets, night clubs, cybercafés, and other education or entertainment-related activities last year? (Unit: yuan)

Prompt: I would like to ask you the following questions about your family’s consumer spending last year.

【G1011】 What was the average monthly amount your family spent on clothes last year? (Unit: yuan)

【G1012】 What was the amount your family spent on housing decoration, maintenance or expansion last year? (Unit: yuan)

【G1013】 What was the amount your family spent on heating last year? (Unit: yuan)

【G1014】 What was the amount your family spent on color television sets, refrigerators, washing machines and other household durables last year? (Unit: yuan)
(ask 【G1014it】 if the respondent does not know or is unwilling to answer)

【G1014it】 Which range below is the amount the family spent on color television sets, refrigerators, washing machines and other household durables last year within?
1. Less than 2,000
2. 2,000-5,000
3. 5,000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

【G1015】 What was the amount your family spent buying luxury goods such as designer handbags or calligraphy/art and so on last year? (Unit:yuan)
(ask【G1015it】if the respondent does not know or is unwilling to answer)

【G1015it】 Which range is the amount your family spent on buying luxury goods such as designer handbags or calligraphy/art and so on last year within?
1. Less than 2,000
2. 2,000-5,000
3. 5,000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

【G1016】 What was the amount the family spent on education and training last year? (Unit:yuan) (Including job training, attending school, hobby-related classes, study, health costs, etc.)

Skip to【G1017】if G1016=0.
【G1016b】 What was the amount the family spent for your children, including college students? (Unit:yuan)

Ask【G1016a】if A2012a=1 and A3001=1; otherwise skip to【G1017】.
【G1016a】 What was the amount spent on the child's education abroad? (Unit:yuan)
【G1016c】 Did your family buy a school district house in order to send your child to a better school? (asked only when A2013=2, 3, 4)
1. Yes
2. No
School district house:
In the principle that elementary school and junior high school students are allocated to specified schools by their household registration addresses, a house, which is purposely bought in the district near a specific school in order to send the child to the specific school by changing household registration address, is called a school district house.

【G1016d】 Did your family pay the school selection fee for a better school? (asked only when A2013=2,3 or 4)
【G1016e】 How much school selection fee did you pay?
【G1017】 What was the amount your family spent on purchasing cars, motorcycles, electromobiles, and other means of transportation last year? (Unit:yuan)
   (ask【G1017it】 if the respondent does not know or is unwilling to answer)

【G1017it】 Which range below is the amount the family spent on purchasing cars, motorcycles, electromobiles, and other means of transportation last year within?
1. Less than 2,000
2. 2,000-5,000
3. 5,000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

Notice for interviewers: travel expenses include those for various transportation, entrance fees, accommodation, and so on.
【G1018】 What was your family’s total expenses for traveling and visiting relatives last year? (Unit:yuan)
   (ask【G1018it】 if the respondent does not know or is unwilling to answer)
Definition: here the tourism cost means various traffic costs, ticket costs, hotel expenses generated in tourism period, including local and non-local tourisms.

【G1018it】 Which range below are your family’s total expenses for traveling and visiting relatives last year within?
1. Less than 2,000
2. 2,000-5,000
3. 5,000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

Notice for interviewers: the total expenses on medical and healthcare include expenses paid and reimbursed by medical insurances.

【G1019】 What was the amount your family spent on healthcare last year, not including medical treatment expenses? (Unit: yuan)
(ask 【G1019it】 if the respondent does not know or is unwilling to answer)

【G1019it】 Which range are the total expenses on medical and healthcare within?
1. Less than 2,000
2. 2,000-5,000
3. 5,000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000

【G1019a】 How much was paid or reimbursed by your family's medical insurances? (Unit: yuan)
(ask 【G1019ait】 if the respondent does not know or is unwilling to answer)

【G1019ait】 Which range below are the expenses paid or reimbursed by your family's medical insurances within?
1. Less than 2,000
2. 2,000-5,000
3. 5,000-10,000
4. 10,000-20,000
5. 20,000-50,000
6. 50,000-100,000
7. 100,000-150,000
8. 150,000-200,000
9. 200,000-300,000
10. 300,000-500,000
11. More than 500,000
2. Transfer Expenditures

Notice for interviewers: please explain “Here we want to know about your family's transfer income while “your household” means family members mentioned earlier. Here non-family members are people other than family members (not including family members who live together) or the above-mentioned organizations with the exception of the government.

Notice for interviewers: cash assistance includes direct money to help pay for medical care/insurance/school fees, to help bear the installment/holiday gifts/birthday gifts/gift money. It does not include non-cash and in-kind help to share the burden of housing costs or food expenses

【G2001】 Did your family gave more than 100 yuan in cash or non-cash equivalents (including donations) to non-family members last year?
1. Yes
2. No (skip to 【G3001】)

【G2002】 To whom was the cash or non-cash given to? (multiplt choice)
1. Parents
2. Parents in-law
3. Children
4. Children-in-laws
5. Grandchildren
6. Grandchildren-in-laws
7. Brother/Sister
8. Other Relatives
9. Non-relatives
10. Institutions

【G2003】 The total amount of cash or non-cash given to parents last year was (including cash gift and regular/irregular living expense)? (Unit:yuan) If non-liquid, please encash. (Unit:yuan) (This does not include money your parents loaned you.)
Notice: asked when G2002=1

【G2003a】 The total amount of cash or non-cash given to parents-in-laws last year was (including cash gift and regular/irregular living expense)? (Unit:yuan) If non-liquid, please encash. (Unit:yuan) (This does not include money your parents loaned you.)
Notice: asked when G2002=2

【G2003b】 What was the total amount of cash or non-cash given to other relatives last year? (Unit:yuan) If non-liquid, please encash. (asked only when G2002≠1 or 2)

【G2004】 Why did you give cash or non-cash to these non-family members? (multiple choice)
1. Spring Festival, Mid-Autumn Festival and other holiday expenses (including money given to
children as a Lunar New Year gift)
2. Weddings and funerals (birthday money)
3. Education
4. Medical service
5. Living expenses
6. Others (please specify) ______
7. Donation or financial aid

CAPI: loads G2004’s options one by one and circularly ask 【G2004a】
【G2004a】 How much was 【CAPI loads G2004’s options one by one】 last year? (Unit:yuan) If the item was not cash, please convert into cash.
3. Other Expenditures

Notice for interviewers: other expenses include consumer spending (in this section), property expenditures (Part II: Assets), operating expenses (Part II: Industry and Commerce), social security spending (Part III: Insurance and security), and expenditure from transfers (this section).

【G3001】 In addition to the above expenditures, were any expenses last year not mentioned?
   1. Yes
   2. No (skip to 【H1001】)

【G3003】 What is the amount of the above-mentioned expenditures? (Unit: yuan)
4. Transfer Income

Notice for interviewers: please explain “Here we want to know about your family's transfer income where your household means family members mentioned earlier. Here non-family members are people other than family members (not including family members who live together) or the above-mentioned organizations with the exception of the government.

Notice for interviewers: cash assistance includes direct money to help pay to help pay for medical care/insurance/school fees, to help bear the installment/holiday gifts/birthday gifts/gift money. It does not include non-cash and in-kind help to share the burden of housing costs or food expenses.

【H1001】 Did your family receive a gift of more than 100 yuan in cash or non-cash from persons outside the family last year?
1. Yes
2. No (skip to 【H2001】)

【H1002】 By whom was this gift given? (multiple choice)
1. Parents
2. Parents in-law
3. Children
4. Children-in-laws
5. Grandchildren
6. Grandchildren-in-laws
7. Brothers and sisters
8. Other Relatives
9. Non-relatives
10. Institutions

【H1002a】 What was the total amount of cash or cash equivalents given by parents last year? (Unit:yuan) If non-cash, please encash. (Including a variety of gifts and regular and irregular living expenses) (This does not include money your parents loaned you.)
Notice: asked only when H1002=1.

【H1002b】 What was the total amount of cash or non-cash given by parents-in-law last year? (Unit:yuan)? If non-cash, please encash. (This does not include money your parents loaned you.)
Notice: asked only when H1002=2.

【H1003c】 What was the total amount of cash or cash equivalents given by other relatives last year? (Unit:yuan) If non-cash, please encash. (asked whe H1002≠1 or 2)

【H1004】 Why did you receive cash or non-cash from these non-family members? (multiple choice)
1. Spring Festival, Mid-Autumn Festival and other holiday expenses (including money given to
children as a Lunar New Year gift
2. Weddings and funerals (birthday money)
3. Education
4. Medical service
5. Living expenses
6. Heritage
7. Others (please specify) ______
8. Donation or financial aid

CAPI: loads H1004’s option one by one and circularly ask【H1004a】
【H1004a】 【CAPI loads H1004’s options one by one】 How much was received last year? (Unit:yuan) If the item was not cash, please convert into cash.

Notice for interviewers: these do not include government subsidies for agricultural production operating that were mentioned earlier.
【H2001】 Did your family receive any of the following subsidies or government grants last year? (multiple choice)
1. None (skip to【H2003】)
2. Welfare Grant
3. One-Child Incentives
4. Five guarantee grants
5. Pensions
6. Relief funds
7. Food subsidies
8. Forestation Grants
9. Others (please specify) ______
10. Subsidy for minimum living
11. Educational subsidy
12. Housing subsidy

CAPI: loads H2001’s options one by one and circularly ask【H2002】
【H2002】 How much from【CAPI loads H2001’s options one by one】 was received last year? (Unit:yuan)
5. Other Income

Notice for interviewers: please help respondents recall all previously mentioned income including wage, salary, and economic class (part 1: Job Information), property income (Part II: Assets), operating income (Second part: Industry and Commerce), transfer income (Part III: Insurance and this section).

【H2003】 In addition to the incomes listed above, did your family receive income in any of the following ways?
1. Lottery
2. Sale of a house
3. Sale of a car
4. Sale of intellectual property
5. Termination indemnity
6. Playing cards, mahjong
7. Others (please specify) ______
8. None (skip to 【H3008】)

Notice for interviewers: if they pay in installments, only record the revenues from last year.
【H2004】 What was the total income from 【CAPI loads H2003's options】 last year? (Unit:yuan)
(ask 【H2004it】 if the respondent does not know or is unwilling to answer)

【H2004it】 Which range below is the total income within? (Unit:yuan)
1. Less than 10,000
2. 10,000-20,000
3. 20,000-50,000
4. 50,000-100,000
5. 100,000-200,000
6. 200,000-300,000
7. 300,000-500,000
8. 500,000-1,000,000
9. 1,000,000-2,000,000
10. 2,000,000-5,000,000
11. More than 5,000,000

Notice for interviewers: the following questions are only about the sales of houses and vehicles.
【H2005】 What was the amount of tax paid for the sale of house and car? (Unit:yuan) (ask only when H2003=2 and 3)

Prompt: Next, we would like to learn about some of your views on marriage and family.

【H3008a】 What is the primary purpose of marriage?
1. Support parents
2. Romantic life and a companion
3. Raising a child
4. Others (please specify) ______

【H3013】 Boy or girl, which one is better?
1. Boy is better
2. Girl is better
3. The same

【H3014】 Why should someone have a child? (multiple choice)
1. Reproduction
2. Love children, based on emotional consideration
3. Raise children to take care the parents when they get old
4. Maintain stable marriage
5. Others (please specify) ______

Skip to【H3018】 if A2001≠6 and A2045=2

【H3015】 Do you often communicate with your child?
1. Often
2. General
3. Not often
4. Barely
5. Almost never

【H3016】 Could you accept your child's choice to be single?
1. Could
2. Could not
3. Indifferent (Do not read out)

【H3017】 What would be your attitude towards your child's choice not to have child?
1. Care
2. Would not care
3. Indifferent (Do not read out)

【H3018】 Which character trait do you prefer for your child to have?
1. Obey their parents, filial piety
2. Independent thinking, having his or her own ideas

【H3019】 What is the definition of filial piety?
1. Giving money to parents in their old age
2. Caring for parents in their old age
3. Obeying parents before turning 18

【H3020】 Parents generally have the last say about important family issues regardless of the age of children, do you agree with that?
1. Totally disagree
2. Partially disagree
3. Don't care
4. Partially agree
5. Totally agree

【H3023】 Children must be filial no matter what kinds of parents they are, do you agree?
1. Totally disagree
2. Partially disagree
3. Don't care
4. Partially agree
5. Totally agree

【H3024】 What is the main function of a family in your opinion? (multiple choice)
1. Production unit
2. Living security unit of giving birth, the old, sick, disabled, etc.
3. Sentiment communication

【H3025】 How important is family?
1. Very important
2. Important
3. General
4. Unimportant
5. Very unimportant

【H3027】 Did you take part in the family's ancestor worship/tomb-sweeping activities last year?
1. Yes
2. No

【H3036】 If you can borrow money from all of the following channels, which one is the most reliable way you think?
1. Bank
2. Relatives
3. Friends
4. Business partners
5. Others (please specify) ______

【H3041】 If you have bought commercial pension insurance, do you believe it will repay you in the future as promised?
1. Can't believe it at all
2. Inclined to not believe
3. Between believe and not believe (including “somehow believable”, “somehow unbelievable”)
4. Inclined to believe
5. Completely believe

【H3042】 If you have bought governmental pension insurance, do you believe it will repay you in the future as promised?
   1. Can't believe it at all
   2. Inclined to unreliable
   3. Between believe and not believe (including “somehow believable”, “somehow unbelievable”)
   4. Inclined to believe
   5. Completely believe

【H3044】 Do you have any old-age plan?
   1. Yes
   2. No (skip to【H3046】)

【H3045】 What is the main way of old-age plan? (multiple choice)
   1. Individual savings and investments
   2. Support from child
   3. Social pension insurance
   4. Retirement pay
   5. Commercial pension insurance
   6. Support from spouse or relatives
   7. Others (please specify) ______

【H3046】 Who is responsible for the life of a senior citizen who has children?
   1. Mainly the government
   2. Mainly the children
   3. Mainly him/herself
   4. Responsibility shared equally among the government, child, and senior

【H3047】 Which way of old-age living do you choose?
   1. Nursing home
   2. Living by institution
   3. Living by community

Prompt: Finally, we would like to have your contact details of your home address and family members in order to send you a gift and reimburse bills.

Notice for interviewers: address in detail, i.e. housenumber
【H3048】 Your detailed address

【H3049】 Your contact information

【H3050】 Your first and last name
【H3051】 Your contact information 2 (If there is no “contact information 2,” input “0” and skip to【H3055】.)

【H3052】 Your first and last name

【H3053】 Your contact information 3 (If there is no “contact information 3,” input “0” and skip to【H3055】.)

【H3054】 Your name

【H3055】 How frequently are you willing to take the telephone interview next year?
   1. Monthly
   2. Quarterly
   3. Semi-annually
   4. Annually
   5. Very unwilling

→End of the Questionnaire

Prompt: Thank you for your support of our work; this is the end of our questionnaire. I wish you good health and good luck!
Interviewer Summary

Notice for interviewers: after the interview, please fill in this part yourself.

【J2000】 When visiting the main respondent, was there a proxy answering on his or her behalf?
1. Yes
2. No (skip to【J2001】)

【J2000a】 What is this person’s relationship to the respondent?
1. Spouse or Partner
2. Parents
3. Parents-in-law
4. Grandparents/Grandparents-in-law
5. Children
7. Grandson/Granddaughter
8. Grandchildren-in-laws
9. Brothers and sisters
10. Others (please specify) ______

【J2001】 When visiting the main respondent, in addition to the proxy, was anybody else present? (multiple choice)
1. No one else (skip to【J2003】)
2. Respondent’s spouse
3. Respondent’s parents
4. Respondent’s children
5. Other relatives
6. Other people present

【J2002】 Did these people interrupt during the survey?
1. Yes, frequently
2. Yes, occasionally
3. No

【J2003】 How cooperative the respondent were when answering the questionnaire?
1. Very cooperative (skip to【J2005】)
2. Cooperative (skip to【J2005】)
3. Mildly cooperative (skip to【J2005】)
4. Not very cooperative (skip to【J2005】)
5. Cooperative at the beginning, less in the end
6. Not very cooperative at the beginning, but gradually became more cooperative (skip to【J2005】)

【J2004】 Why was the respondent reluctant to answer the questionnaire later? (multiple choice)
1. No interest
2. Respondent lost focus, tired
3. Others (please specify) ______
4. Suspicious or averse to the questionnaire
5. Interrupted

【J2005】 When respondent was answering the questions, did he or she need you to explain?
1. Not needed at all
2. Mostly not needed
3. Occasionally needed
4. Frequently needed
5. Very frequently needed
6. Constantly needed

【J2006】 Did the respondent understand these topics in general?
1. No, did not understand at all
2. Understood very little
3. Occasionally understood
4. Understood most
5. Understood almost everything
6. Understood everything

Score on a 1-10 scale: “1” means poor; “10” means excellent

【J2007】 What was the respondent’s level of Mandarin?
Score on a 1-10 scale: “1” means ugly; “10” means gorgeous

【J2008】 How was the respondent’s appearance?

【J2009】 Has the respondent refused the interview? Including midway refusal
1. Yes
2. No (skip to 【J2011】)

【J2010】 What is final approach to make the respondent accept your interview?
1. Ask the village or community committee/property management for help
2. Leave a message
3. Persuasion
4. Keep waiting on site
5. Other family members accept interview
6. Persuade by neighbors
7. Respondent changed his/her attitude
8. Others (please specify) ______

【J2011】 By what means did you interview the respondent? (Multiple choices)
1. Face-to-face interview
2. Interview by telephone
3. Interview by QQ
4. Others (please specify) ______

【J2019】 Do you think the respondent's answers are reliable?
1. Very reliable
2. Relatively reliable
3. Reliable
4. Inclined to unreliable
5. Very unreliable

【J2021】 What is the appropriate gift for the respondent in your opinion?
1. Umbrella/Towel/Shopping bag
2. Books about research achievements, etc.
3. Cash gift/Telephone charge
4. Others (please specify) ______

【J2023】 Which group shall the interviewed family be classified to? (classification according to its cooperation degree, project recognition degree and acceptance level of return visit, etc.)
1. Loyal
2. General
3. Need to be strived for
4. Need to be strived for by great efforts
5. Don't want return visit any more

【J2024】 In your opinion, what matters shall be paid attention to if you visit the respondent again (for instances, verbal skills, characters, hobbies, special family conditions of the respondent, and sensitive questions in the questionnaire, etc.)?